
DeepLog: Anomaly Detection and Diagnosis from System Logs through Deep Learning

Min Du, Feifei Li, Guineng Zheng, Vivek Srikumar
University of Utah

Background

```
081111 083419 24621 INFO dfs.DataNode$DataXceiver: Receiving block blk_5214640714119373081 src:
/10.251.121.224:47915 dest: /10.251.121.224:50010
081111 083419 35 INFO dfs.FSNamesystem: BLOCK* NameSystem.allocateBlock:
/user/root/rand7/_temporary/_task_200811101024_0014_m_001575_0/part-01575. blk_5214640714119373081
081111 083420 24633 INFO dfs.DataNode$DataXceiver: Receiving block blk_5214640714119373081 src:
/10.251.121.224:57800 dest: /10.251.121.224:50010
081111 083422 24621 INFO dfs.DataNode$DataXceiver: writeBlock blk_5214640714119373081 received
exception java.io.IOException: Could not read from stream
081111 104136 26436 INFO dfs.DataNode$DataXceiver: Receiving block blk_-3208483482800741142 src:
/10.251.111.209:34510 dest: /10.251.111.209:50010
081111 104136 26954 INFO dfs.DataNode$DataXceiver: Receiving block blk_-3208483482800741142 src:
/10.251.203.80:46033 dest: /10.251.203.80:50010
081111 104136 27196 INFO dfs.DataNode$DataXceiver: Receiving block blk_-3208483482800741142 src:
/10.251.111.209:46712 dest: /10.251.111.209:50010
081111 104136 35 INFO dfs.FSNamesystem: BLOCK* NameSystem.allocateBlock:
/user/root/randtxt9/_temporary/_task_20 0811101024_0016_m_001470_0/part-01470. blk_-
3208483482800741142
081111 104233 26437 INFO dfs.DataNode$PacketResponder: PacketResponder 1 for block blk_-
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Background

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System Event Log

Background

System Event Log

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***Available practically on
every computer system!***

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System Event Log

*Available practically on
every computer system!*

Automatic Analysis?

Background

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.....
```

Automatically detected anomaly

Background

```
12:20:17 INFO SparkContext: Running Sp
12:20:18 WARN NativeCodeLoader: Unable
ava classes where applicable
12:20:18 INFO SecurityManager: Changin
12:20:18 INFO SecurityManager: Changin
12:20:18 INFO SecurityManager: Securit
permissions: set(zhouliang); users wi
12:20:18 INFO Slf4jLogger: Slf4jLogger
12:20:18 INFO Starting remot
12:20:18 INFO Remoting: Remoting start
er@head:60626]
12:20:18 INFO U
Successfully star
12:20:18 INFO SparkEnv: Registering Ma
12:20:18 INFO SparkEnv: Registering Bl
12:20:18 INFO DiskBlockManager: Create
31e/blockmgr-f7e603b7-c8c3-4faf-be6c-2
12:20:18 INFO MemoryStore: MemoryStore
```

System Event Log

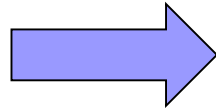
Started service A on port 80
Executor updated: app-1 is now LOADING

.....

Background

```
12:20:17 INFO SparkContext: Running Sp
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ava classes where applicable
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12:20:18 INFO MemoryStore: MemoryStore
```

**System
Event
Log**



**LOG
PARSING**

Structured Data

Message type

Log key

.....

printf(**“Started service
%s on port %d”**, x, y);

Started service A on port 80

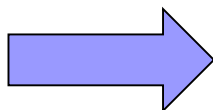
Executor updated: app-1 is now LOADING

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31e/blockmgr-f7e603b7-c8c3-4faf-be6c-2
12:20:18 INFO MemoryStore: MemoryStore
```

**System
Event
Log**



**LOG
PARSING**

```
Structured Data
Message type
Log key
.....
printf("Started service
%s on port %d", x, y);
```

Started service A on port 80
Executor updated: app-1 is now LOADING
.....

*Started service * on port ** (log key ID: 1)
*Executor updated: * is now LOADING* (log key ID: 2)
.....

Background

```
12:20:17 INFO SparkContext: Running Sp
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**System
Event
Log**

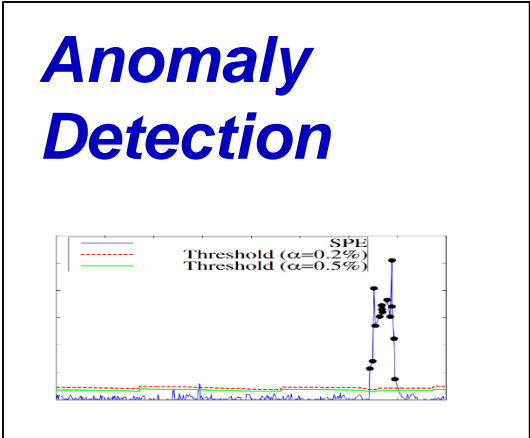
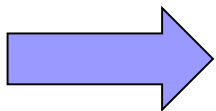


**LOG
PARSING**

Structured Data

Message type
Log key
.....

```
printf("Started service  
%s on port %d", x, y);
```

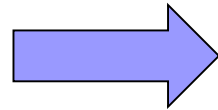


LOG ANALYSIS

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31e/blockmgr-f7e603b7-c8c3-4faf-be6c-2
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**System
Event
Log**



**LOG
PARSING**

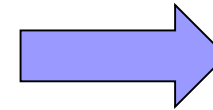
Structured Data

Message type

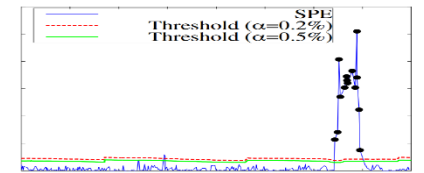
Log key

.....

printf(**Started service**
%s on port %d", x, y);



**Anomaly
Detection**



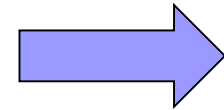
LOG ANALYSIS

- **Message count vector:**
Xu'SOSP09, Lou'ATC10, etc.

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12:20:18 INFO org.apache.hadoop.conf.Slf4jLogger
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**System
Event
Log**



**LOG
PARSING**

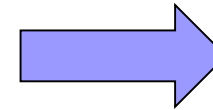
Structured Data

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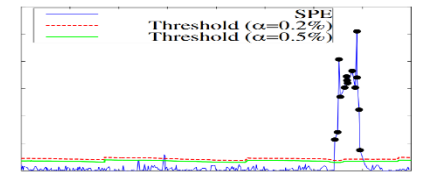
Log key

.....

```
printf("Started service
%s on port %d", x, y);
```



Anomaly Detection



LOG ANALYSIS

□ **Message count vector:**

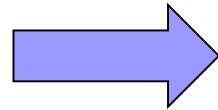
Xu'SOSP09, Lou'ATC10, etc.

Problem: Offline batched processing

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**System
Event
Log**



**LOG
PARSING**

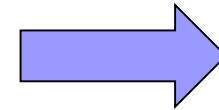
Structured Data

Message type

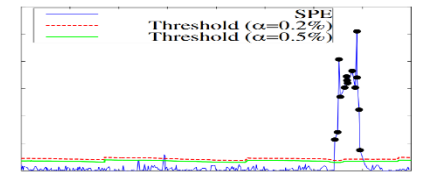
Log key

.....

printf("Started service
%s on port %d", x, y);



**Anomaly
Detection**



LOG ANALYSIS

❑ **Message count vector:**

Xu'SOSP09, Lou'ATC10, etc.

Problem: Offline batched processing

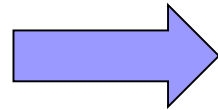
❑ **Build workflow model:**

Lou'KDD10, Beschastnikh'ICSE14, Yu'ASPLOS16, etc.

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**System
Event
Log**

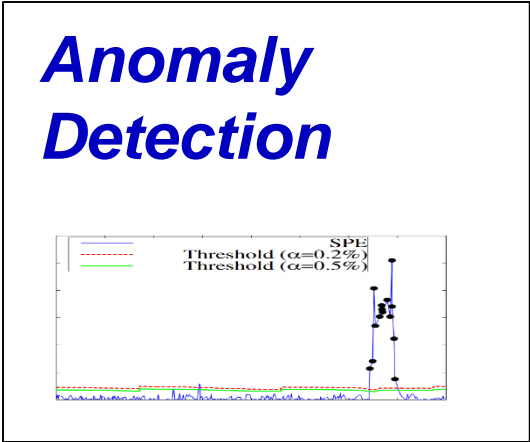
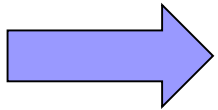


**LOG
PARSING**

Structured Data

Message type
Log key
.....

```
printf("Started service  
%s on port %d", x, y);
```



LOG ANALYSIS

❑ **Message count vector:**

Xu'SOSP09, Lou'ATC10, etc.

Problem: Offline batched processing

❑ **Build workflow model:**

Lou'KDD10, Beschastnikh'ICSE14, Yu'ASPLOS16, etc.

Problem: Only for simple execution path anomalies

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12:20:18 INFO org.apache.hadoop.conf.Slf4jLogger
12:20:18 INFO RemoteInputStream: startin
12:20:18 INFO Remoting: Remoting start
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12:20:18 INFO UIMAEngineImpl: successf
12:20:18 INFO SparkEnv: Registering Ma
12:20:18 INFO SparkEnv: Registering BL
12:20:18 INFO DiskBlockManager: Create
31e/blockmgr-f7e603b7-c8c3-4faf-be6c-2
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**System
Event
Log**



**LOG
PARSING**

Structured Data

Message type

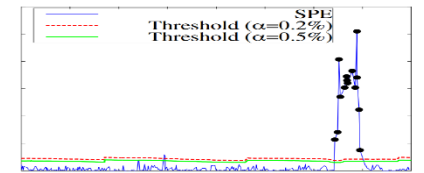
Log key

.....

printf("Started service
%s on port %d", x, y);



Anomaly Detection



LOG ANALYSIS

*Common problem:
Only Log keys
(Message types)
are considered.*

❑ Message count vector:

Xu'SOSP09, Lou'ATC10, etc.

Problem: Offline batched processing

❑ Build workflow model:

Lou'KDD10, Beschastnikh'ICSE14, Yu'ASPLOS16, etc.

Problem: Only for simple execution path anomalies

DeepLog

log message (log key underlined)	log key	parameter value vector
t_1 <u>Deletion of file1</u> complete	k_1	$[t_1 - t_0, \text{file1}]$
t_2 <u>Took 0.61 seconds to deallocate network ...</u>	k_2	$[t_2 - t_1, 0.61]$
t_3 <u>VM Stopped (Lifecycle Event)</u>	k_3	$[t_3 - t_2]$
...

DeepLog

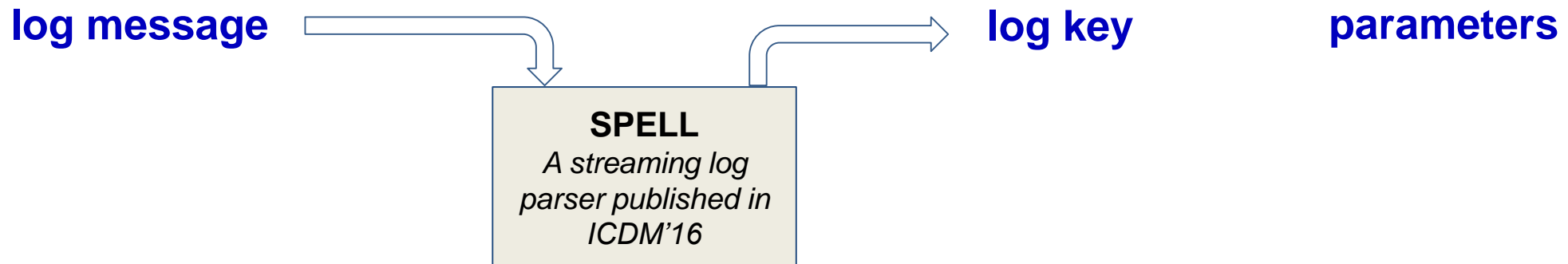
log message (log key underlined)	log key	parameter value vector
t_1 <u>Deletion of file1</u> complete	k_1	$[t_1 - t_0, \text{file1}]$
t_2 <u>Took 0.61 seconds to deallocate network ...</u>	k_2	$[t_2 - t_1, 0.61]$
t_3 <u>VM Stopped (Lifecycle Event)</u>	k_3	$[t_3 - t_2]$
...

SPELL

*A streaming log
parser published in
ICDM'16*

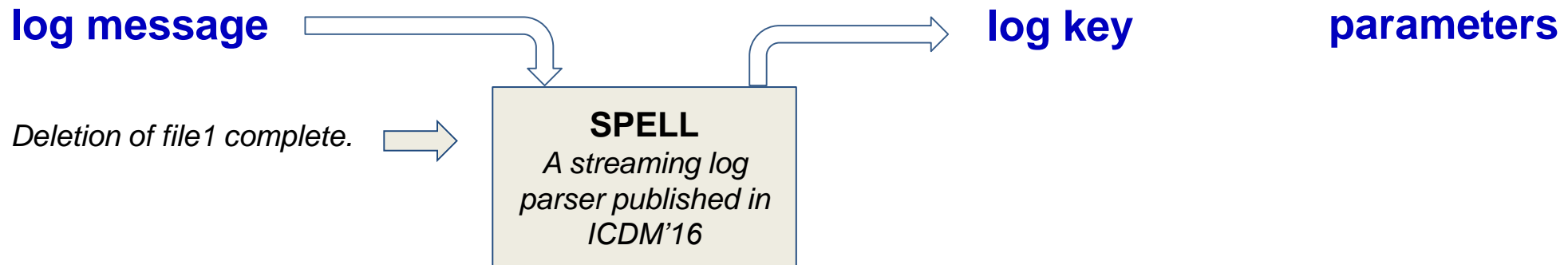
DeepLog

log message (log key underlined)	log key	parameter value vector
t_1 <u>Deletion of file1</u> complete	k_1	$[t_1 - t_0, \text{file1}]$
t_2 <u>Took 0.61 seconds to deallocate network ...</u>	k_2	$[t_2 - t_1, 0.61]$
t_3 <u>VM Stopped (Lifecycle Event)</u>	k_3	$[t_3 - t_2]$
...



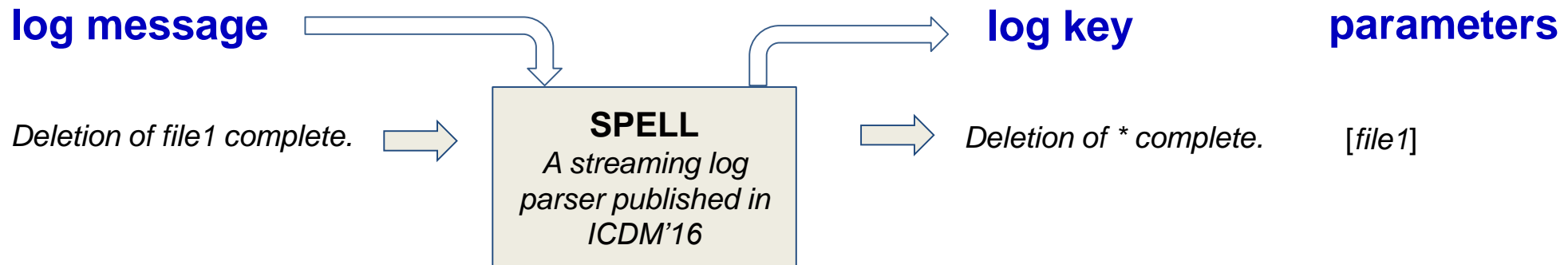
DeepLog

log message (log key underlined)	log key	parameter value vector
t_1 <u>Deletion of file1</u> complete	k_1	$[t_1 - t_0, \text{file1}]$
t_2 <u>Took 0.61 seconds to deallocate network ...</u>	k_2	$[t_2 - t_1, 0.61]$
t_3 <u>VM Stopped (Lifecycle Event)</u>	k_3	$[t_3 - t_2]$
...



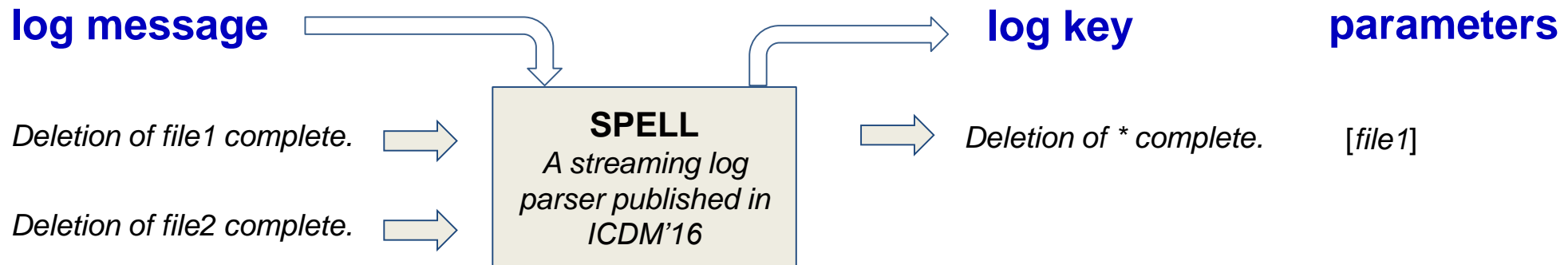
DeepLog

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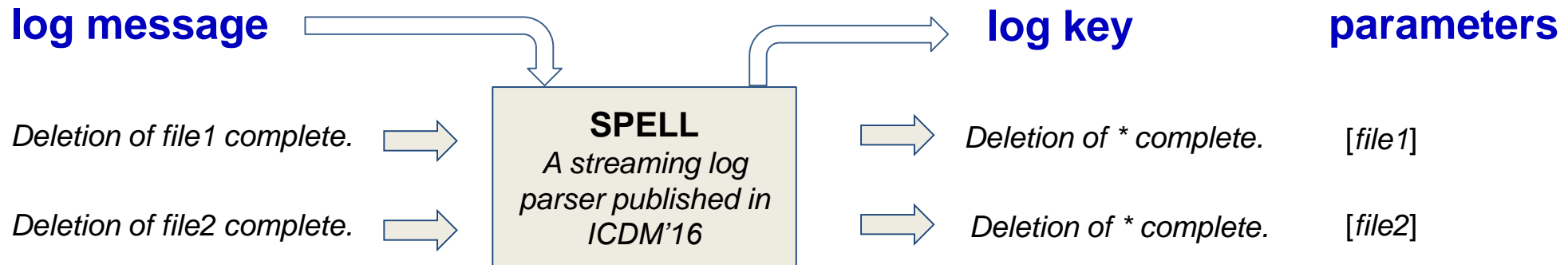
DeepLog

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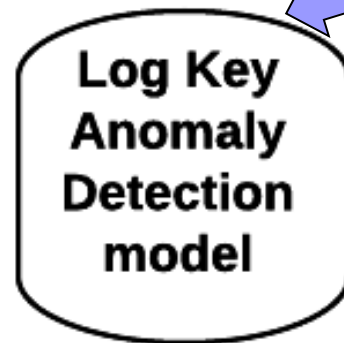
DeepLog

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t_1 <u>Deletion of file1</u> complete	k_1	$[t_1 - t_0, \text{file1}]$
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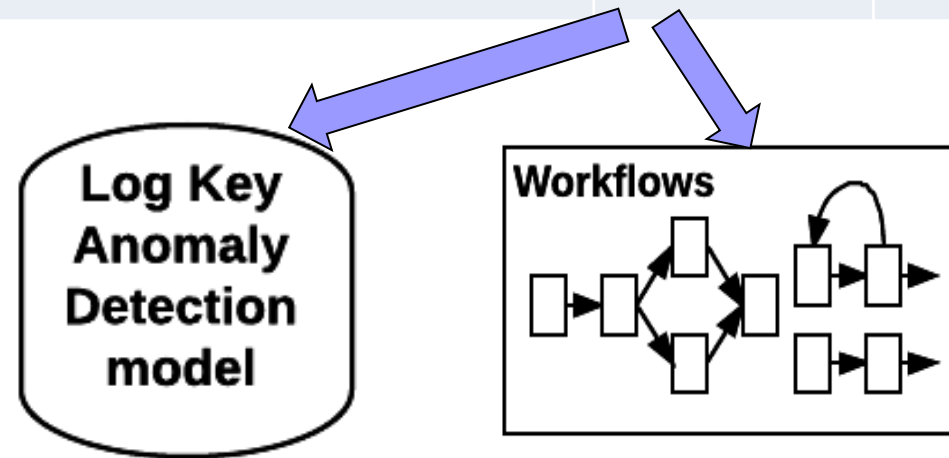
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t_1 <u>Deletion of file1</u> complete	k_1	$[t_1 - t_0, \text{file1}]$
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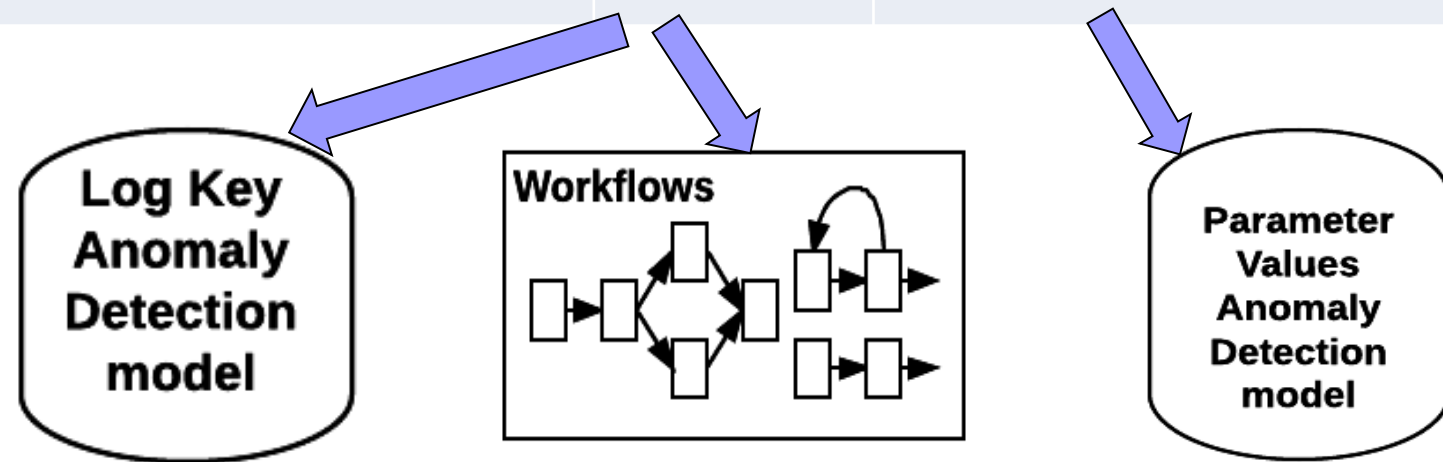
DeepLog

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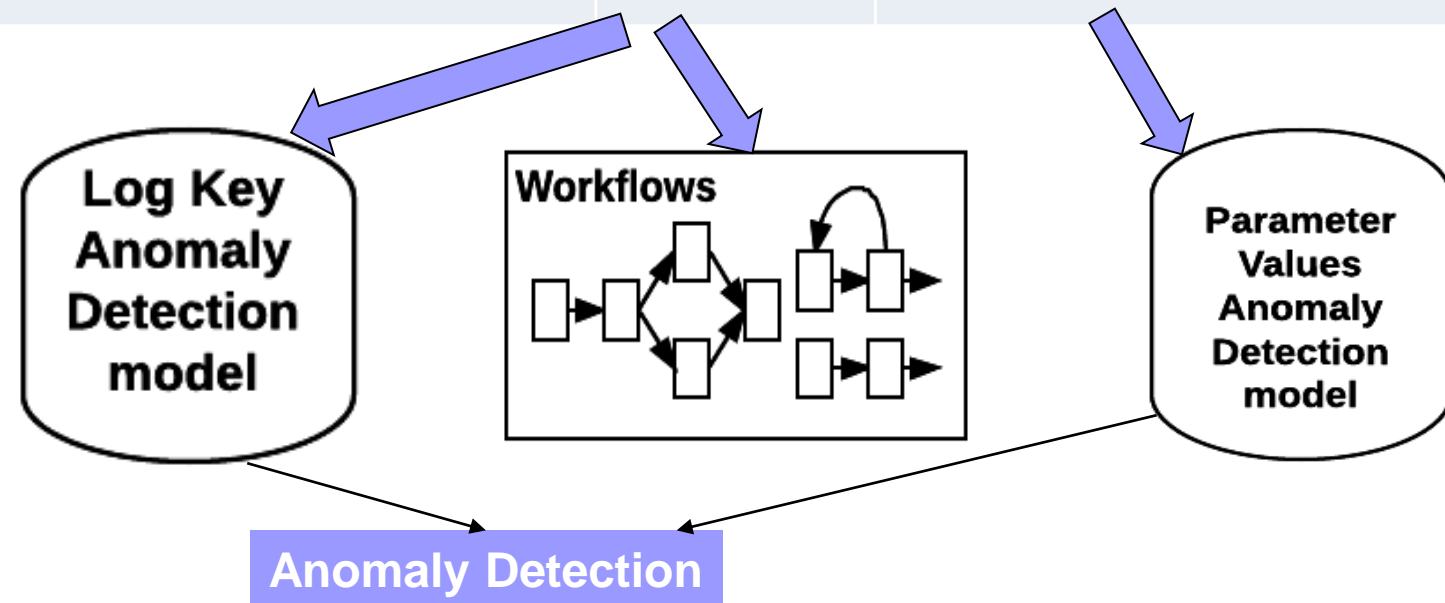
DeepLog

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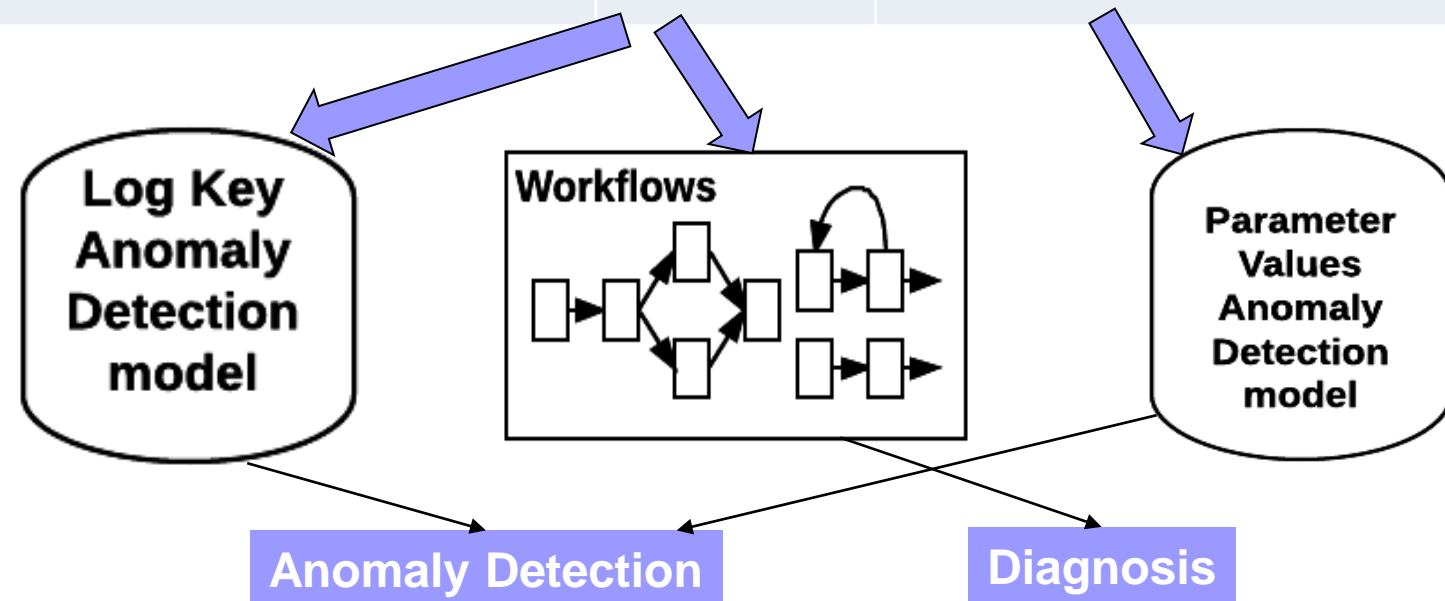
DeepLog

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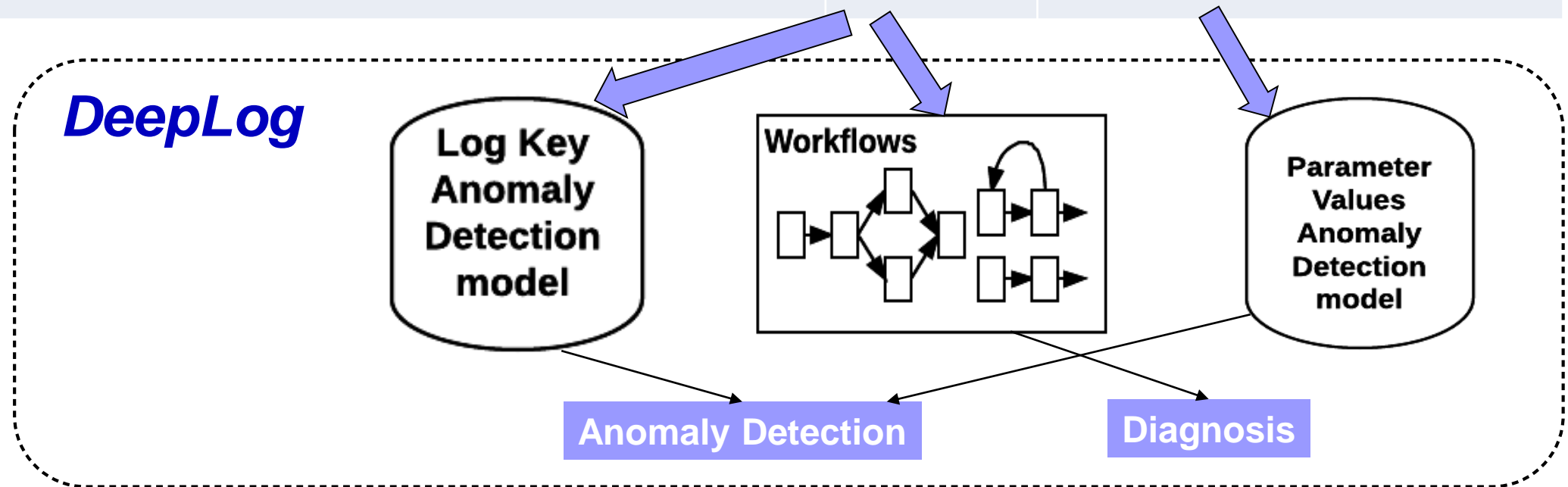
DeepLog

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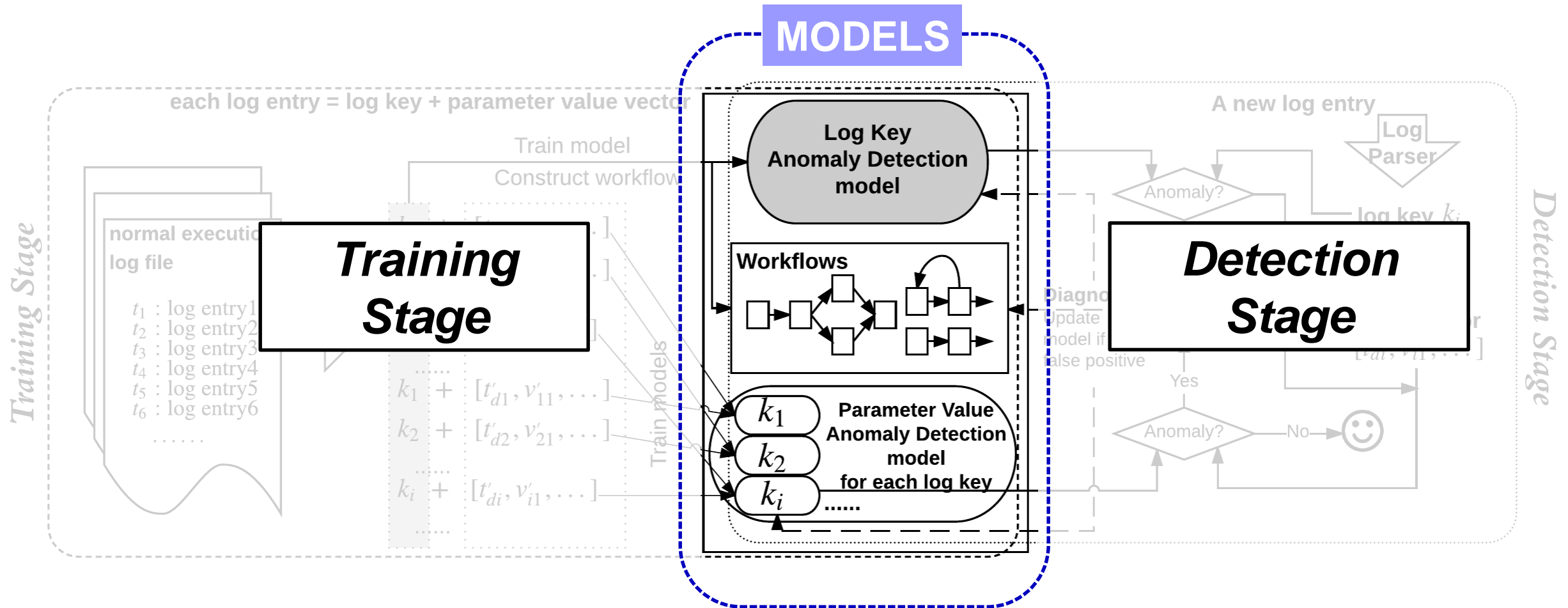


DeepLog

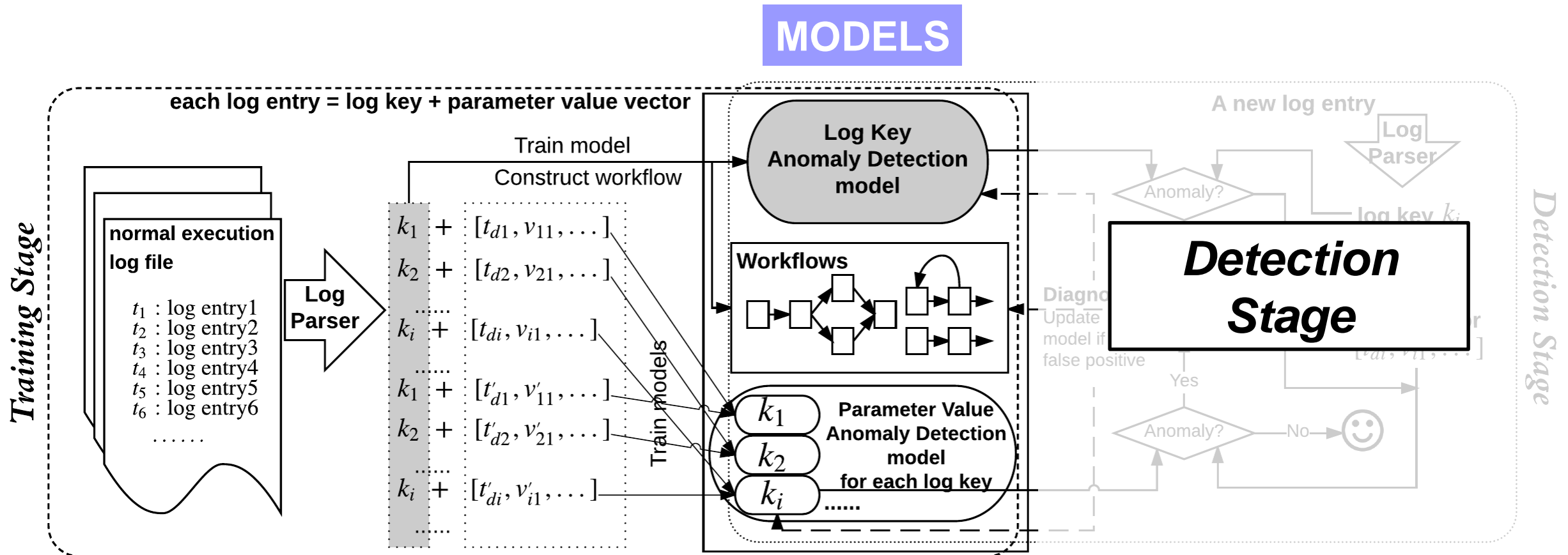
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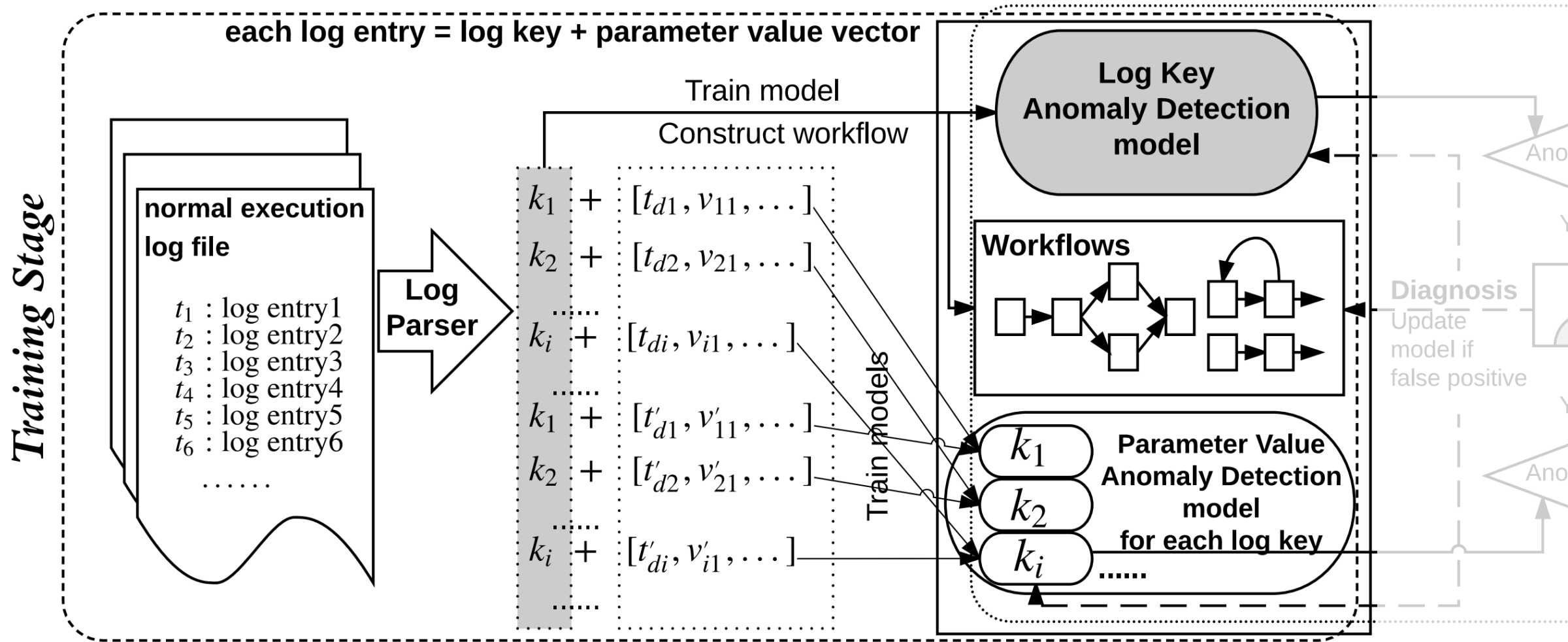
DeepLog Architecture



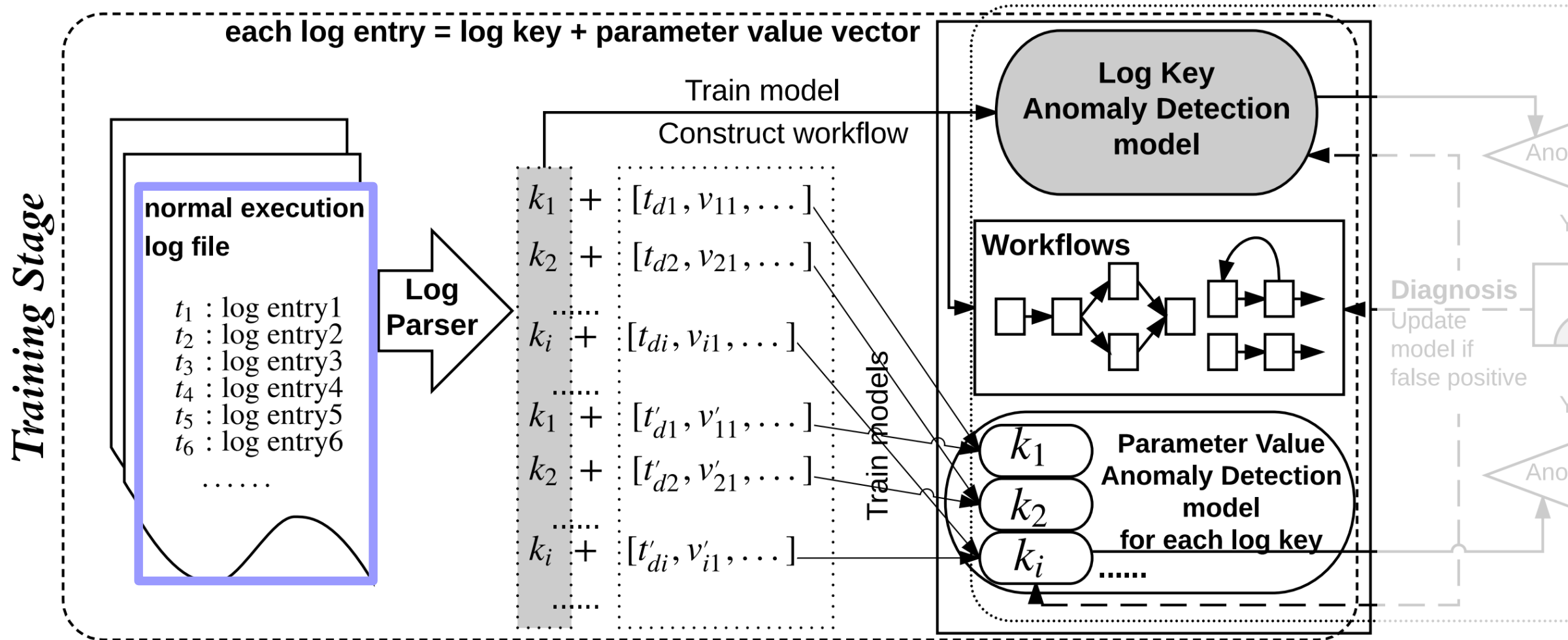
DeepLog Architecture



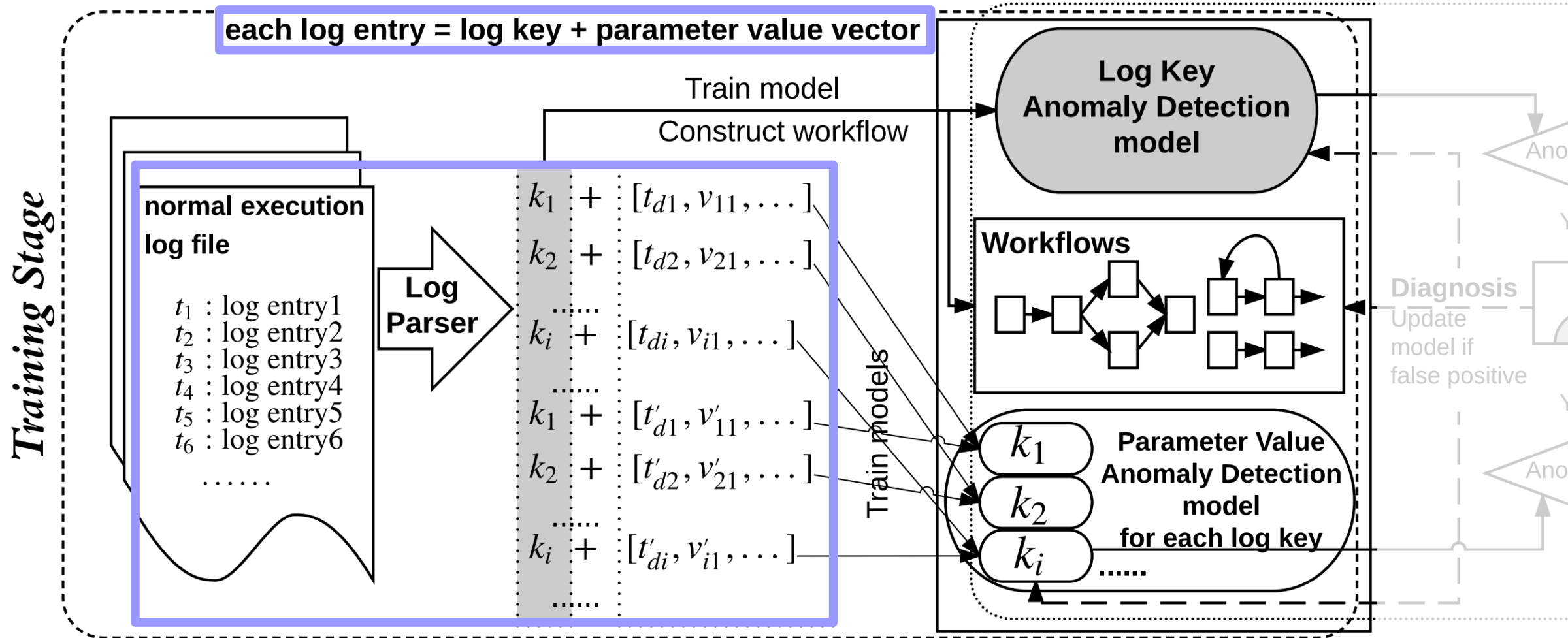
DeepLog Architecture



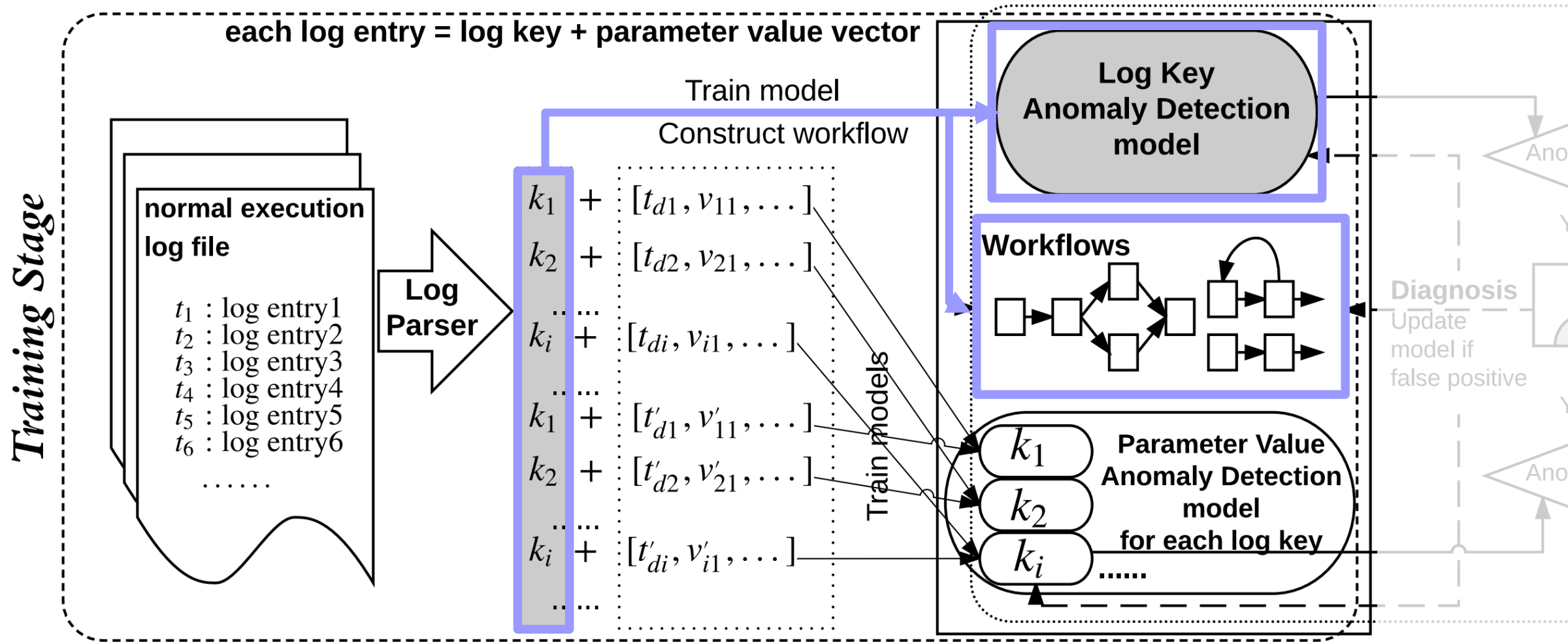
DeepLog Architecture



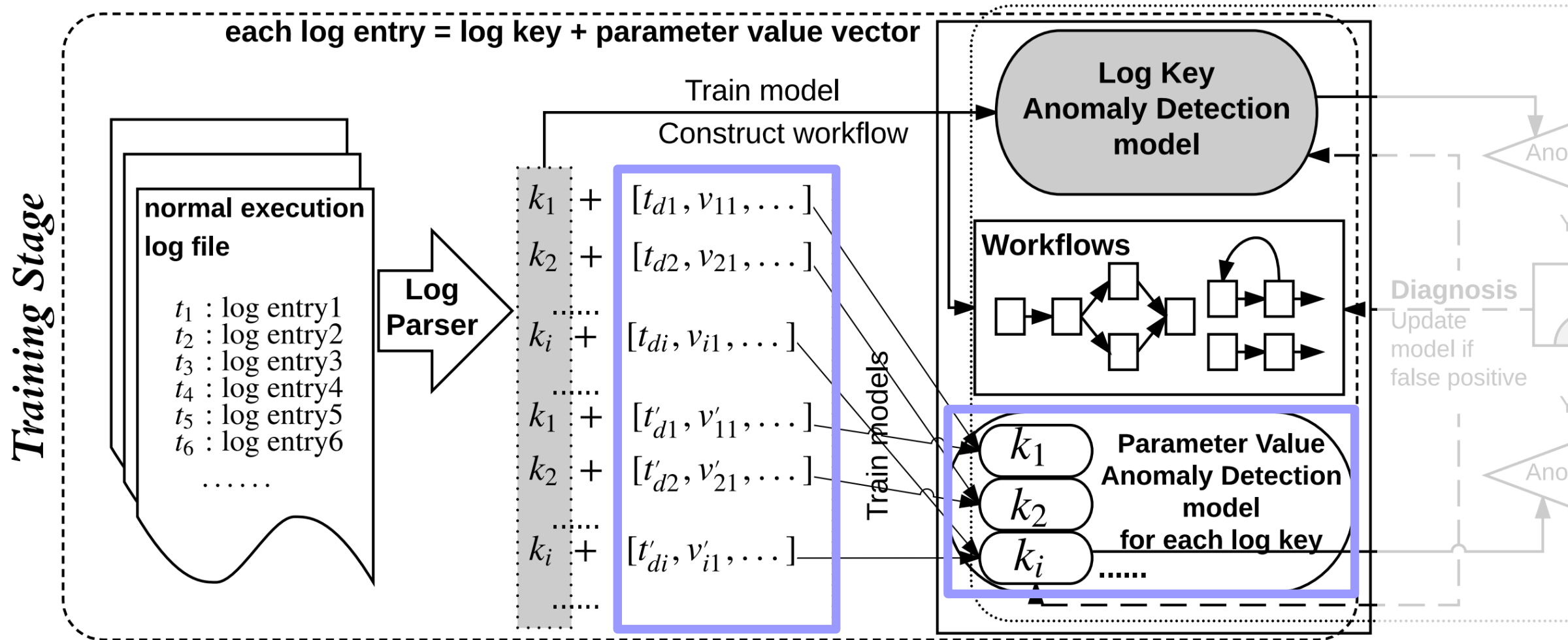
DeepLog Architecture



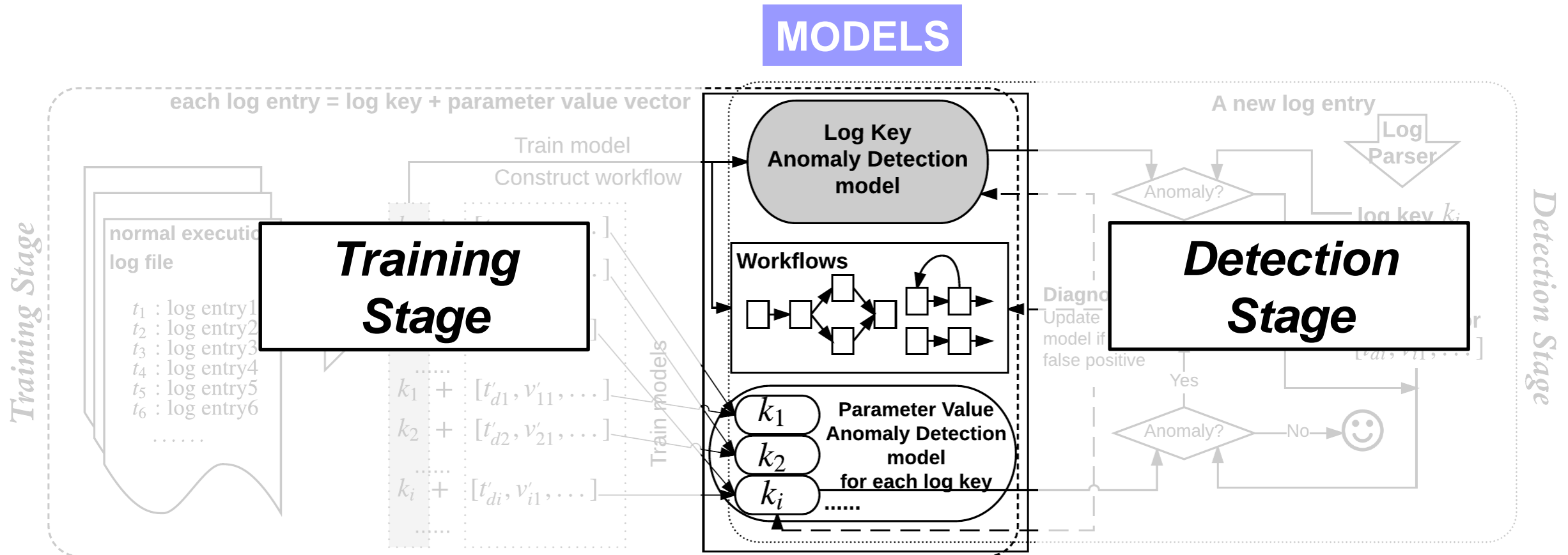
DeepLog Architecture



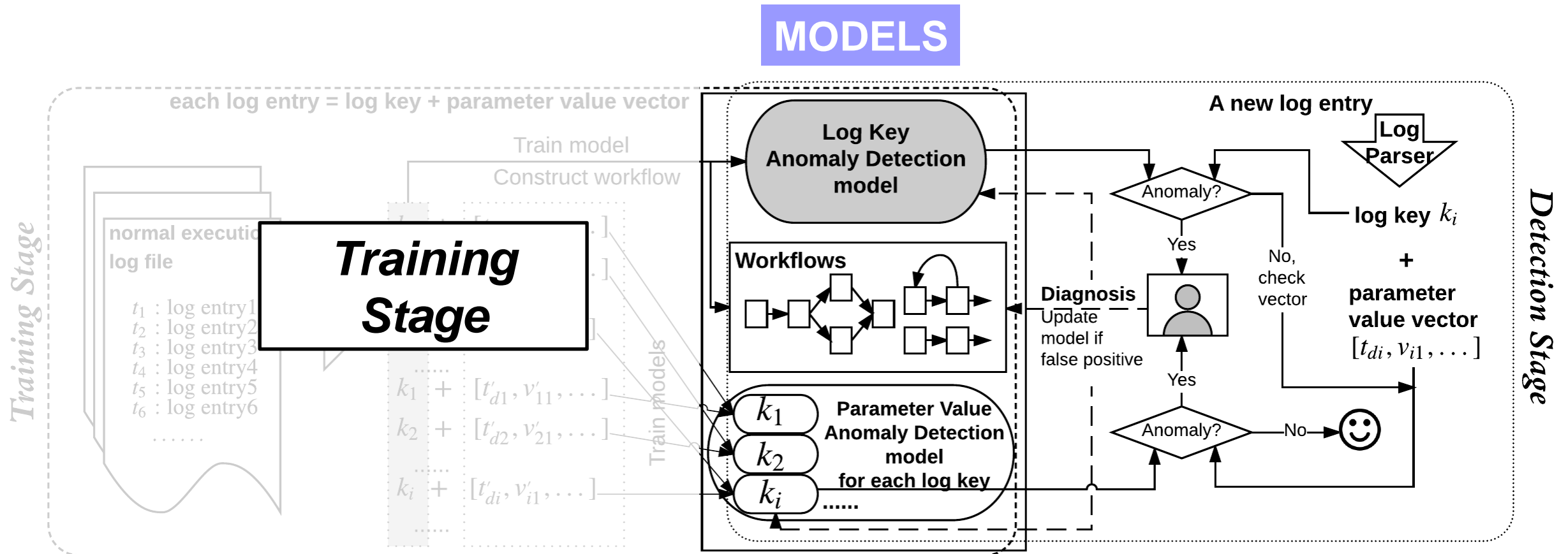
DeepLog Architecture



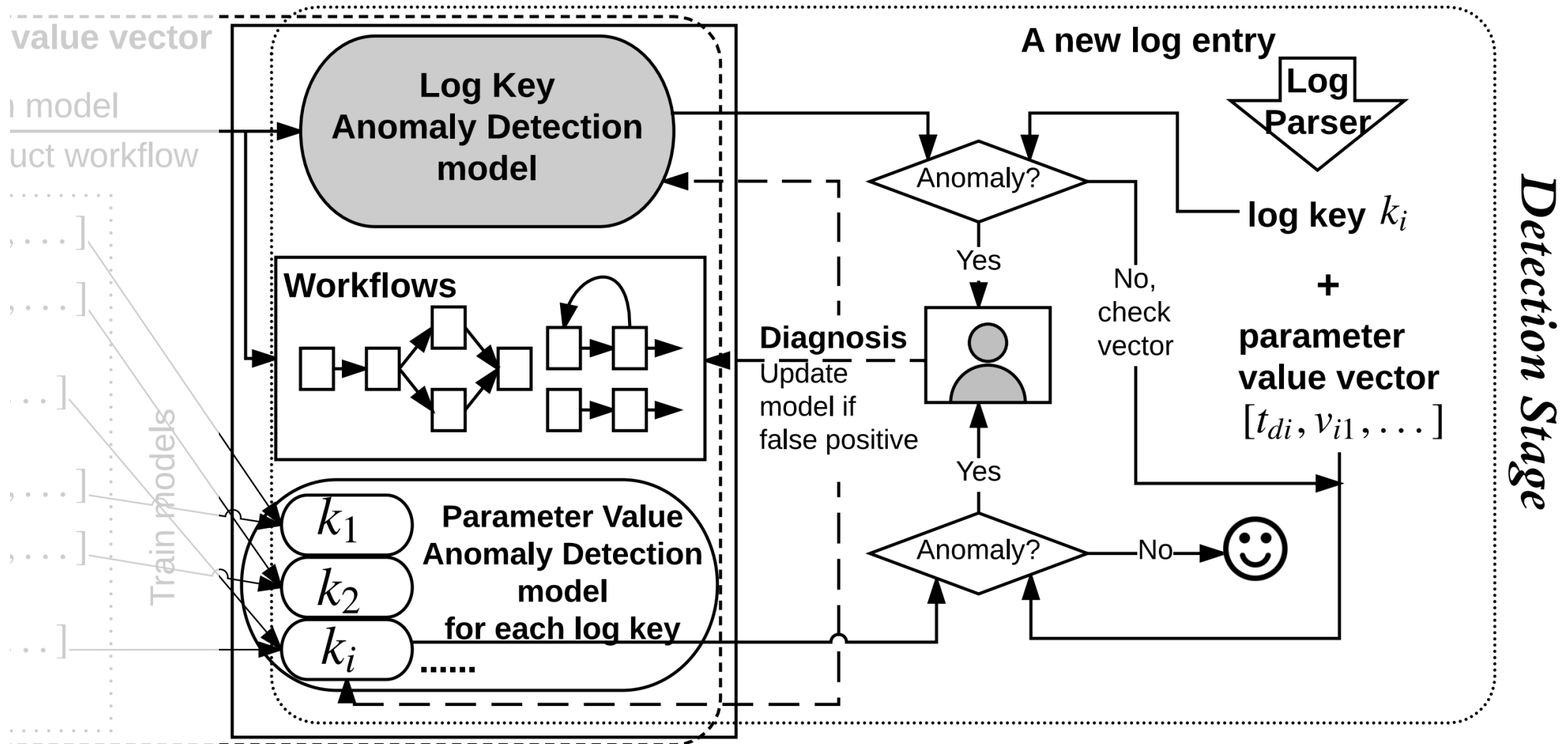
DeepLog Architecture



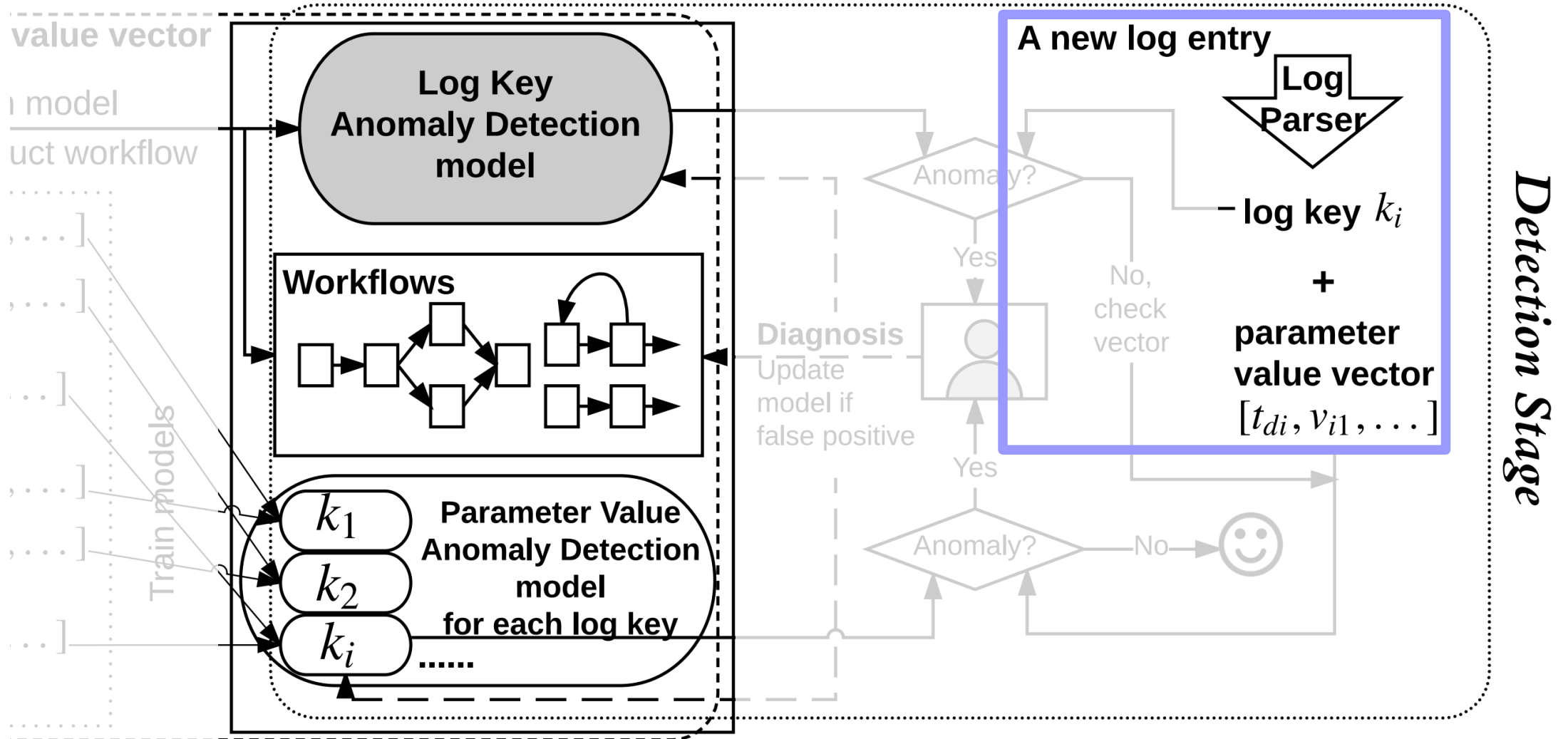
DeepLog Architecture



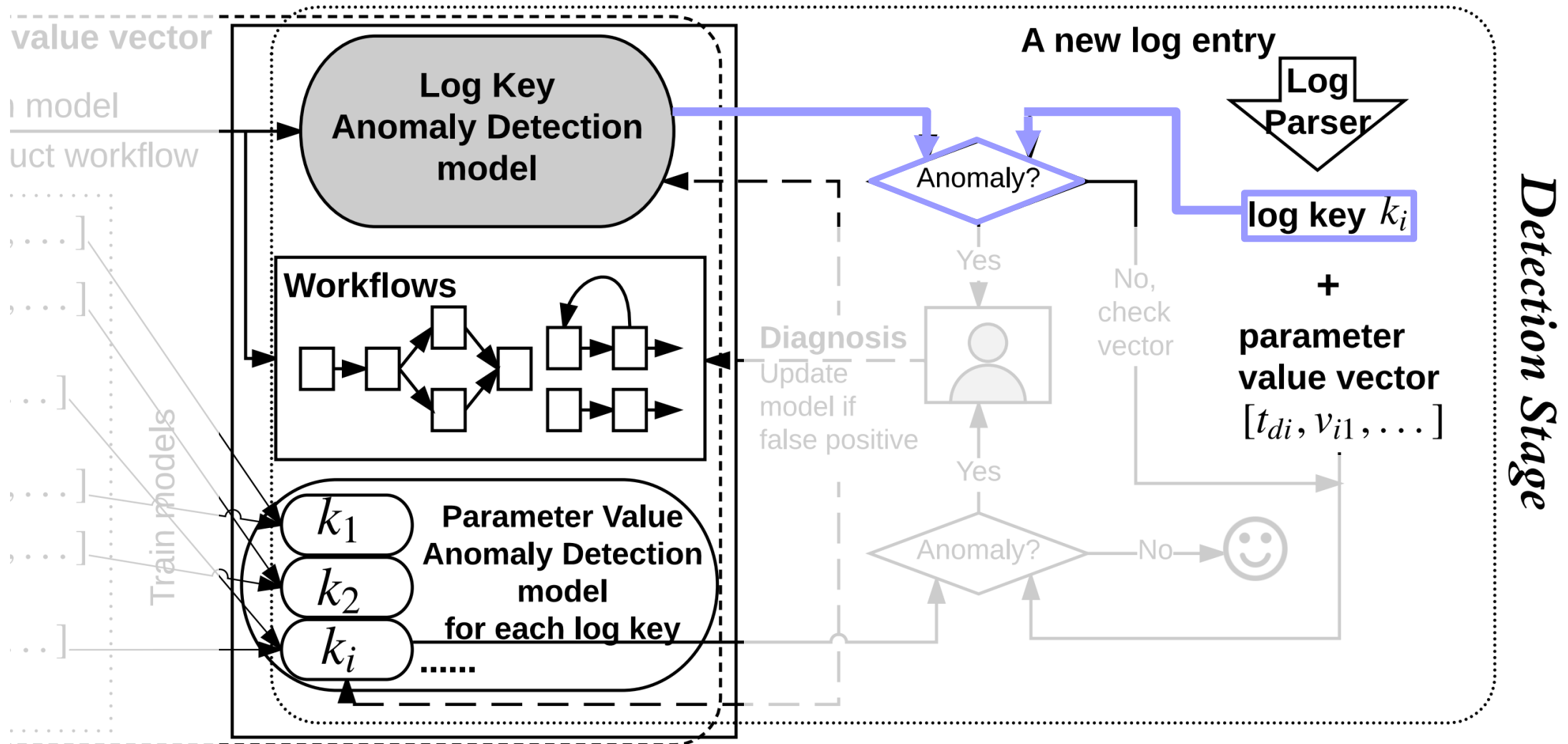
DeepLog Architecture



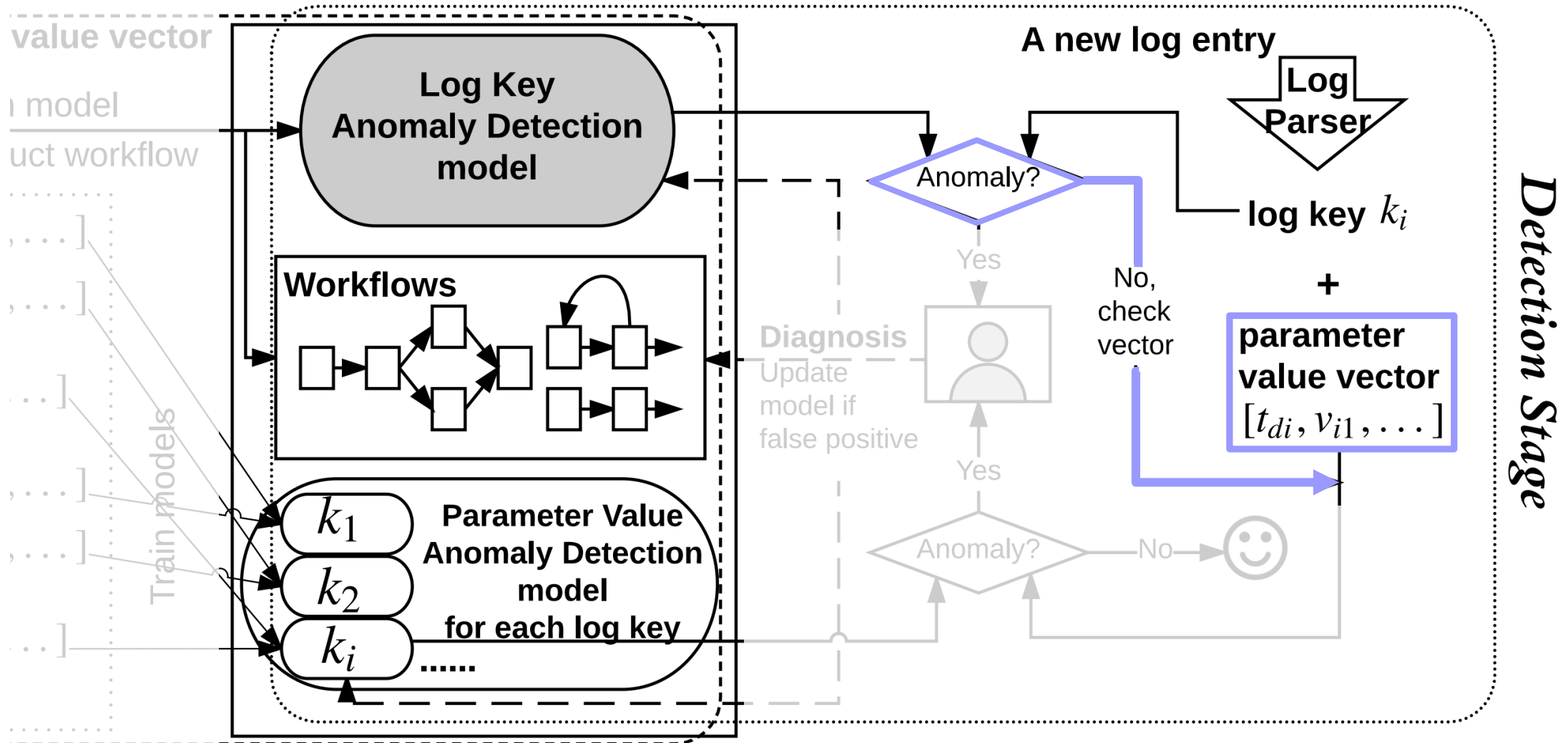
DeepLog Architecture



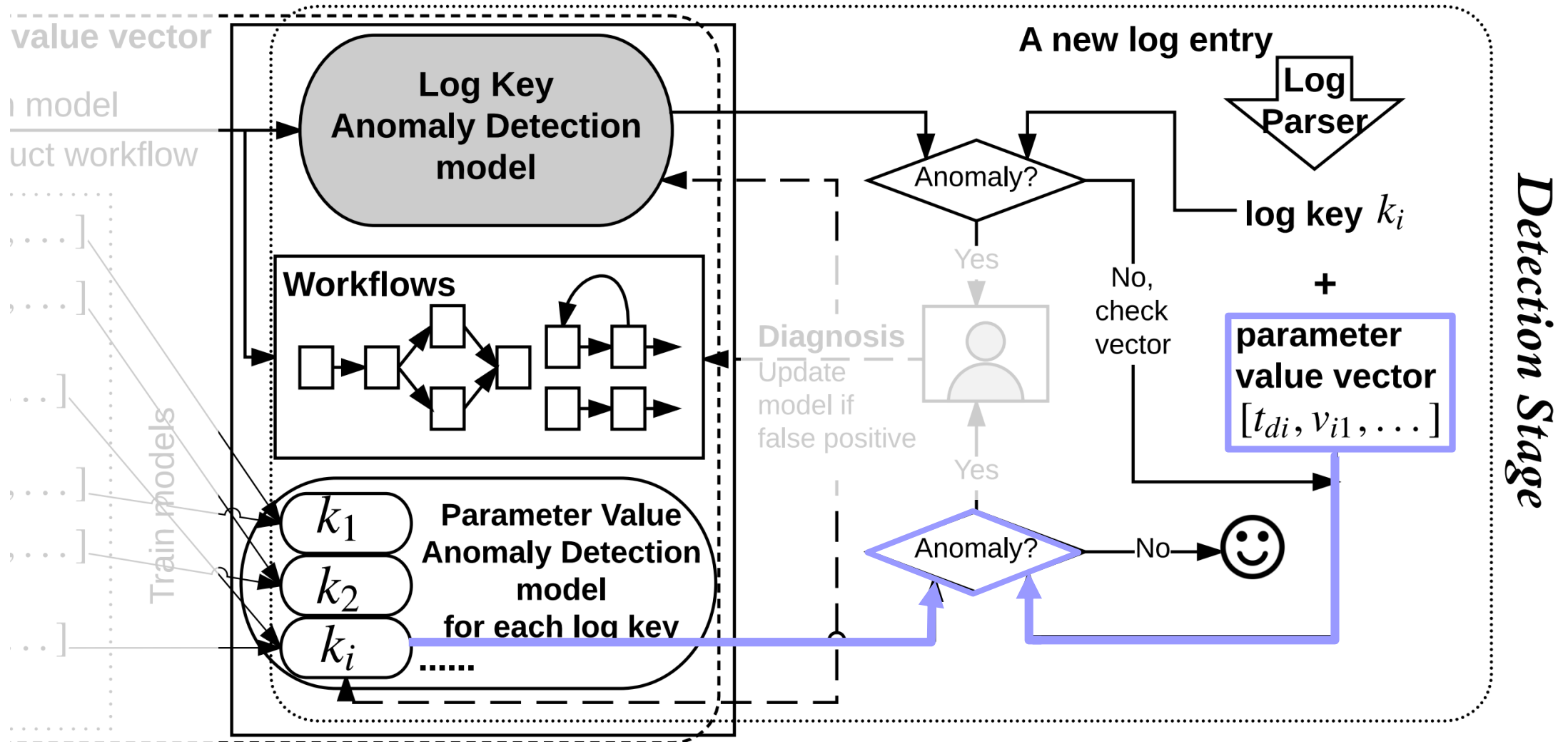
DeepLog Architecture



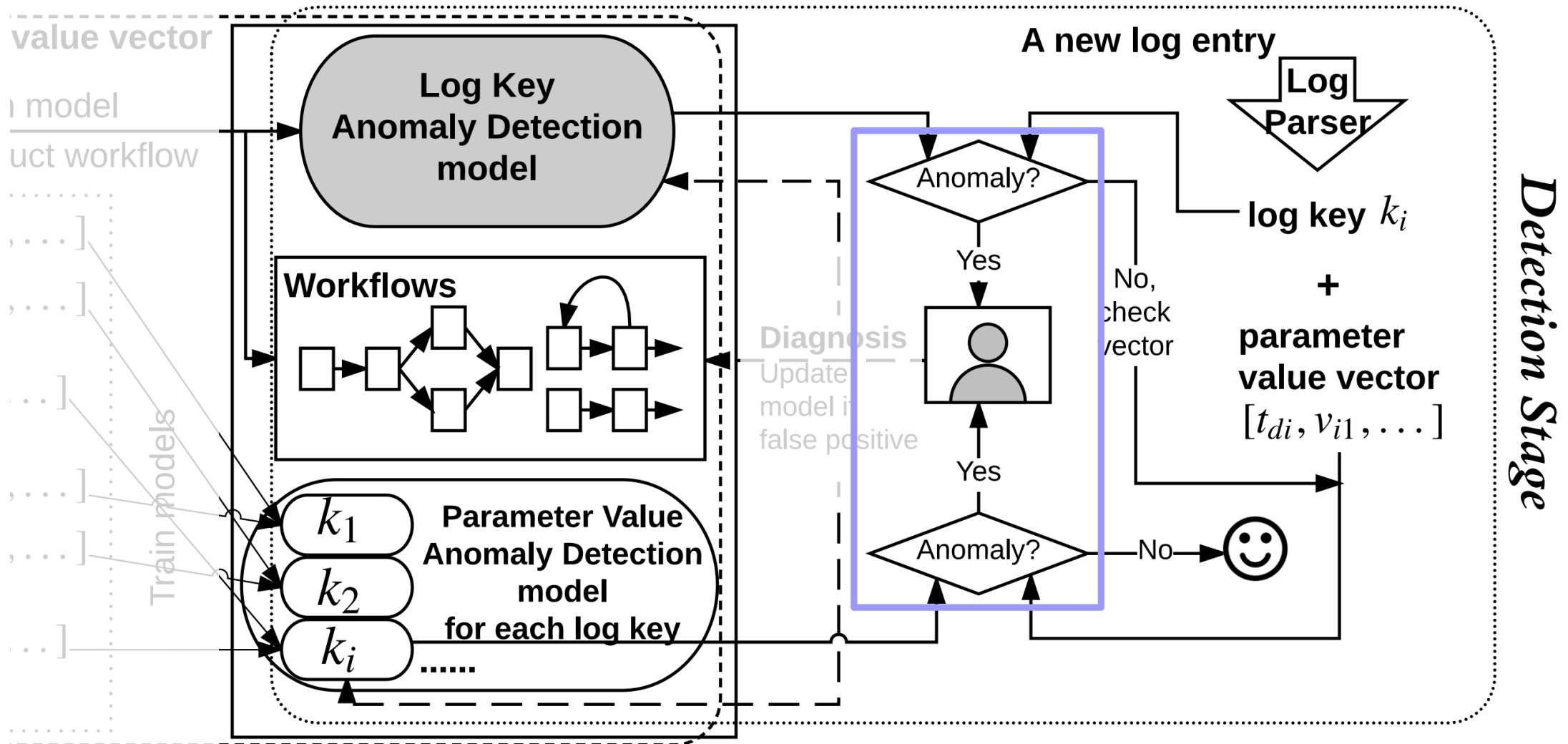
DeepLog Architecture



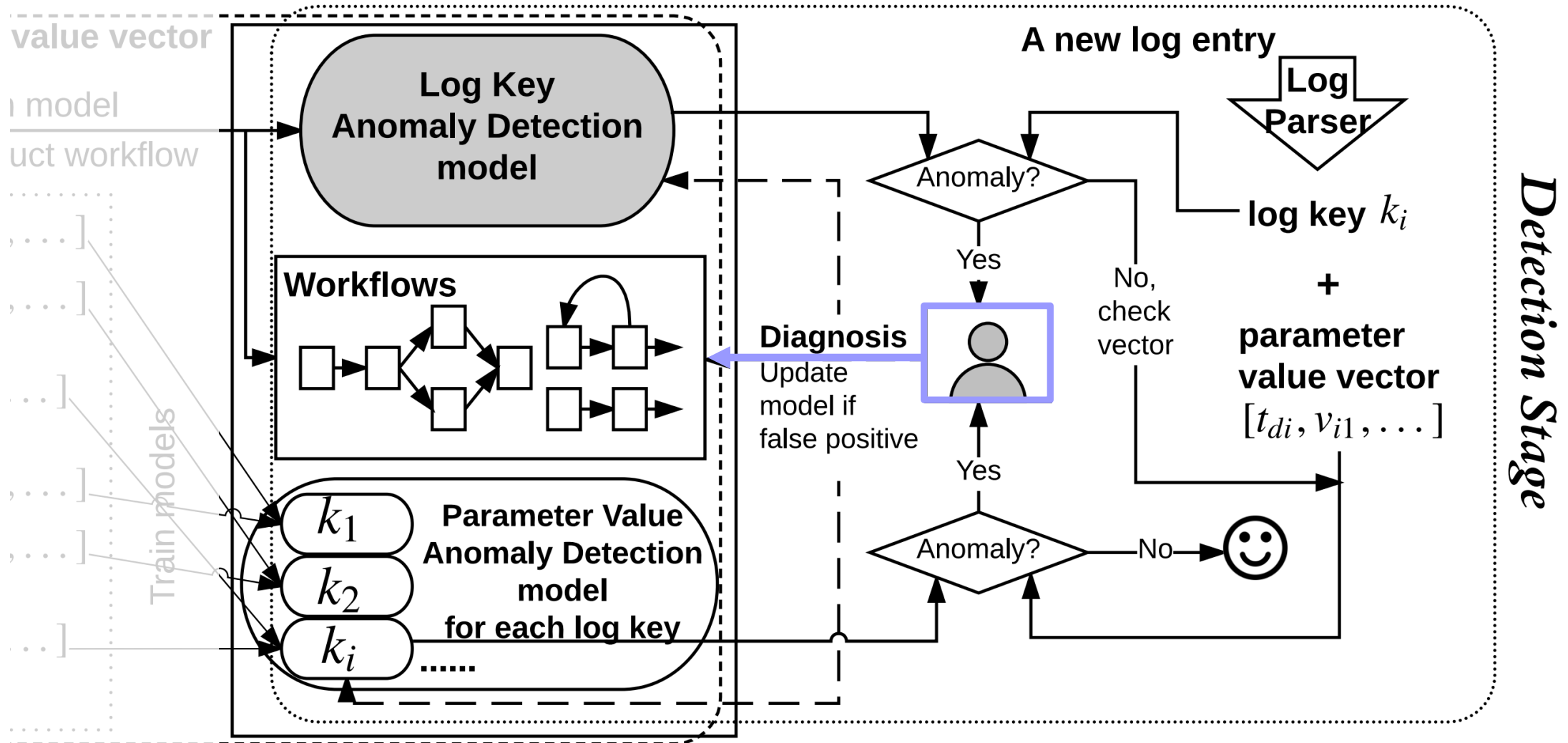
DeepLog Architecture



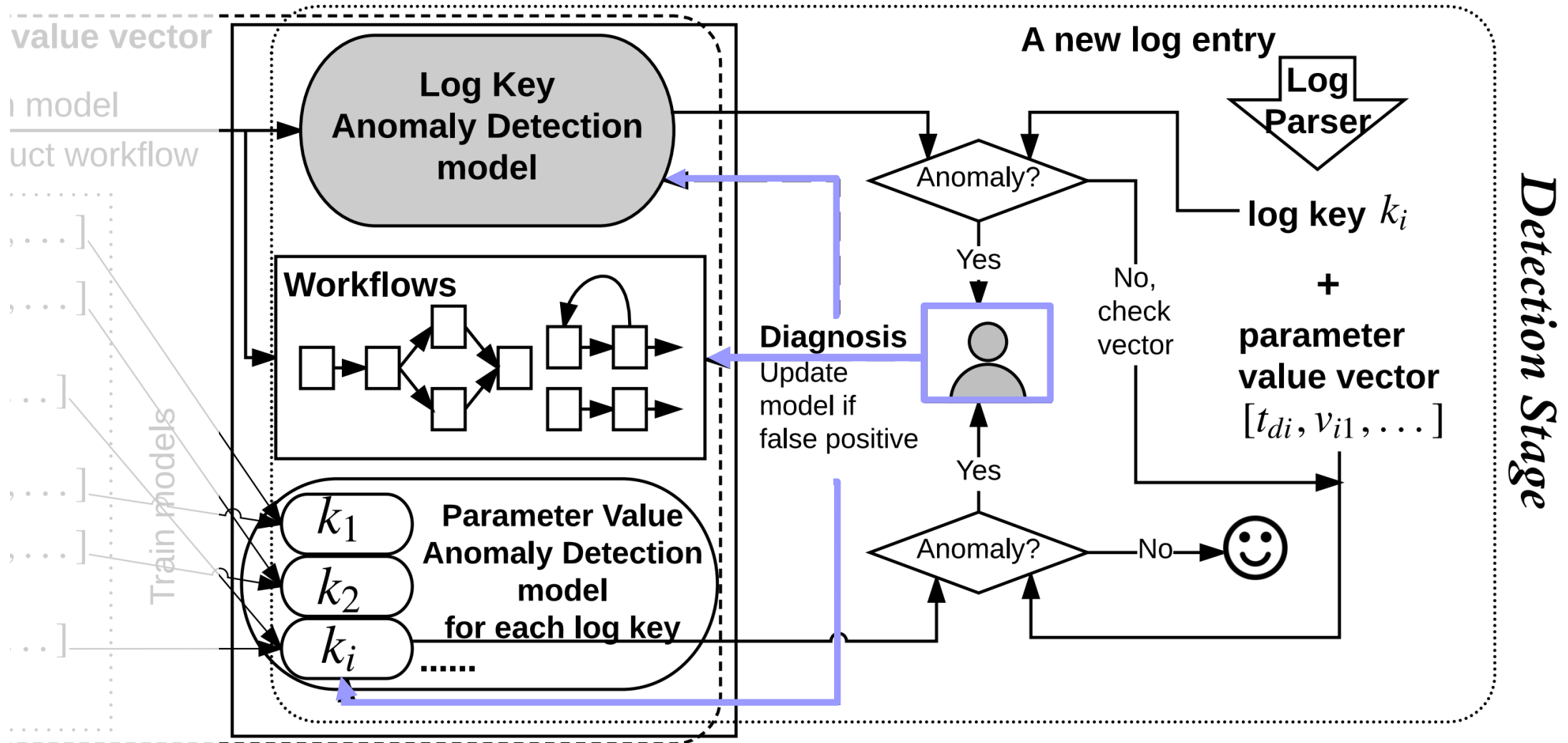
DeepLog Architecture



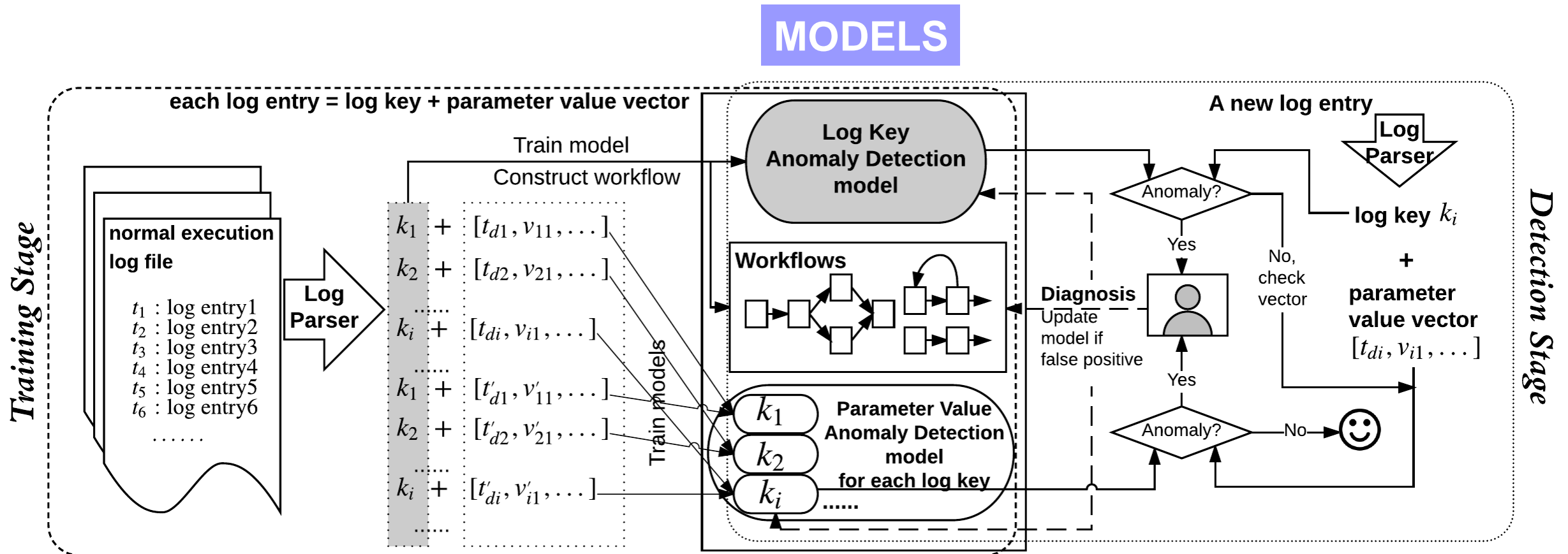
DeepLog Architecture



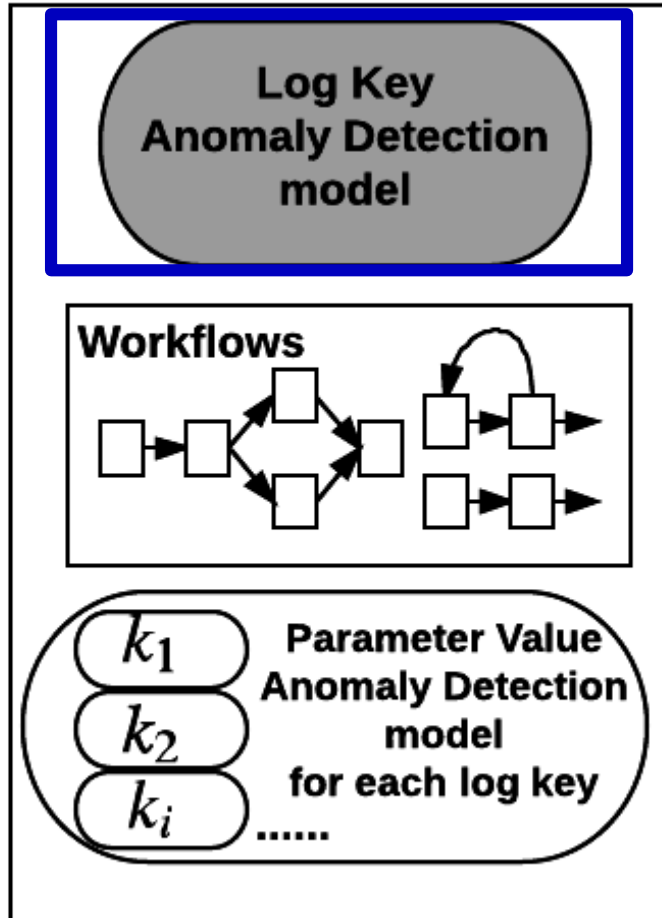
DeepLog Architecture



DeepLog Architecture



Log Key Anomaly Detection model

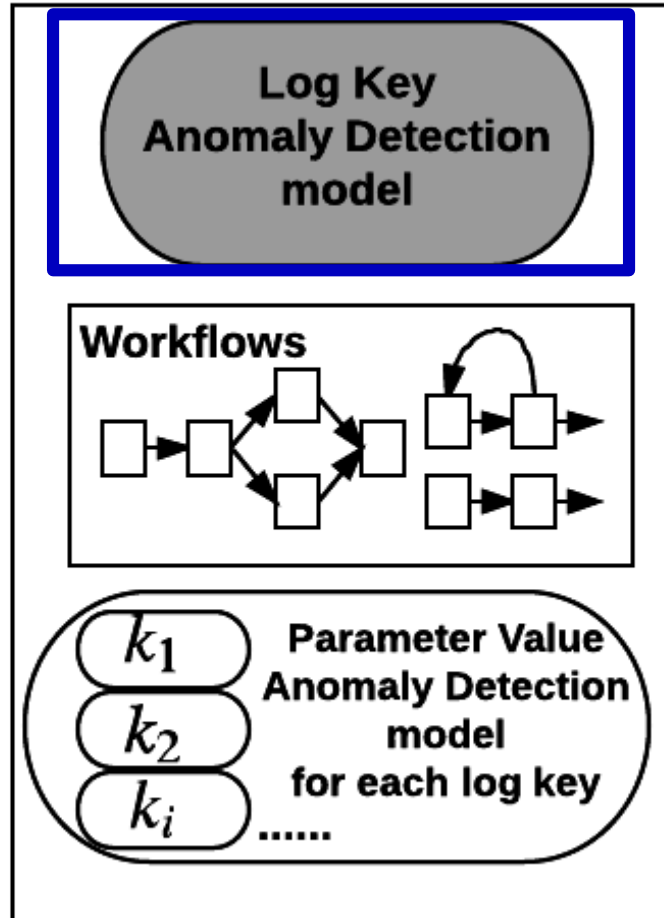


Example log key sequence:

25 18 54 57 18 56 ... 25 18 54 57 56 18 ...

- a rigorous set of logic and control flows
- a (*more structured*) natural language

Log Key Anomaly Detection model



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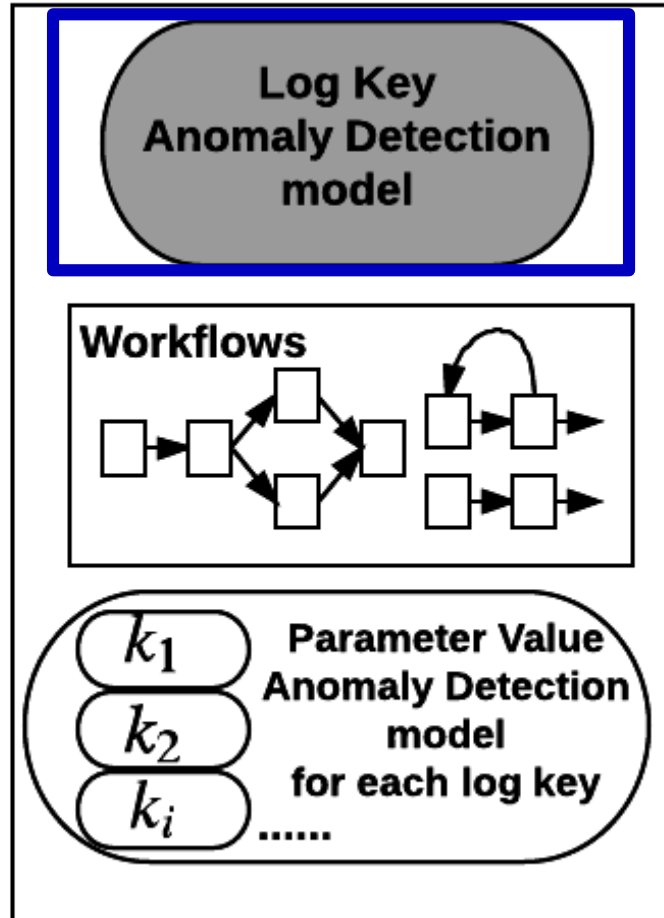


natural language modeling



multi-class classifier: *history sequence* => *next key to appear*

Log Key Anomaly Detection model



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natural language modeling

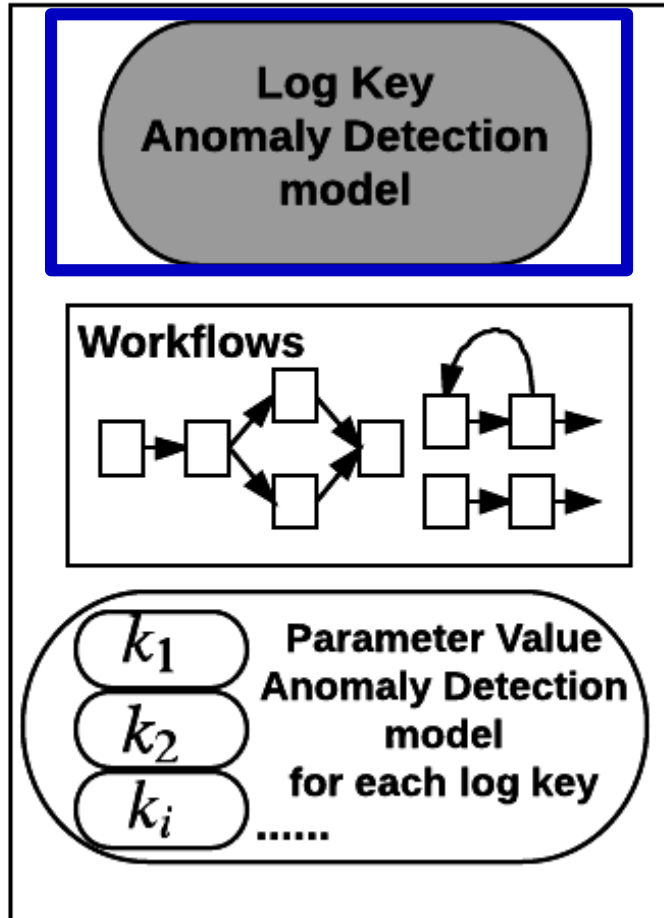


multi-class classifier: *history sequence* => *next key to appear*



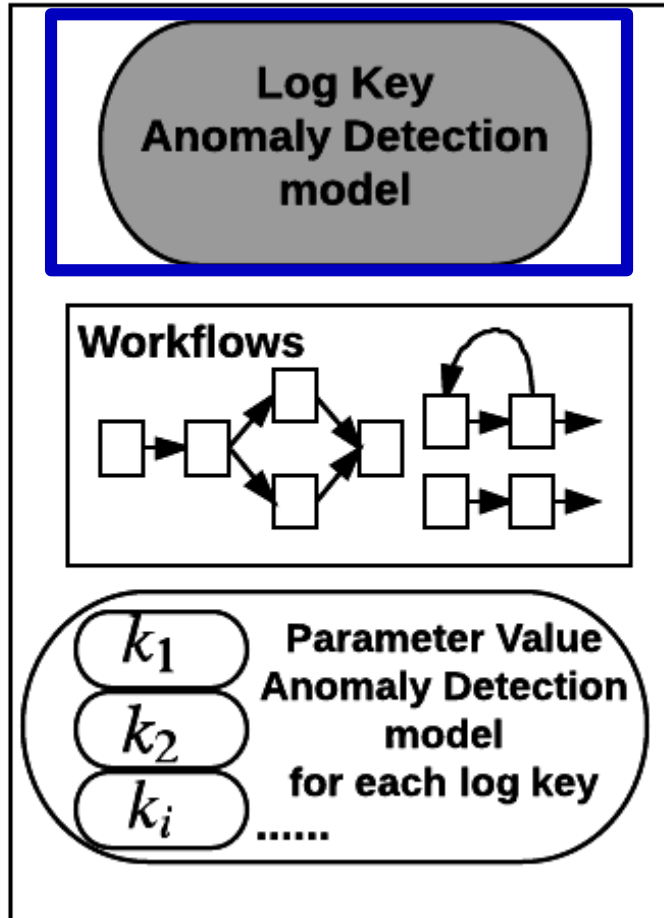
A log key is detected to be abnormal if it does not follow the prediction.

Log Key Anomaly Detection model

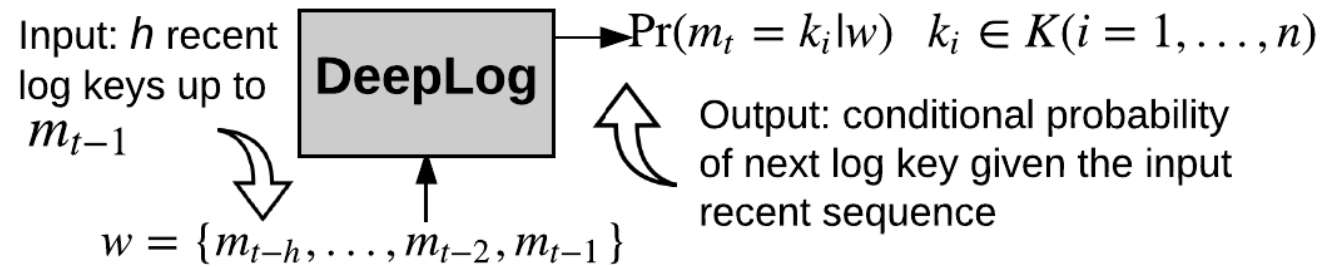


Use long short-term memory (LSTM) architecture

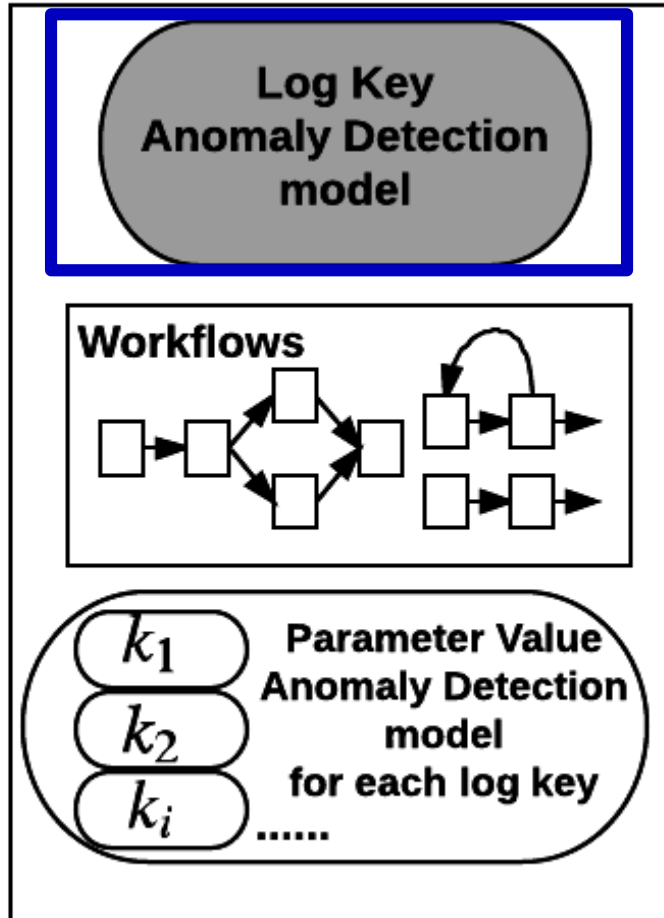
Log Key Anomaly Detection model



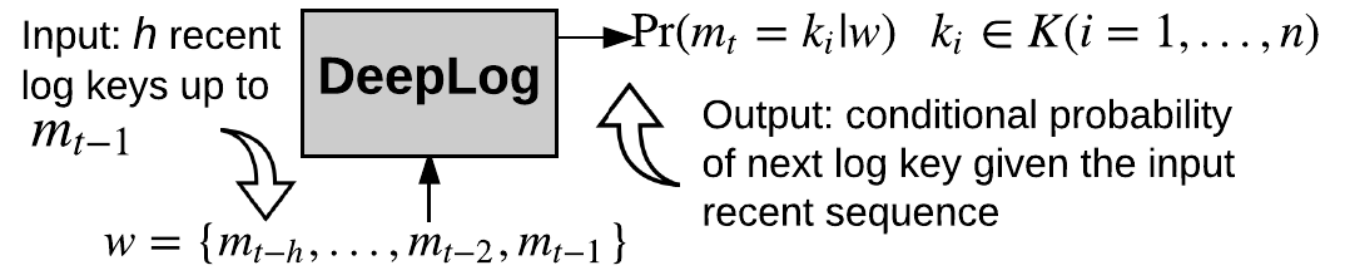
Use long short-term memory (LSTM) architecture



Log Key Anomaly Detection model



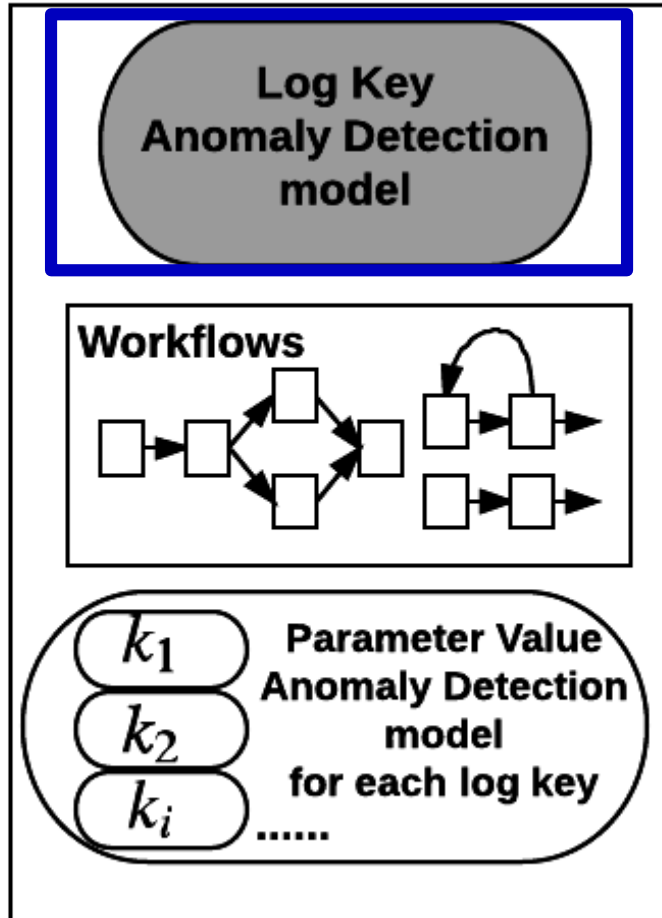
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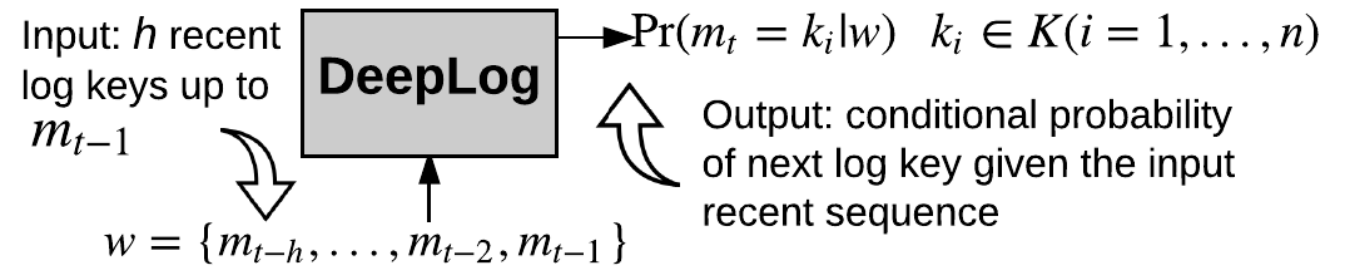
Training:

log key sequence:
 $h=3$ 25 18 54 57 18 56 ... 25 18 54 57 56 18 ...

Log Key Anomaly Detection model



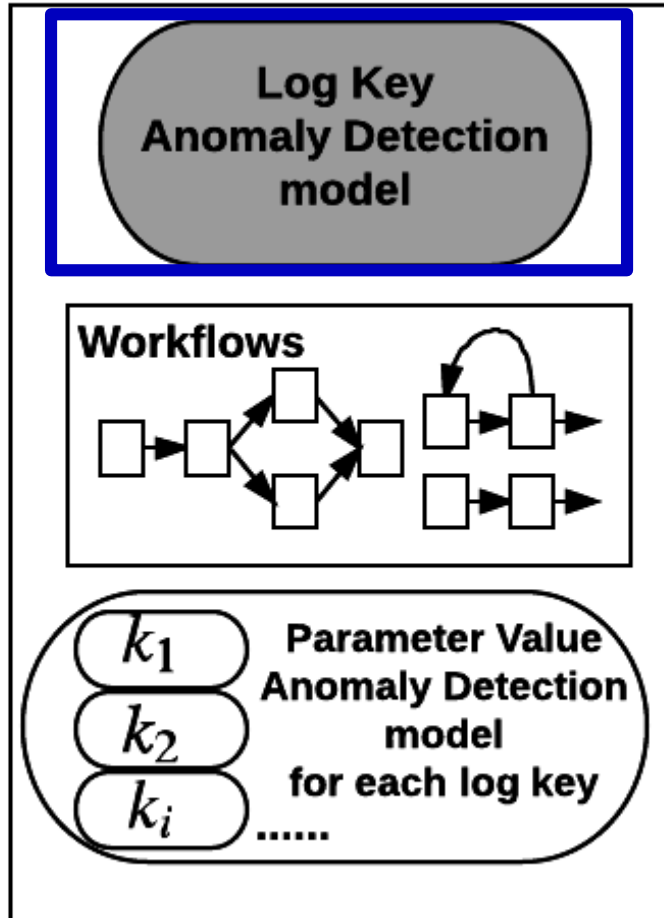
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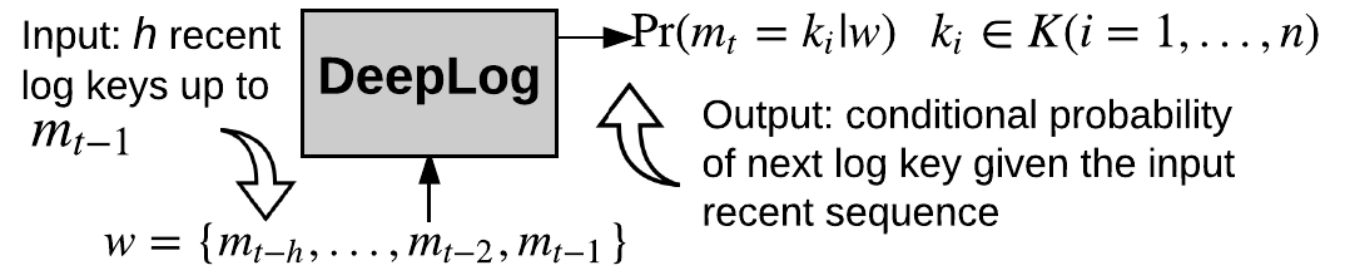
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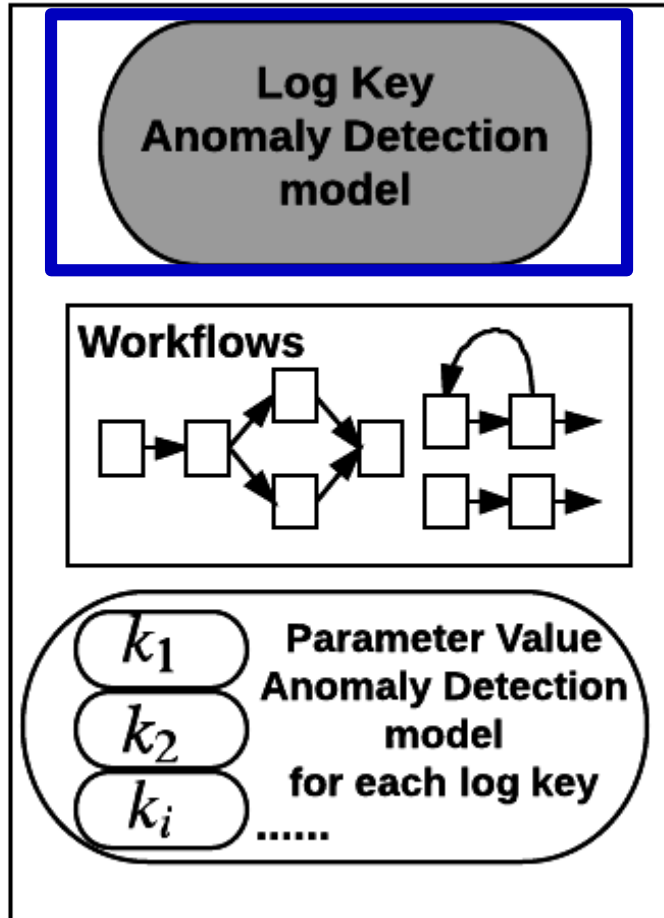
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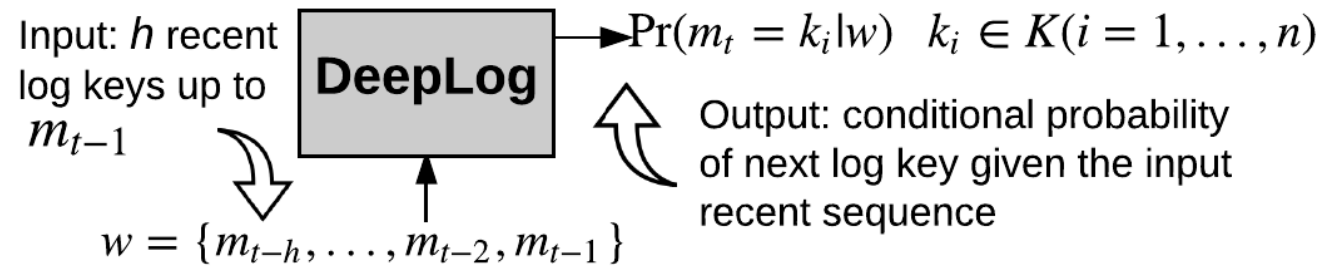
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Log Key Anomaly Detection model



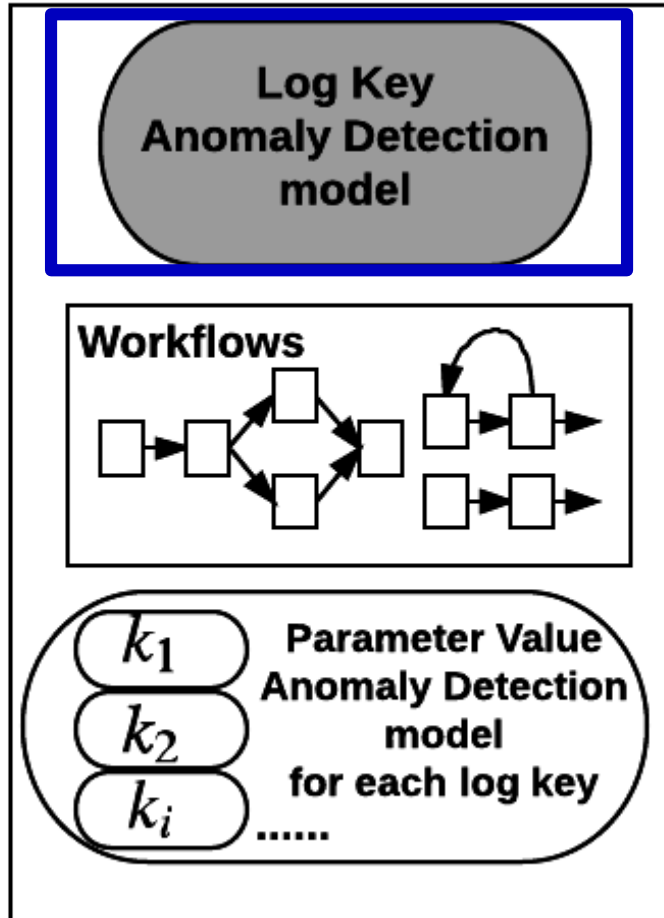
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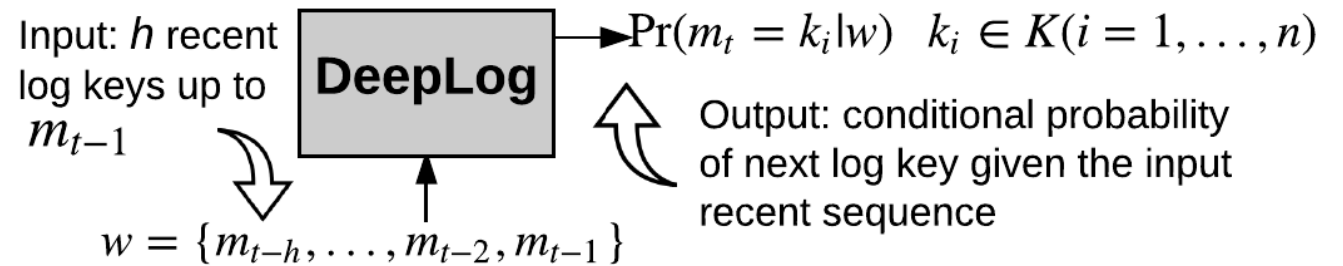
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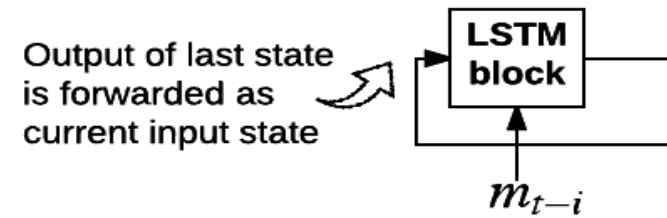
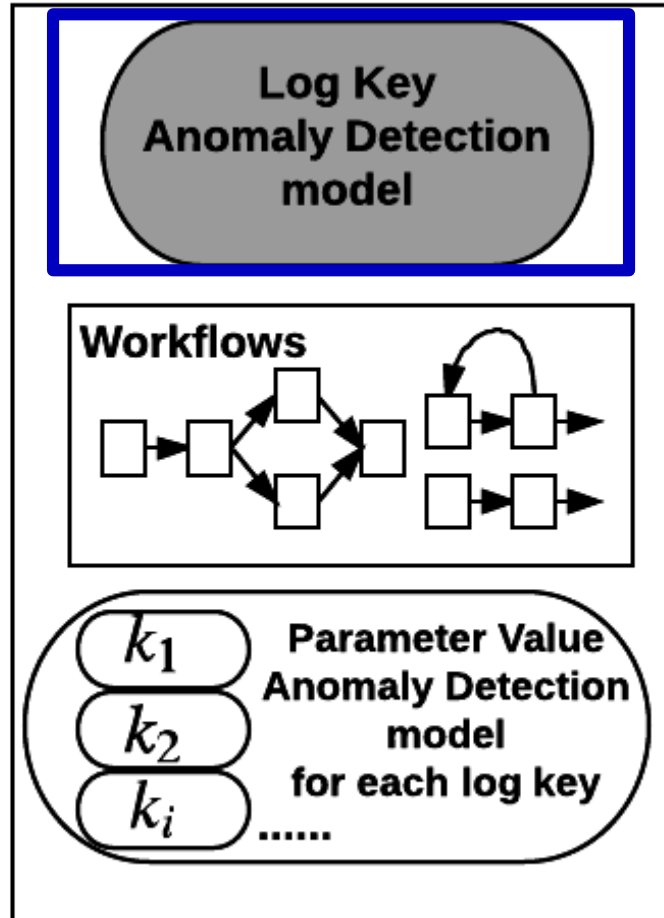
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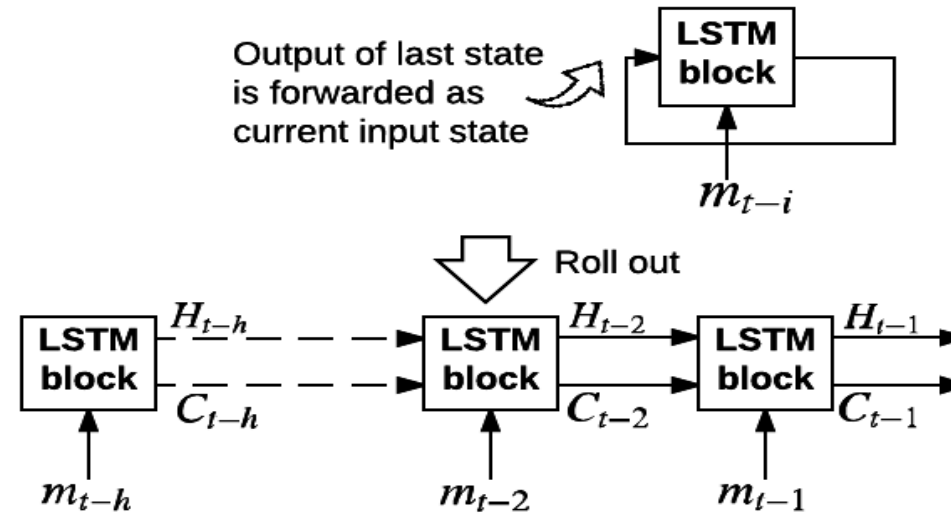
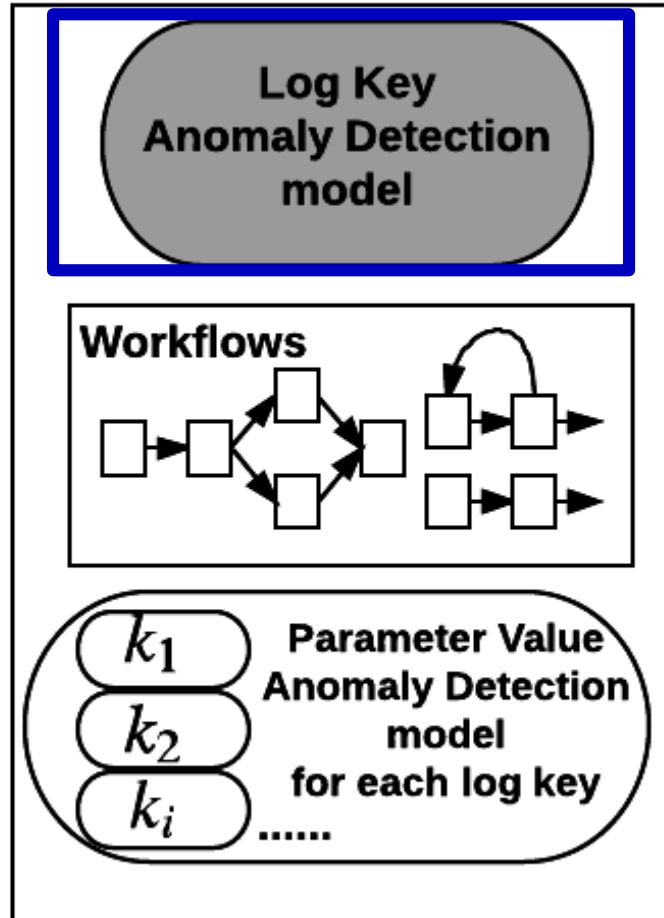
Detection:

In detection stage, DeepLog checks if the actual next log key is among its top g probable predictions.

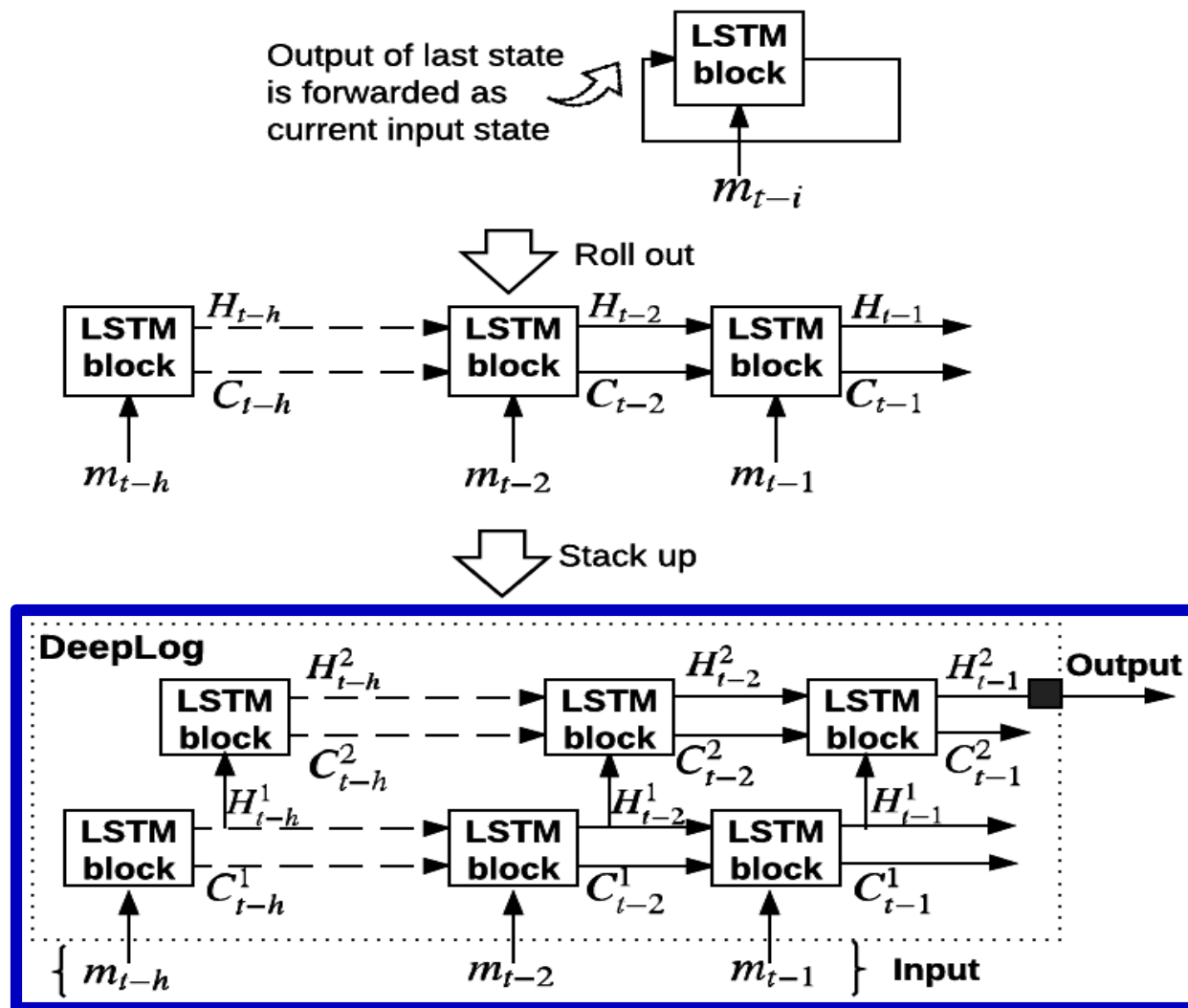
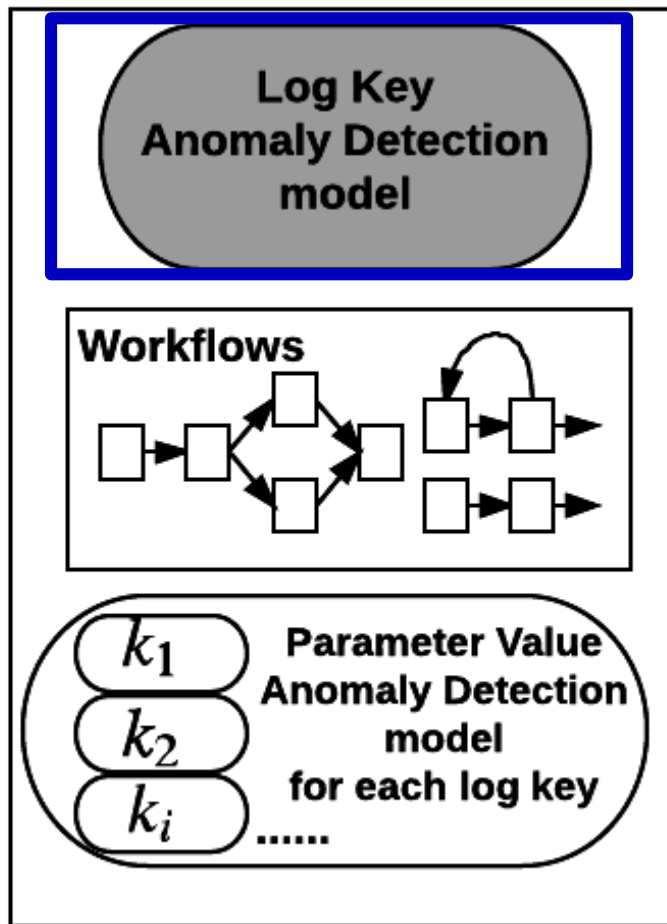
Log Key Anomaly Detection model



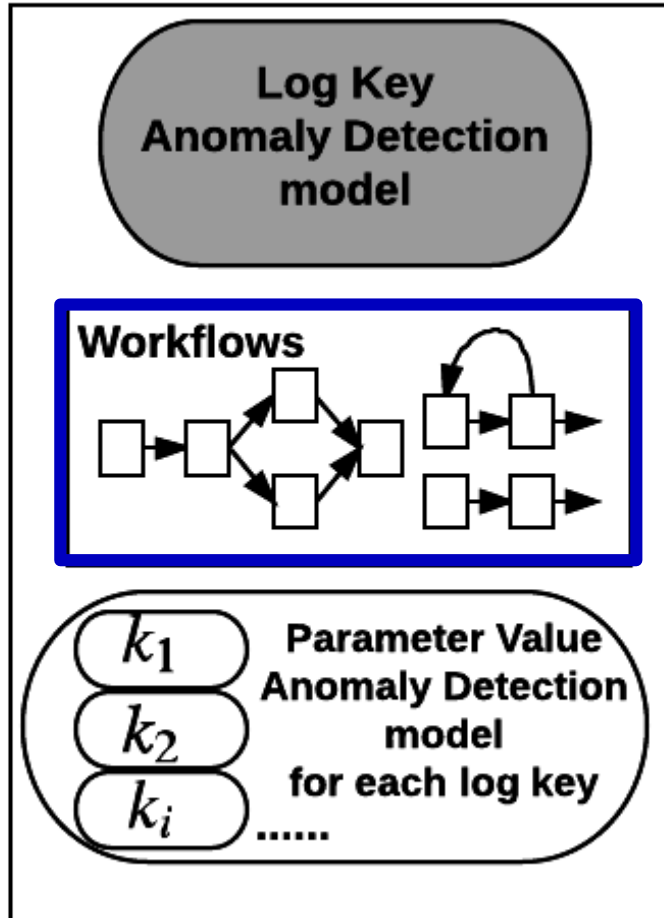
Log Key Anomaly Detection model



Log Key Anomaly Detection model



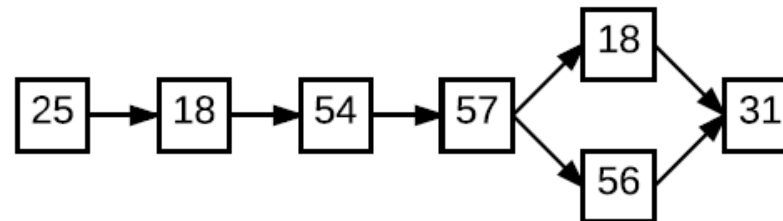
Workflow Construction



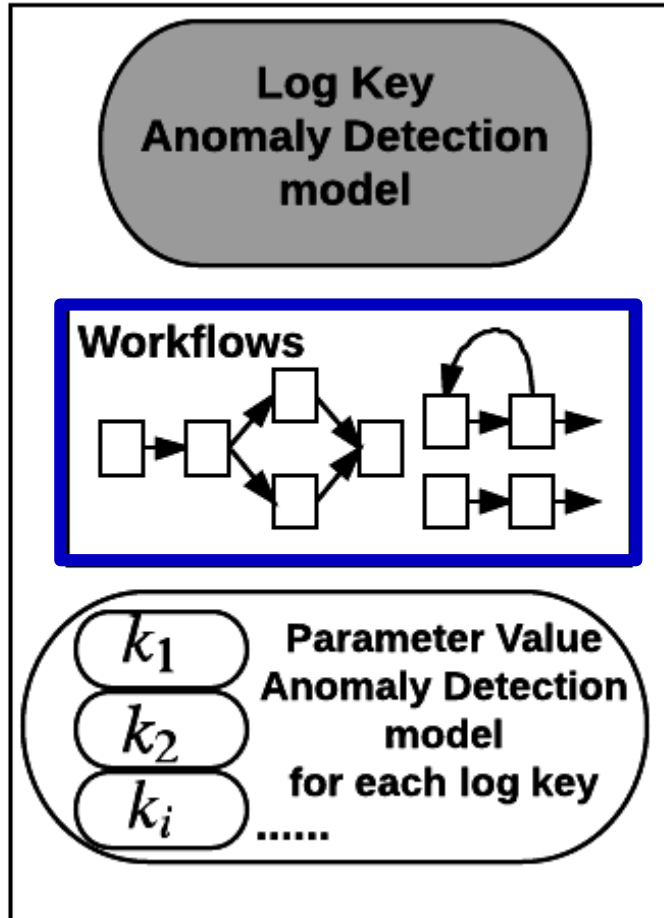
Input: log key sequence

25 18 54 57 18 56 ... 25 18 54 57 56 18 ...

Output:

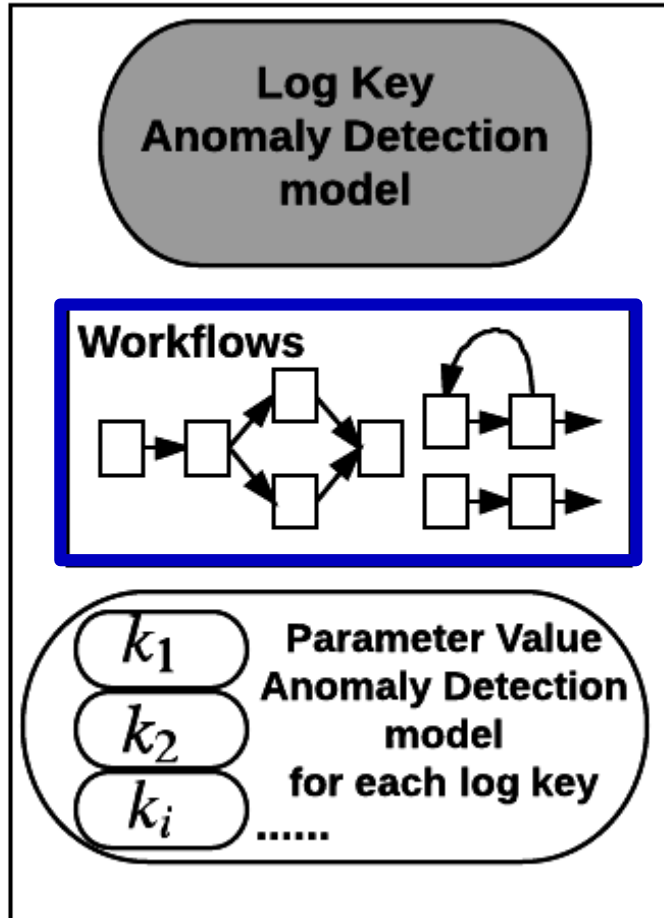


Workflow Construction



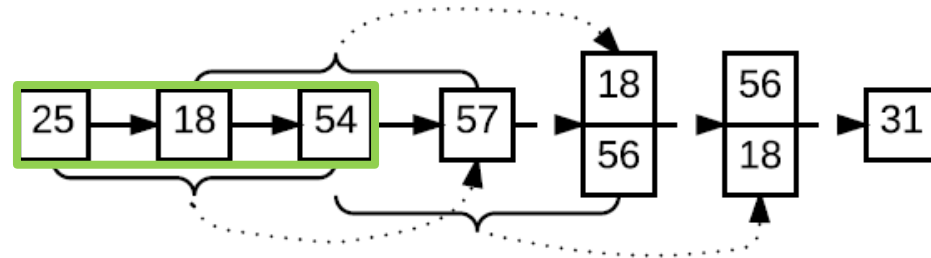
Method 1: Using Log Key Anomaly Detection model
--- *LSTM prediction probabilities*

Workflow Construction

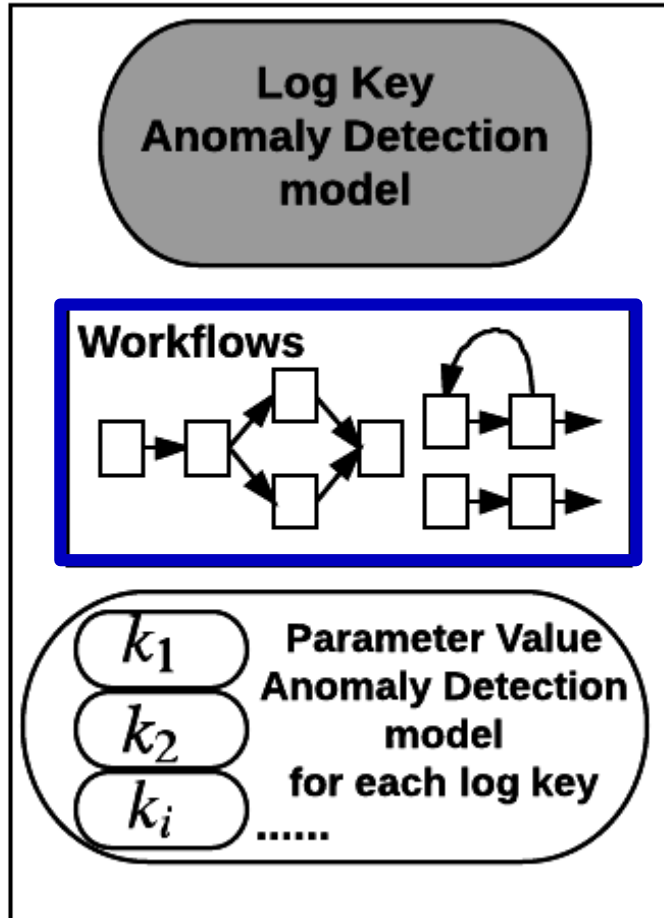


Method 1: Using Log Key Anomaly Detection model
--- LSTM prediction probabilities

An example of concurrency detection:

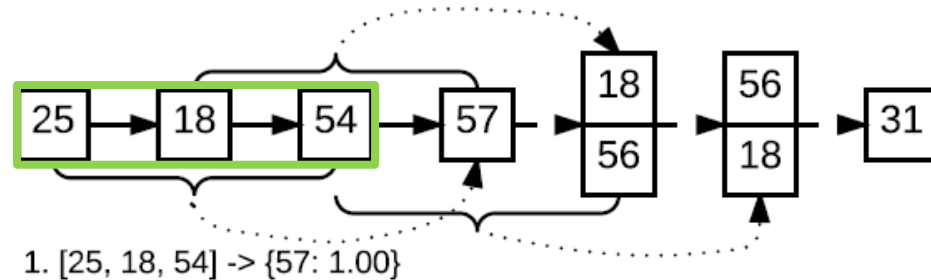


Workflow Construction

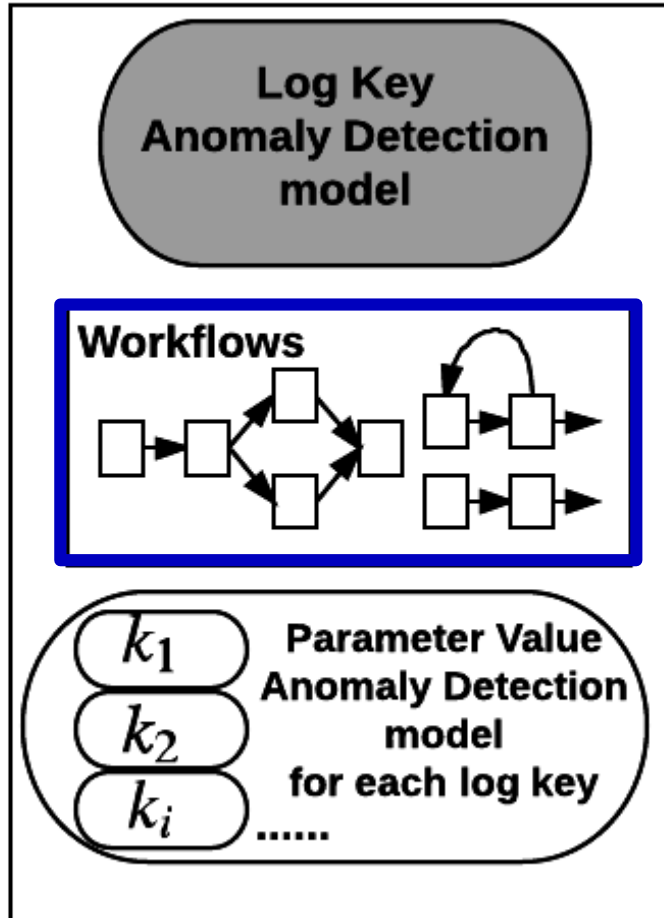


Method 1: Using Log Key Anomaly Detection model
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An example of concurrency detection:



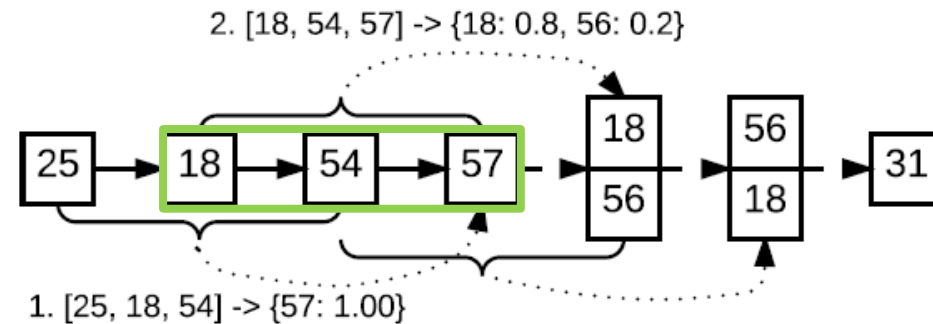
Workflow Construction



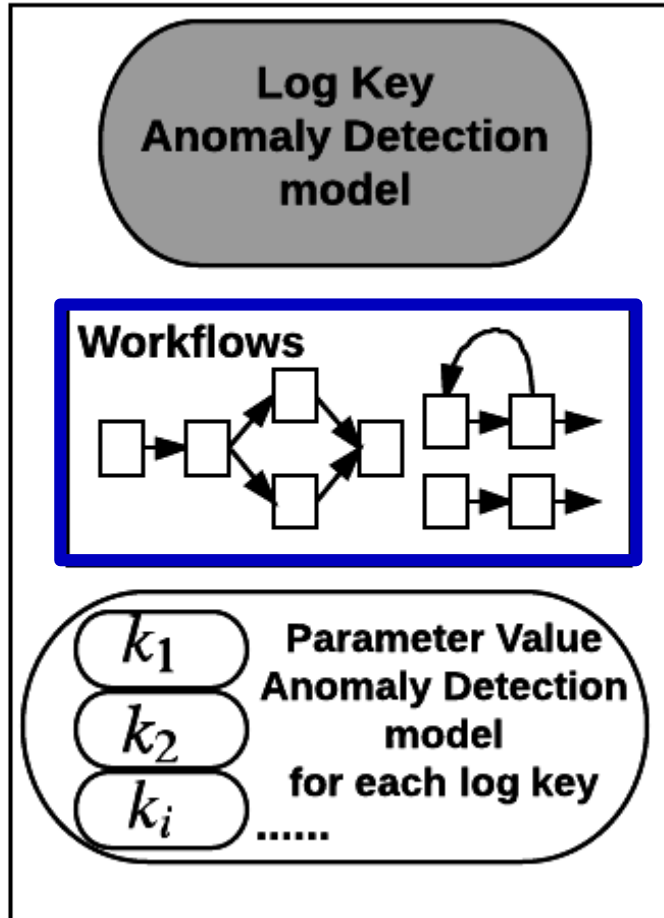
Method 1: Using Log Key Anomaly Detection model

--- LSTM prediction probabilities

An example of concurrency detection:



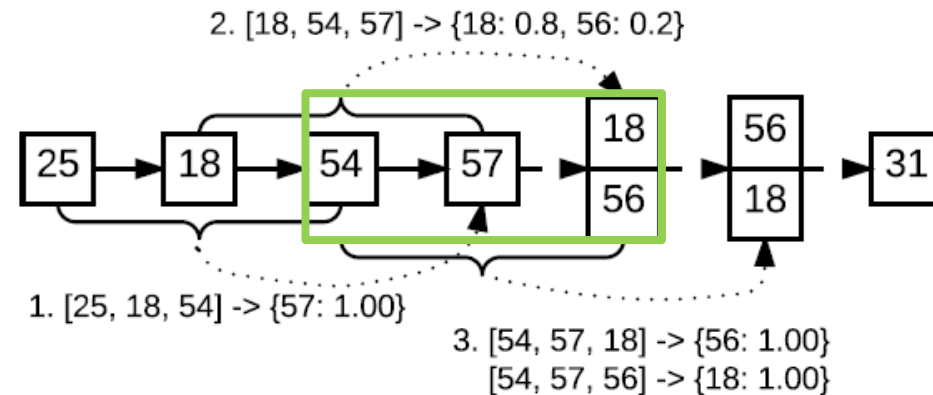
Workflow Construction



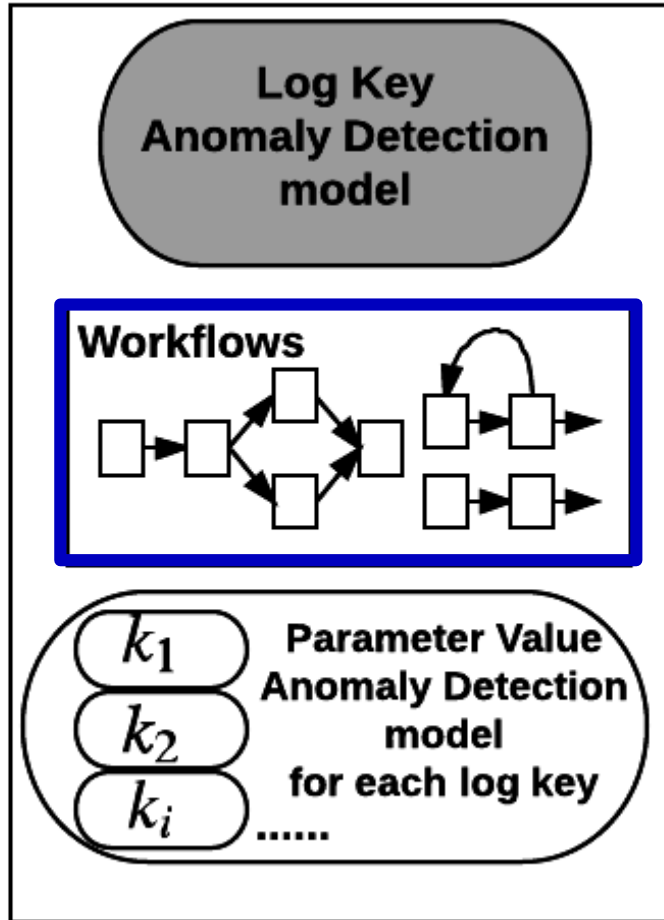
Method 1: Using Log Key Anomaly Detection model

--- LSTM prediction probabilities

An example of concurrency detection:

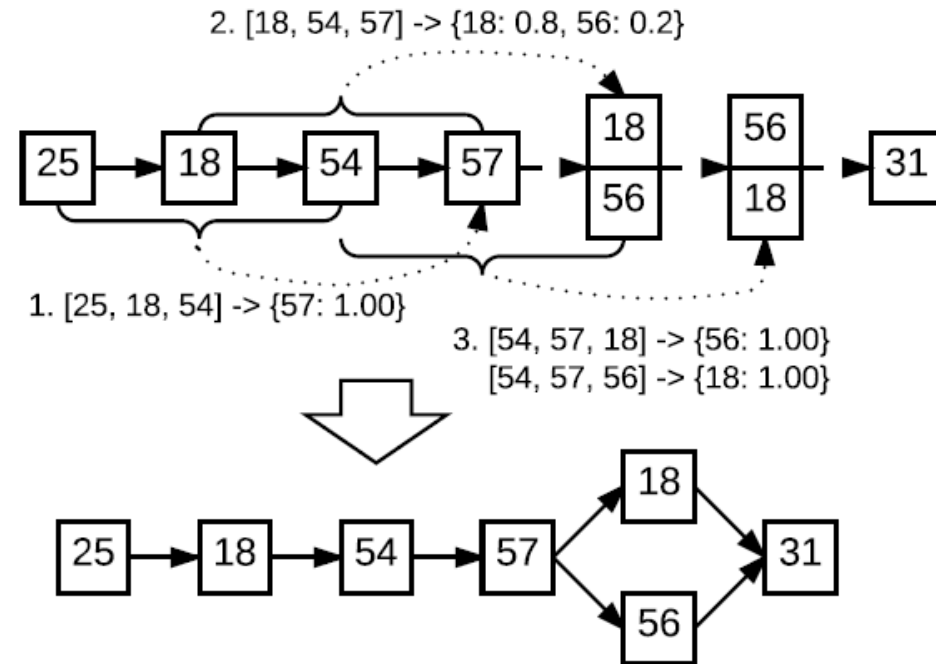


Workflow Construction

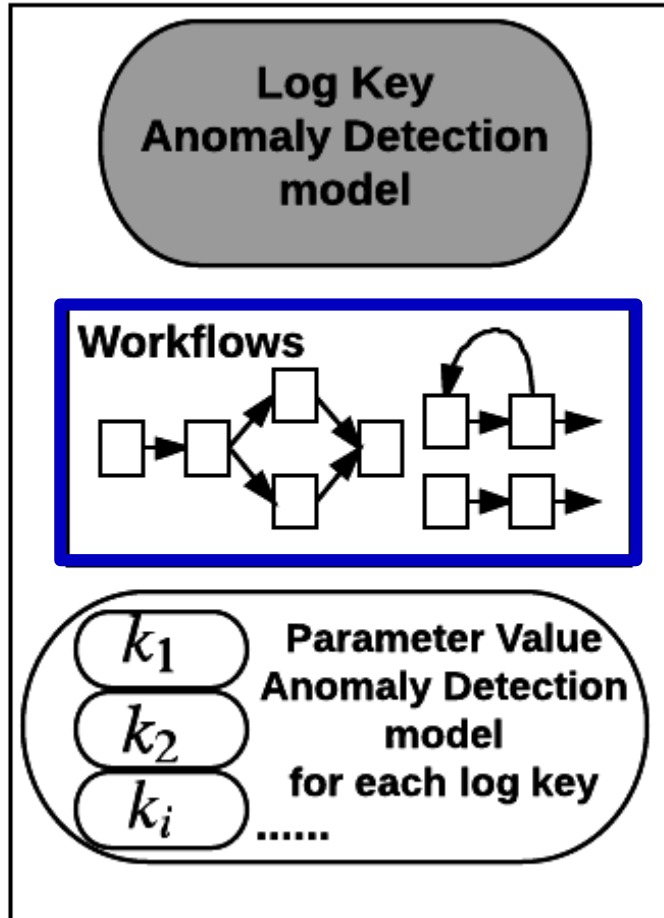


Method 1: Using Log Key Anomaly Detection model --- LSTM prediction probabilities

An example of concurrency detection:

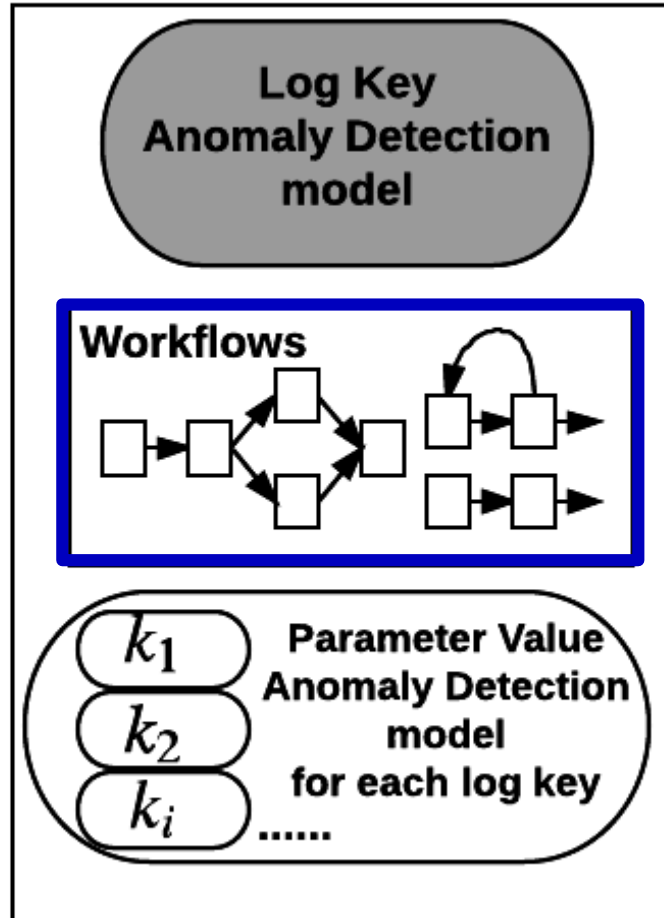


Workflow Construction



Method 2: A density-based clustering approach

Workflow Construction



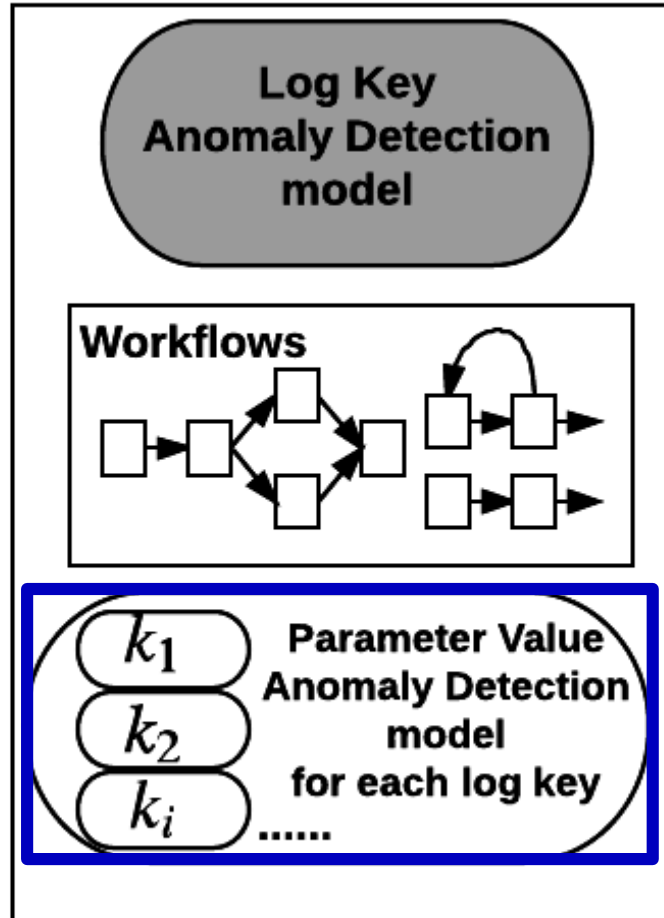
Method 2: A density-based clustering approach

Co-occurrence matrix of log keys (k_i, k_j) within distance d

	k_1	...	k_j	...	k_n
k_1	$p_d(1, 1)$		$p_d(1, j)$		
...					
k_i	$p_d(i, 1)$		$p_d(i, j) = \frac{f_d(k_i, k_j)}{d \cdot f(k_i)}$		
...					
k_n	$p_d(n, 1)$		$p_d(n, j)$		

- $f_d(k_i, k_j)$: the frequency of (k_i, k_j) appearing together within distance d
- $f(k_i)$: the frequency of k_i in the input sequence
- $p_d(i, j)$: the probability of (k_i, k_j) appearing together within distance d

Parameter Value Anomaly Detection model



Example:

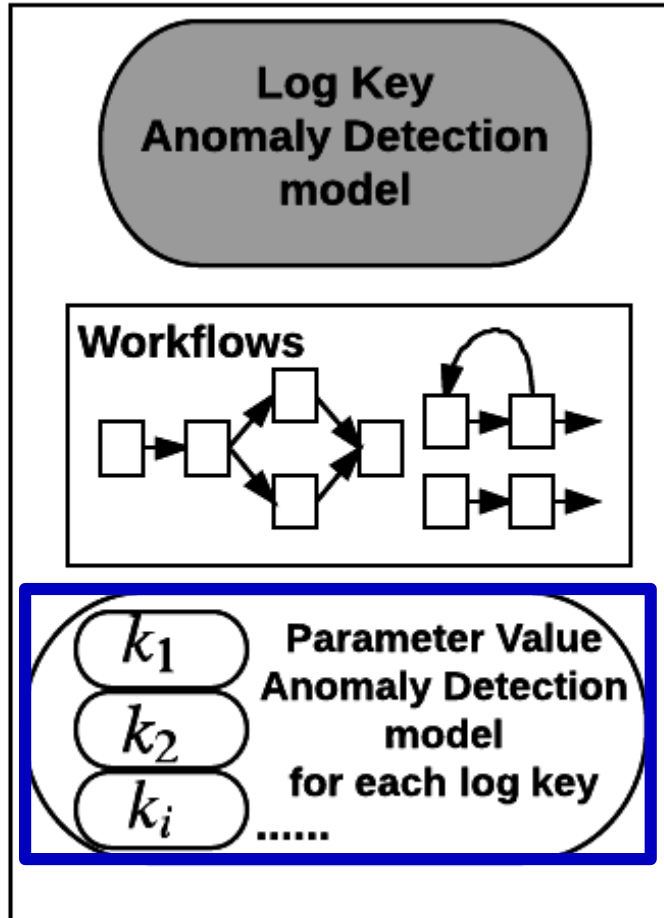
Log messages of a particular log key:

t_2 : Took 0.61 seconds to deallocate network ...

t'_2 : Took 1.1 seconds to deallocate network ...

.....

Parameter Value Anomaly Detection model



Example:

Log messages of a particular log key:

t_2 : Took 0.61 seconds to deallocate network ...

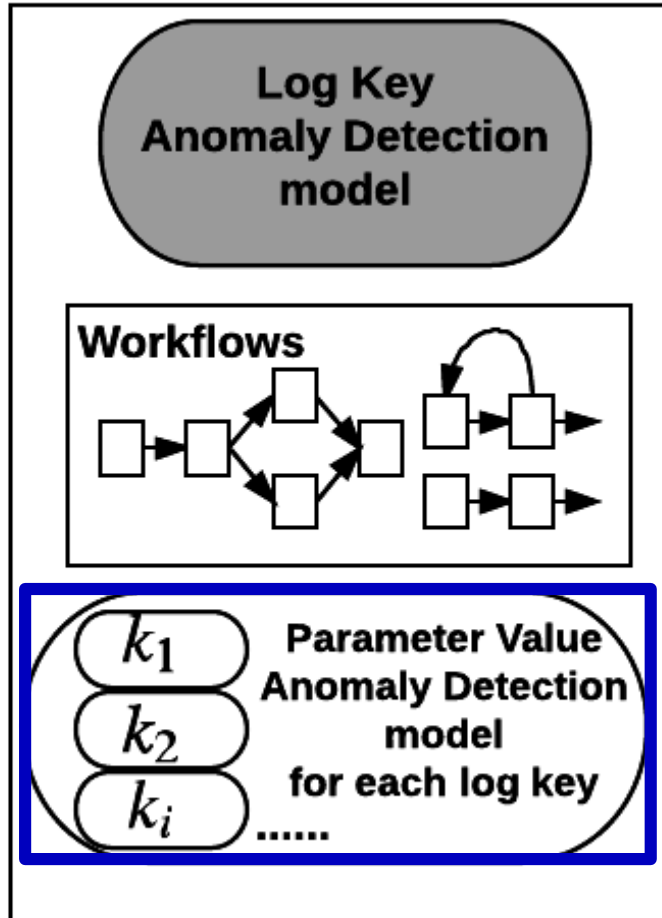
t'_2 : Took 1.1 seconds to deallocate network ...

....

Parameter value vectors overtime:

$[t_2 - t_1, 0.61]$, $[t'_2 - t'_1, 1.1]$,

Parameter Value Anomaly Detection model



Example:

Log messages of a particular log key:

t_2 : Took 0.61 seconds to deallocate network ...

t'_2 : Took 1.1 seconds to deallocate network ...

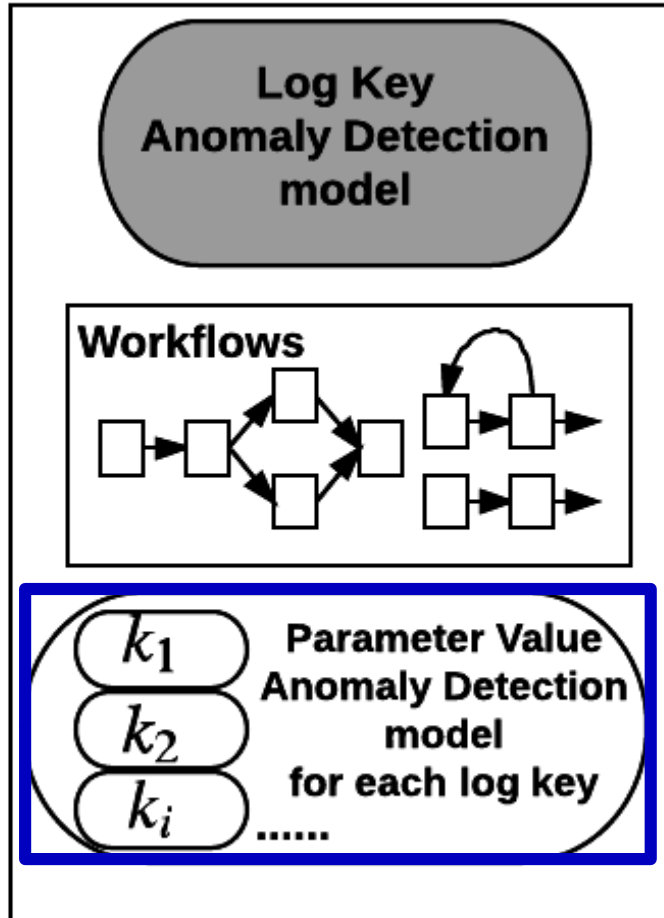
....

Parameter value vectors overtime:

$[t_2 - t_1, 0.61]$, $[t'_2 - t'_1, 1.1]$,

Multi-variate time series data anomaly detection problem!

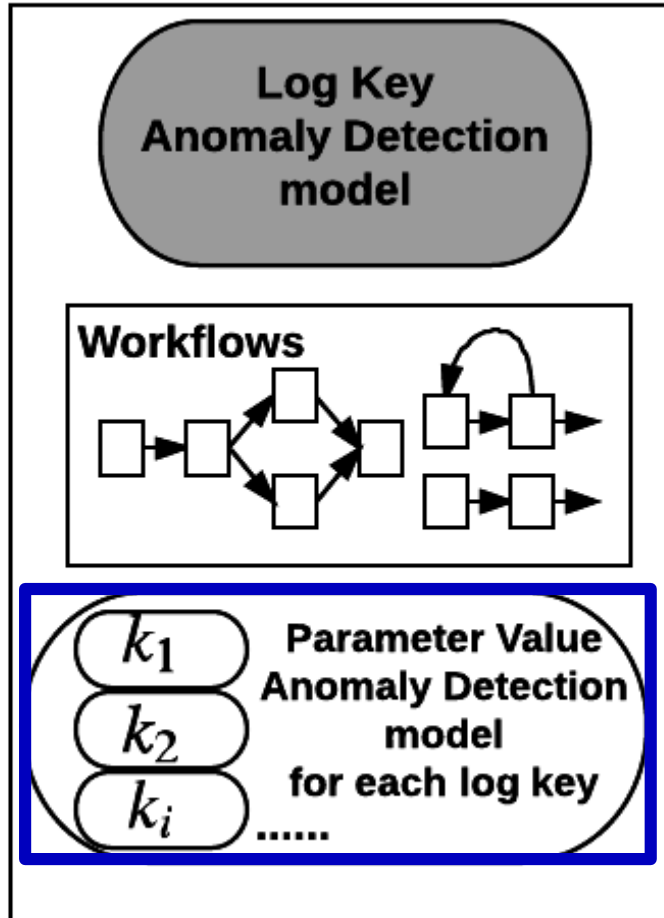
Parameter Value Anomaly Detection model



Multi-variate time series data anomaly detection problem

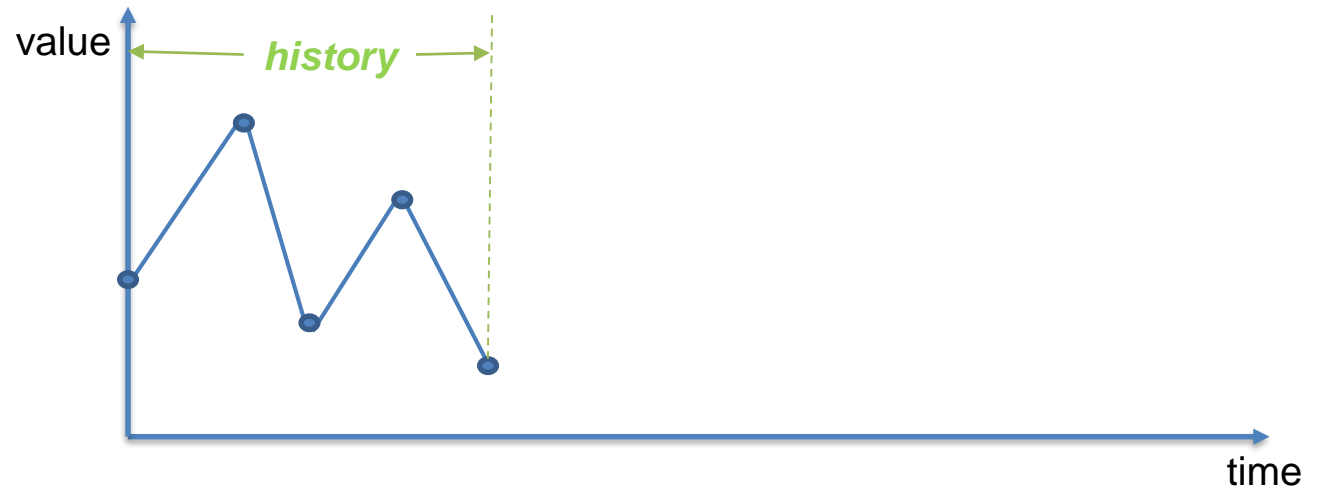
- ✓ Leverage LSTM-based approach;
- ✓ A parameter value vector is given as input at each time step;
- ✓ An anomaly is detected if the mean-square-error (MSE) between prediction and actual data is too big.

Parameter Value Anomaly Detection model

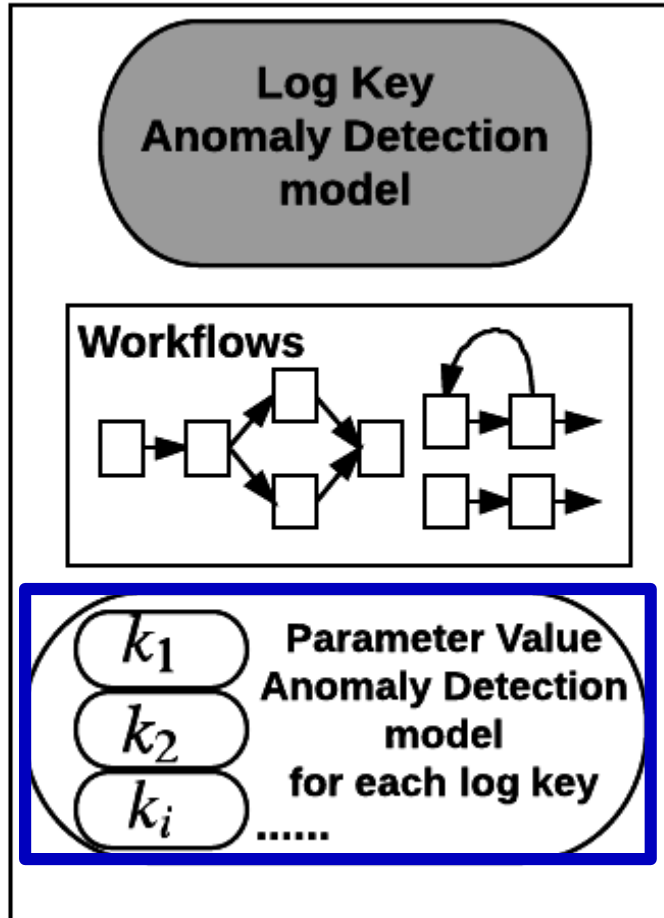


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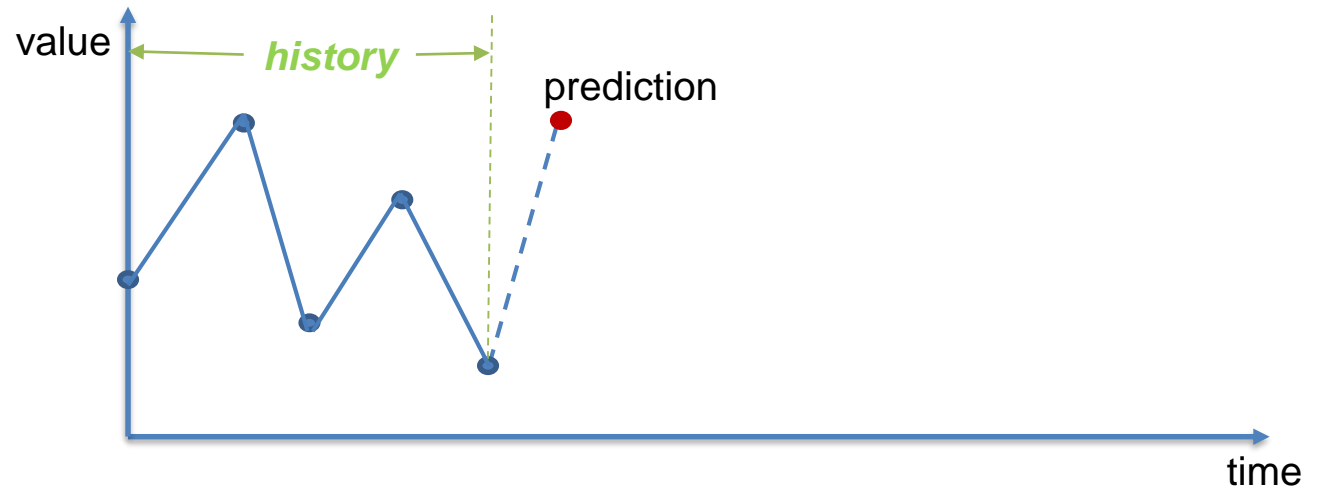


Parameter Value Anomaly Detection model

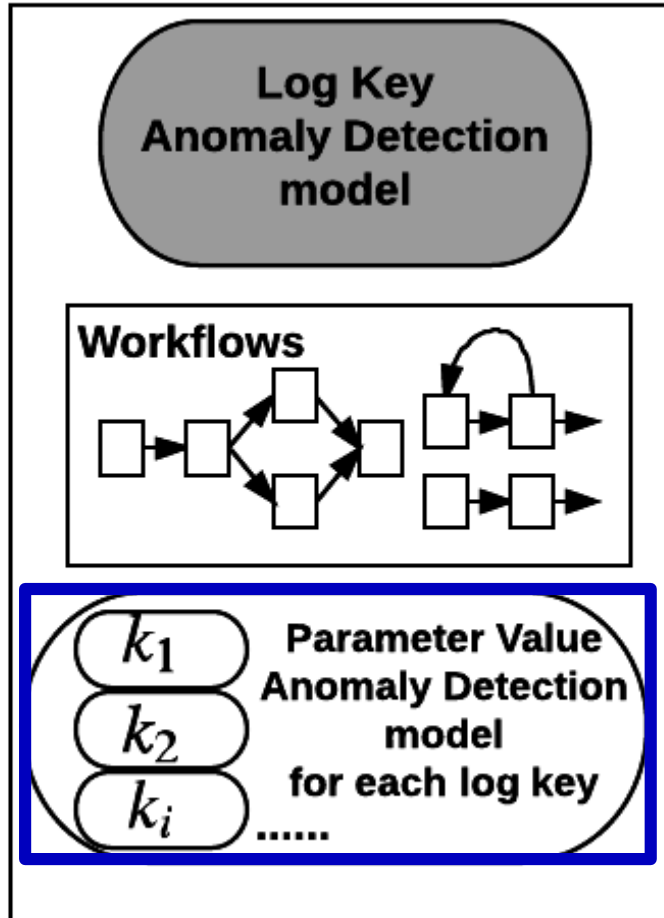


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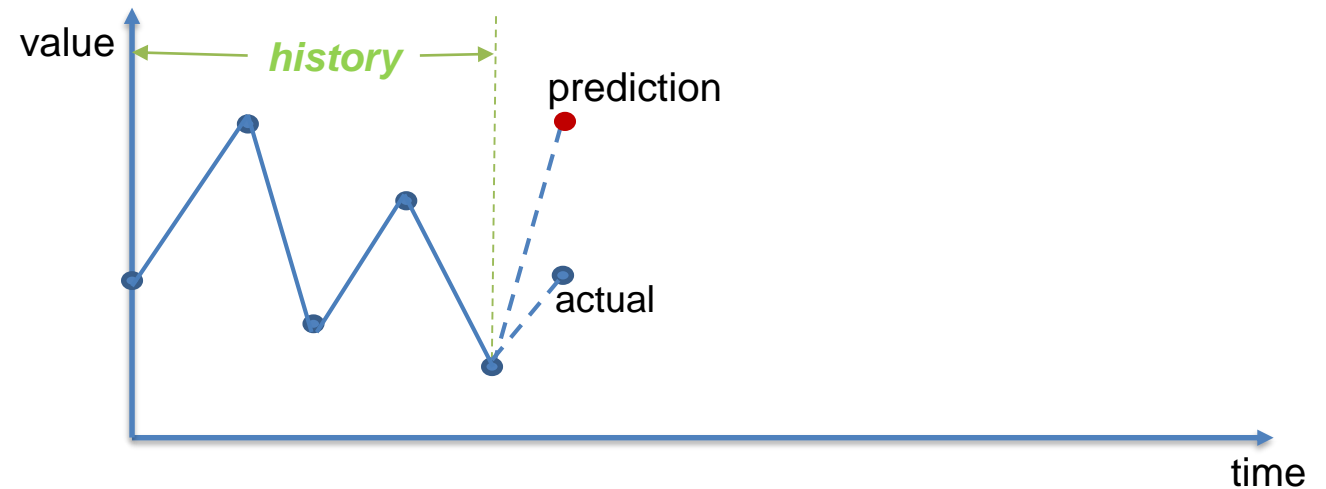


Parameter Value Anomaly Detection model

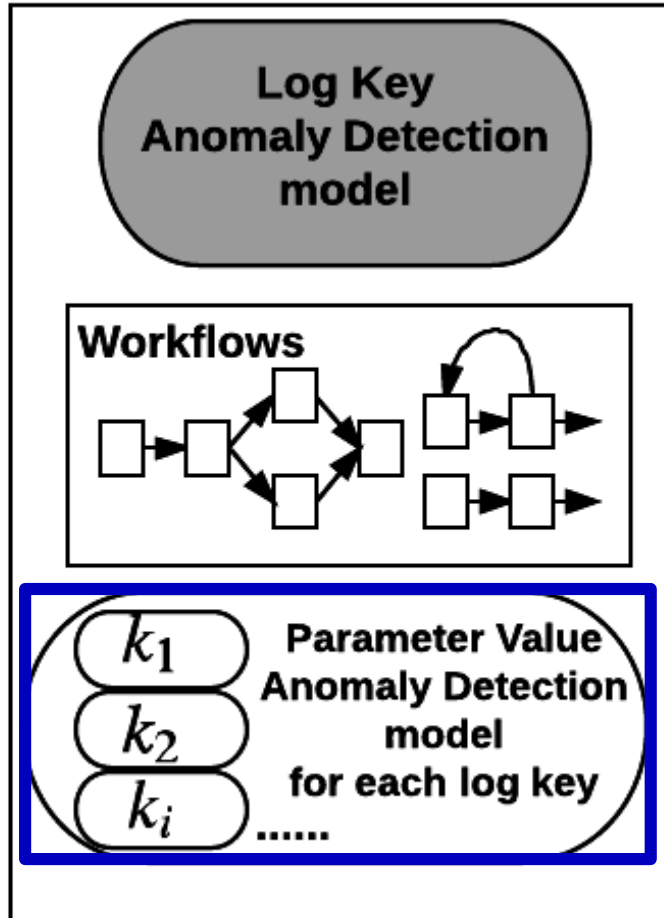


Multi-variate time series data anomaly detection problem

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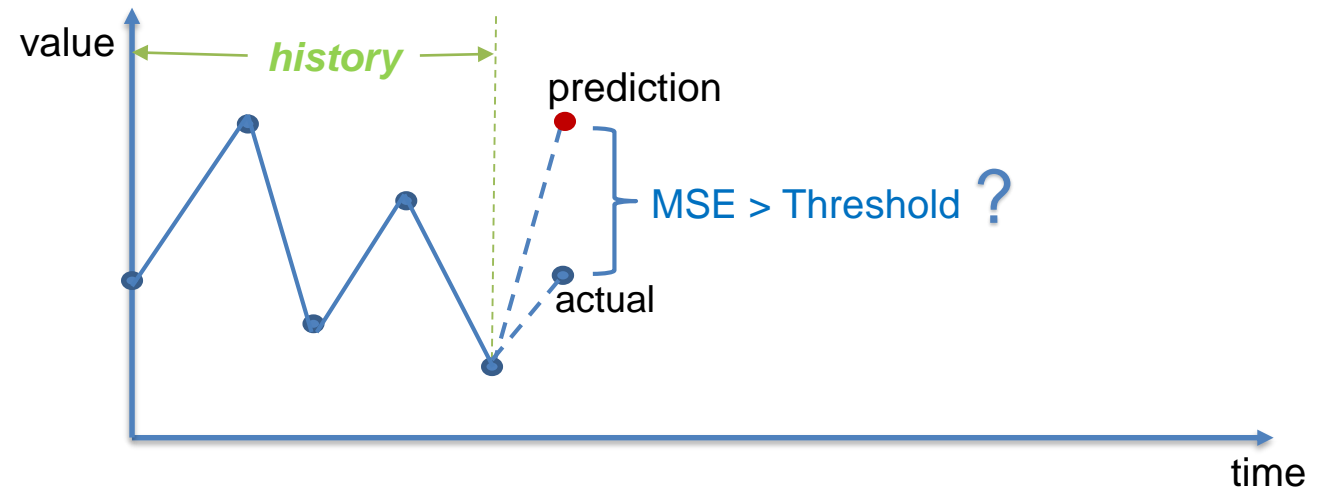


Parameter Value Anomaly Detection model

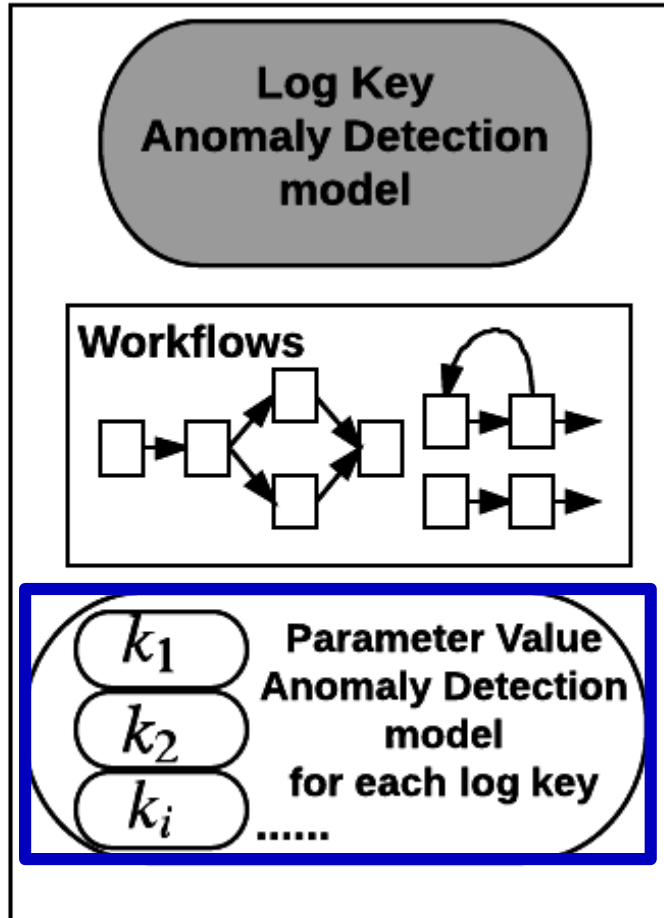


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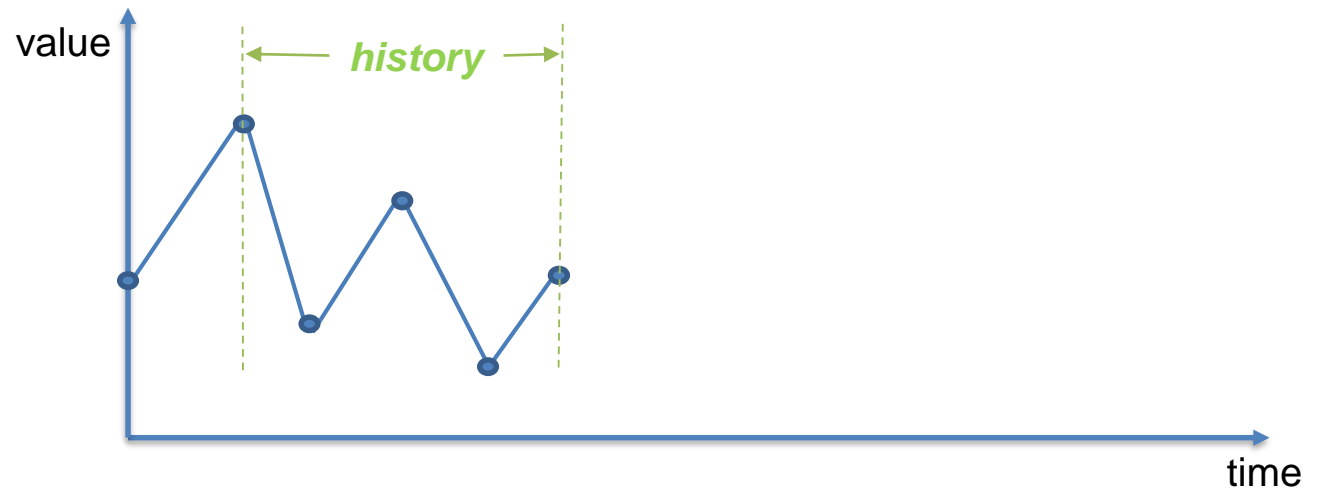


Parameter Value Anomaly Detection model

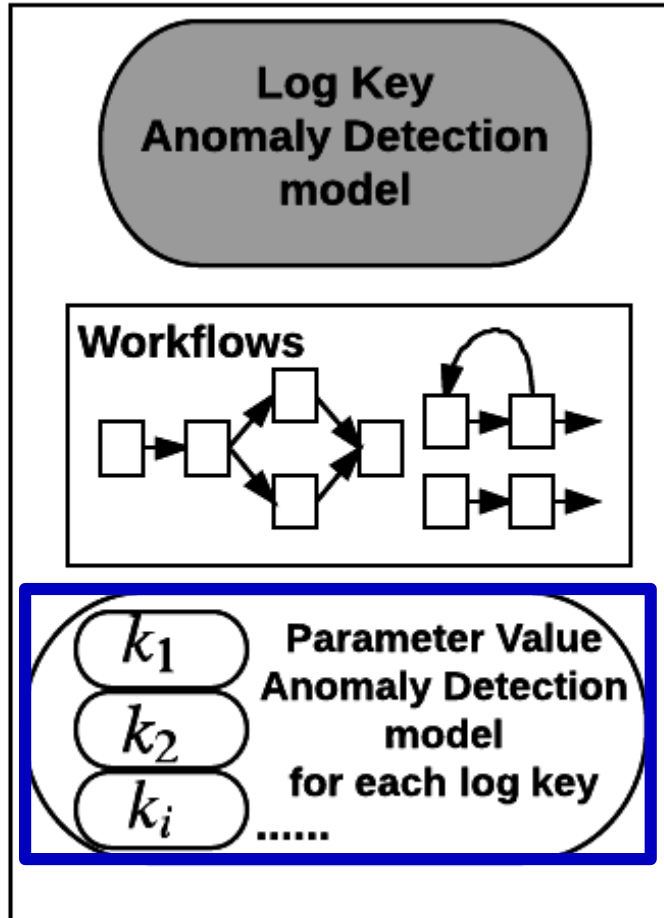


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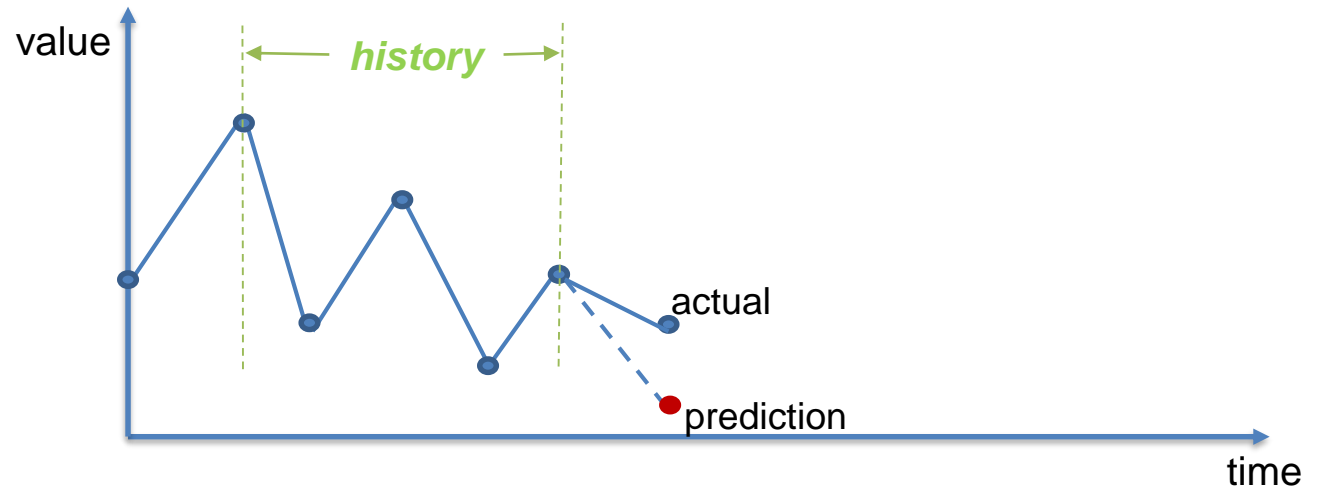


Parameter Value Anomaly Detection model

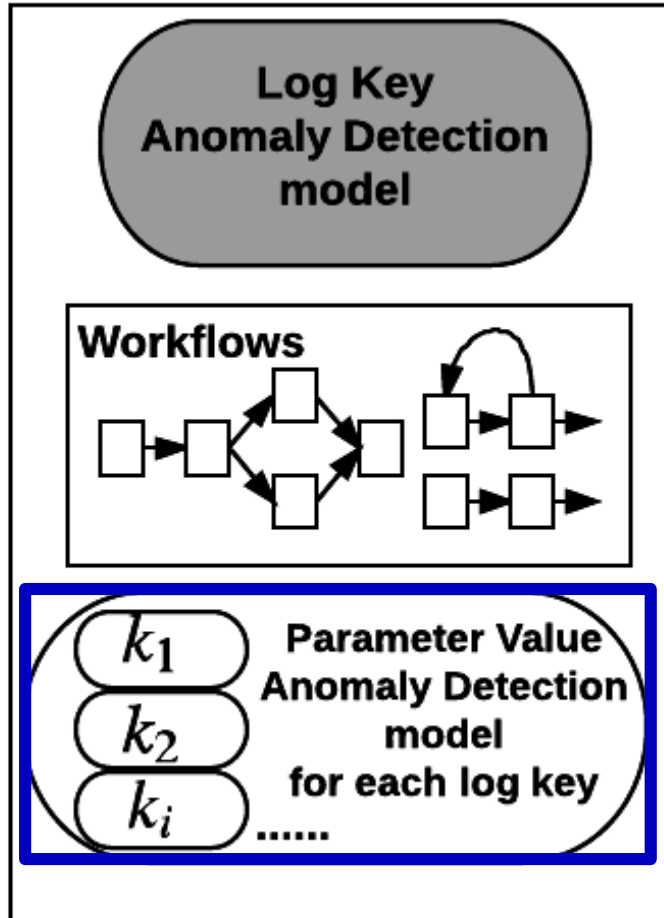


Multi-variate time series data anomaly detection problem

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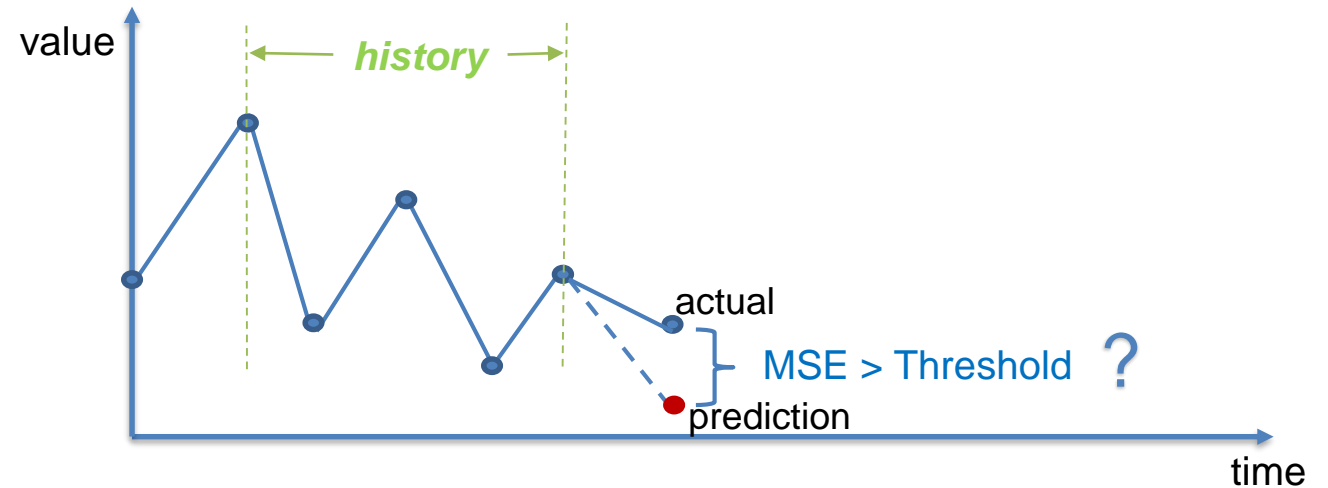


Parameter Value Anomaly Detection model

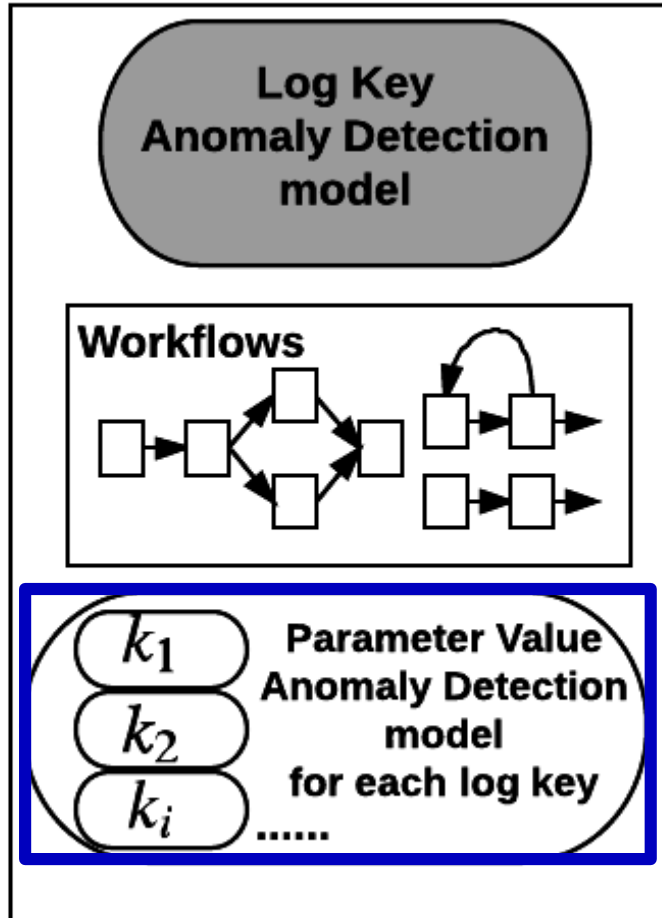


Multi-variate time series data anomaly detection problem

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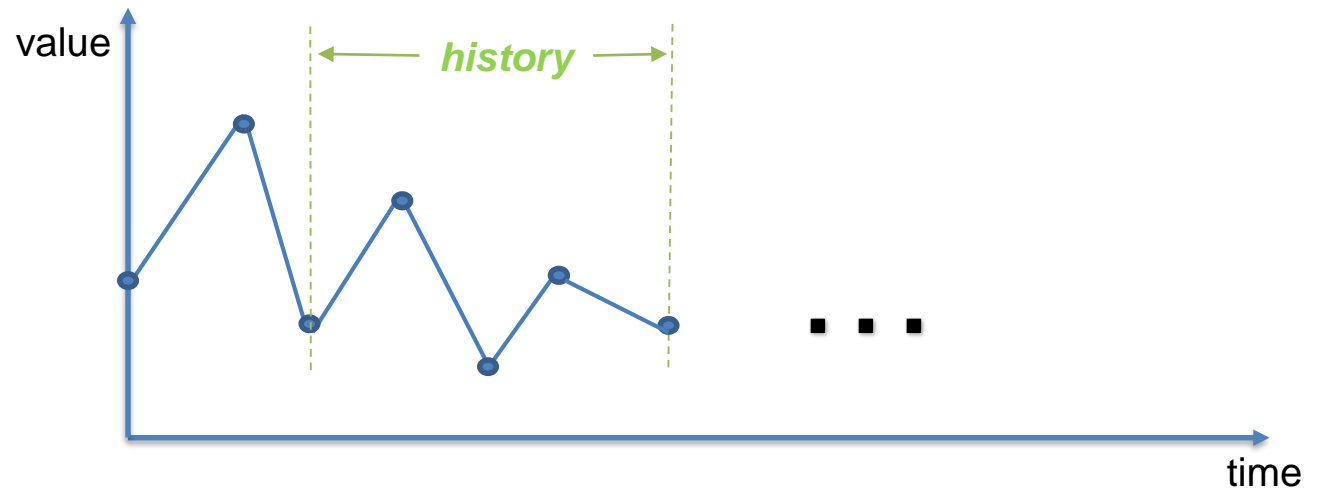


Parameter Value Anomaly Detection model



Multi-variate time series data anomaly detection problem

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LSTM model online update

Q: How to handle false positive?

LSTM model online update

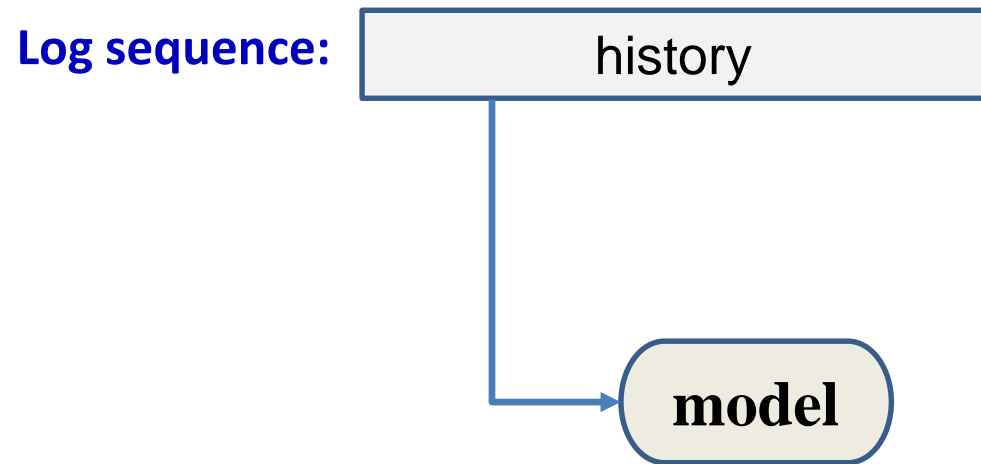
Q: How to handle false positive?

Log sequence:

history

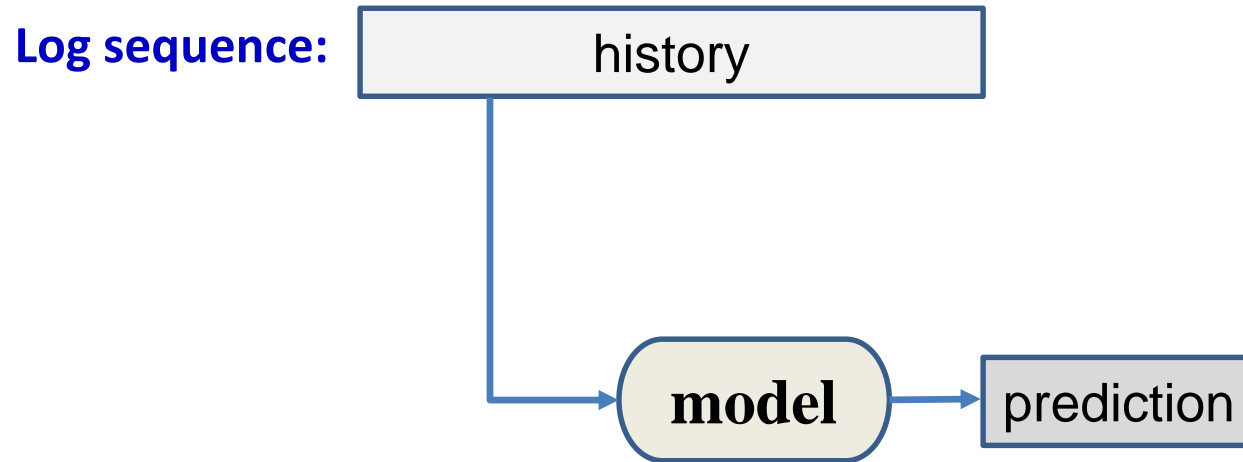
LSTM model online update

Q: How to handle false positive?



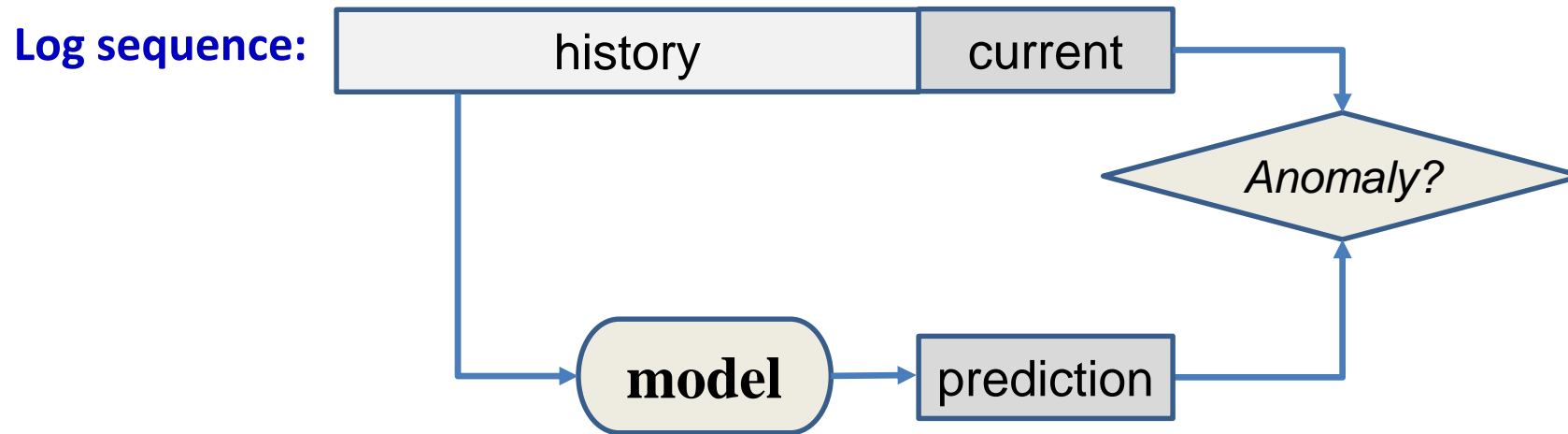
LSTM model online update

Q: How to handle false positive?



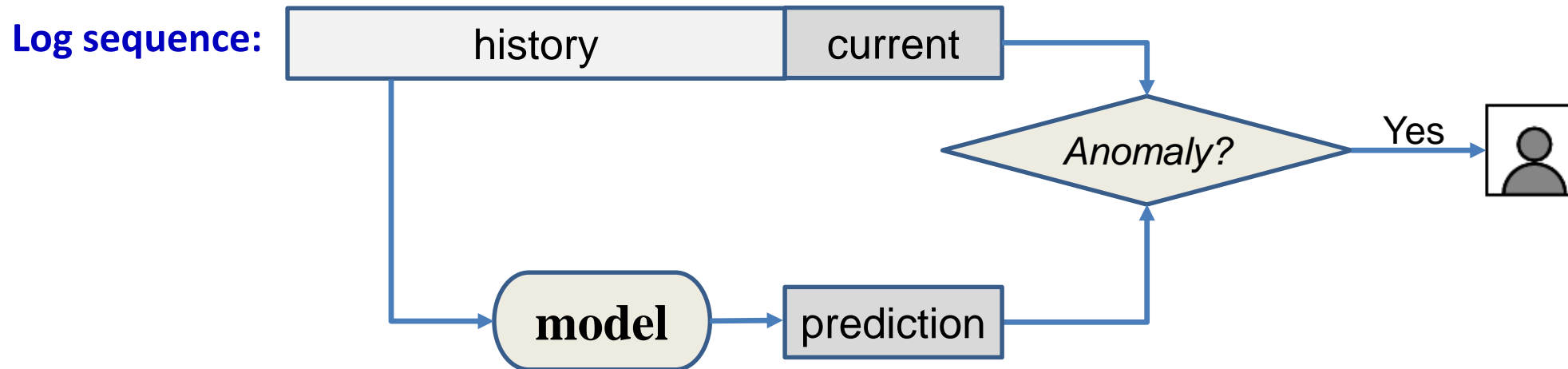
LSTM model online update

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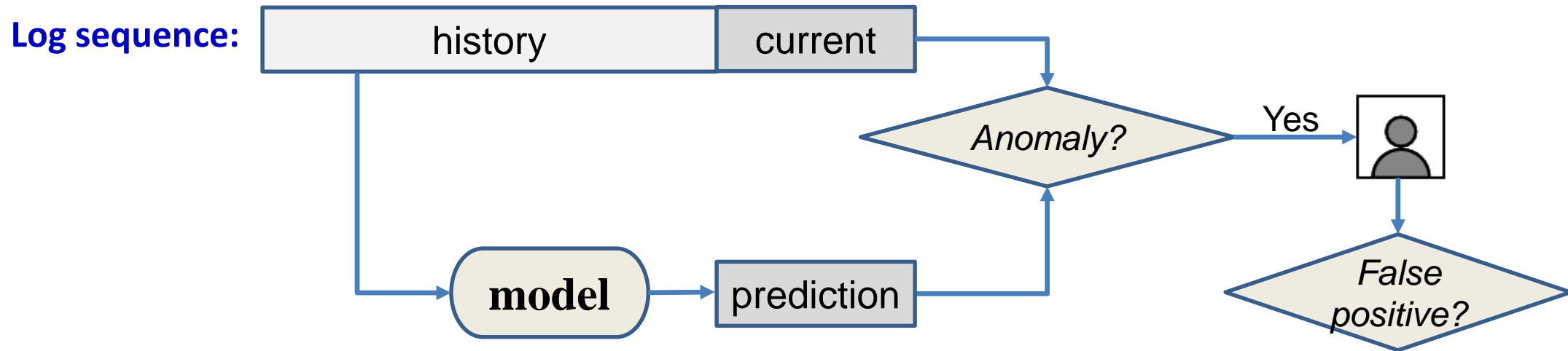
LSTM model online update

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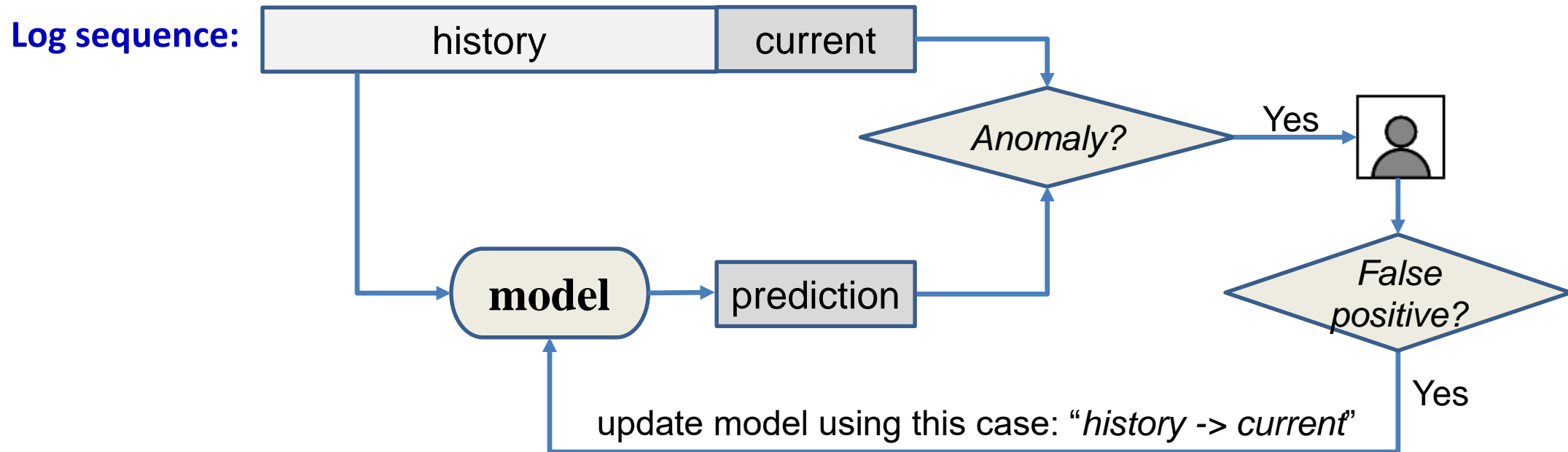
LSTM model online update

Q: How to handle false positive?

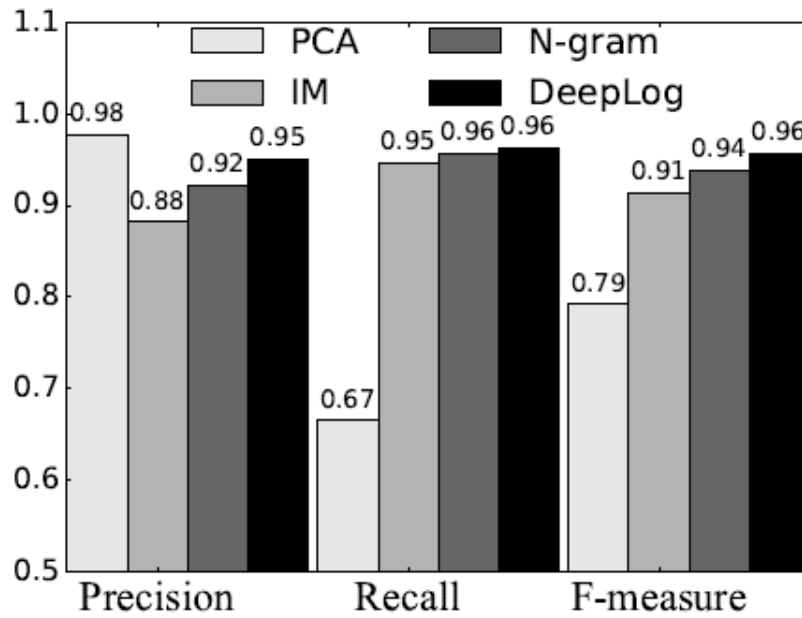
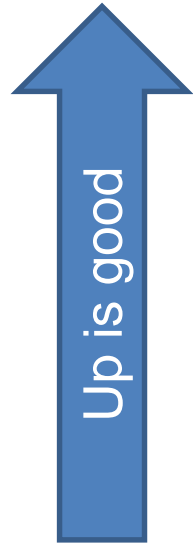


LSTM model online update

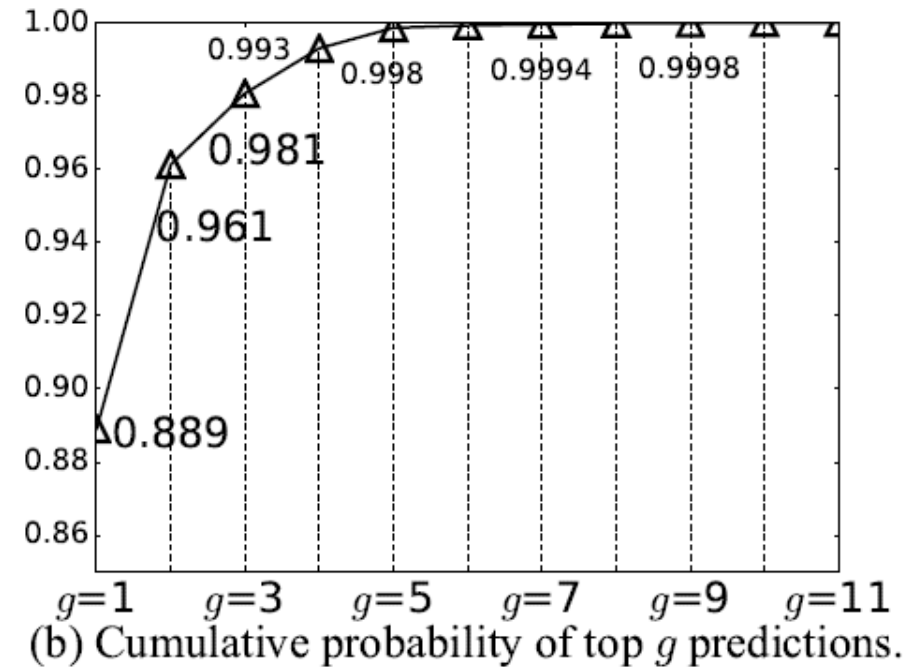
Q: How to handle false positive?



Evaluation – log key anomaly detection



(a) Accuracy on HDFS.



(b) Cumulative probability of top g predictions.

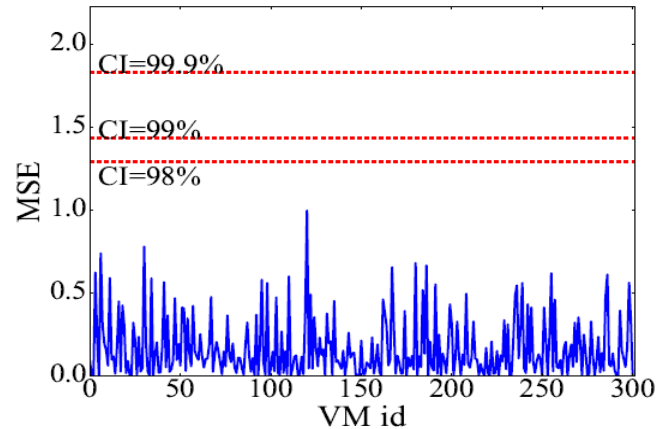
Evaluation results on HDFS log data [1].

(over a million log entries with labeled anomalies)

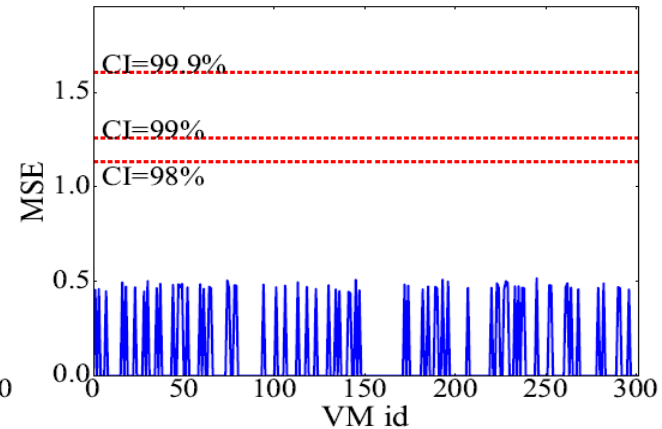
[1] PCA (SOSP'09), IM (UsenixATC'10), N-gram (baseline language model)

Evaluation – parameter value anomaly detection

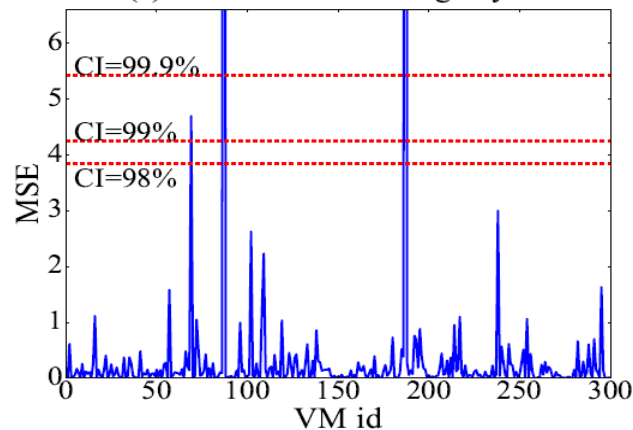
MSE:
mean square error



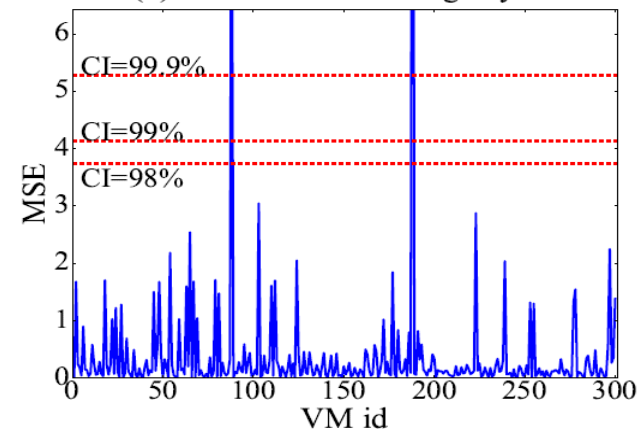
(a) Value vectors for log key 25



(b) Value vectors for log key 45



(c) Value vectors for log key 53

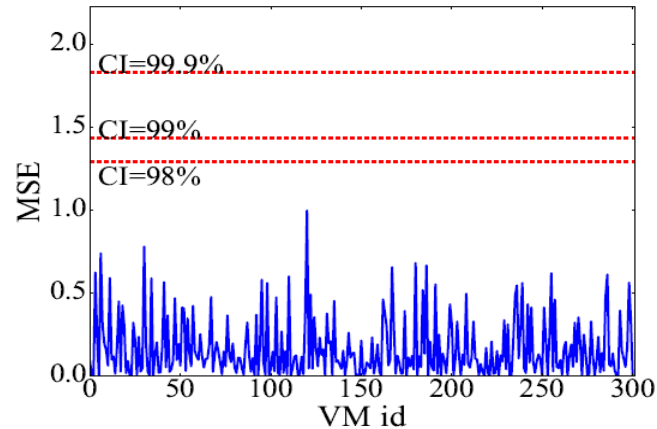


(d) Value vectors for log key 56

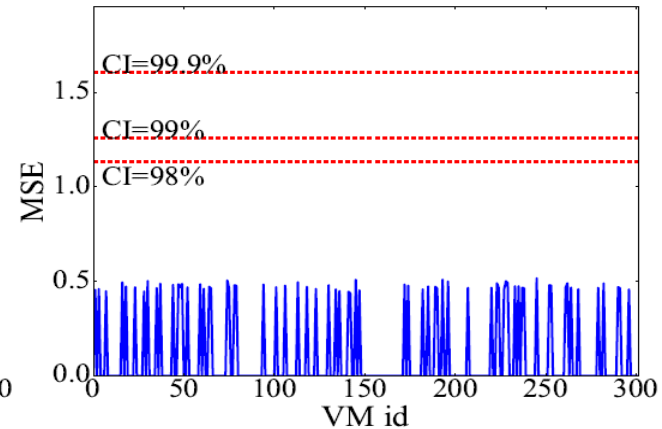
**Evaluation results on OpenStack cloud log
with different confidence intervals (CIs)**

Evaluation – parameter value anomaly detection

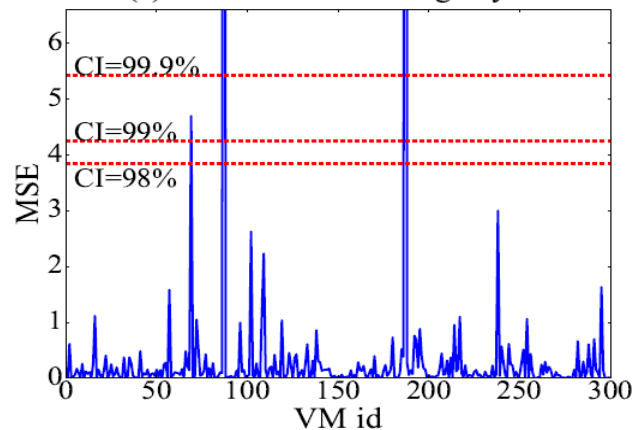
MSE:
mean square error



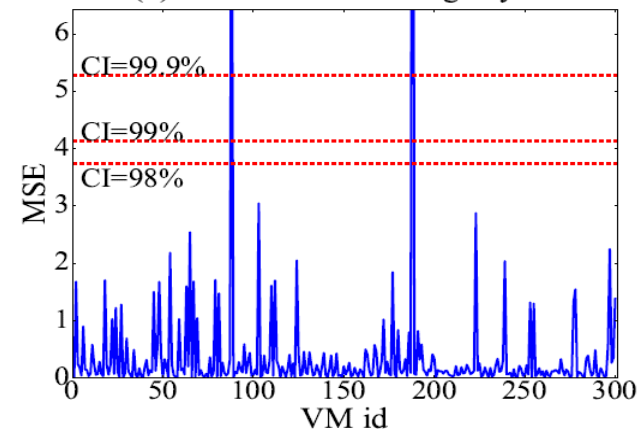
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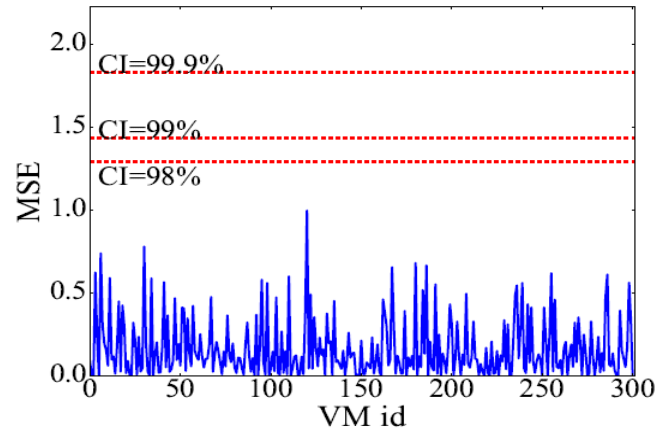
**Evaluation results on OpenStack cloud log
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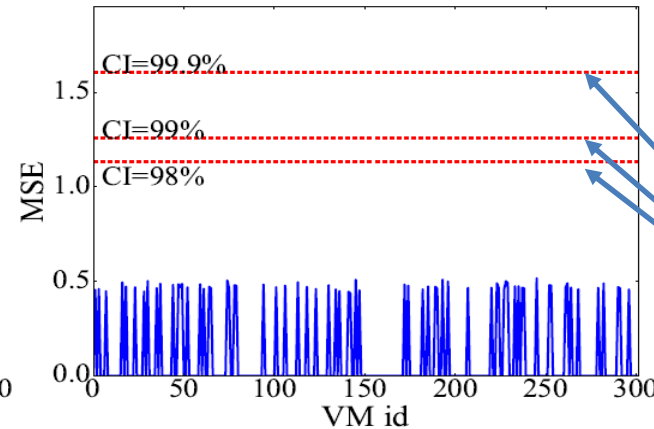
generated on CloudLab;
VM creation/deletion operations;
injected performance anomalies.

Evaluation – parameter value anomaly detection

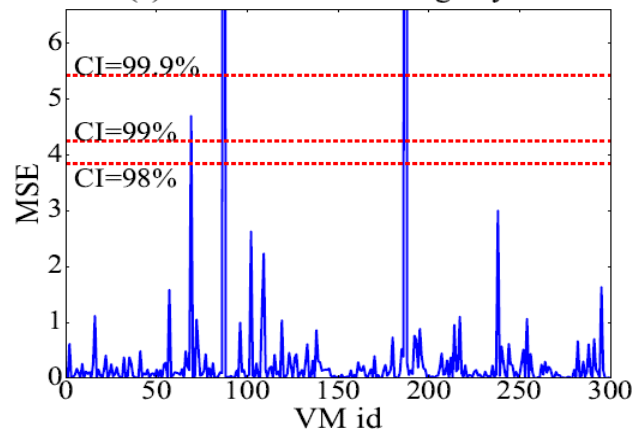
MSE:
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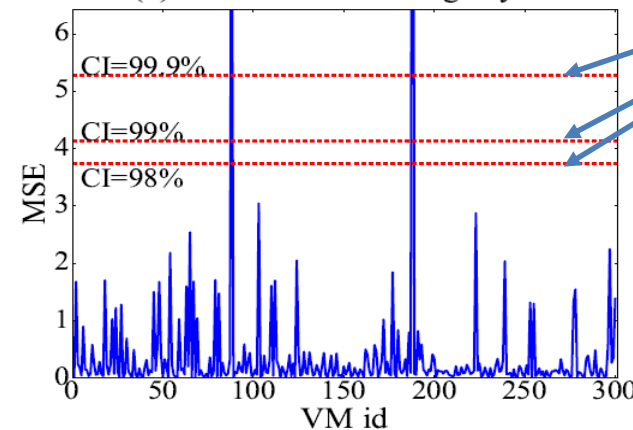
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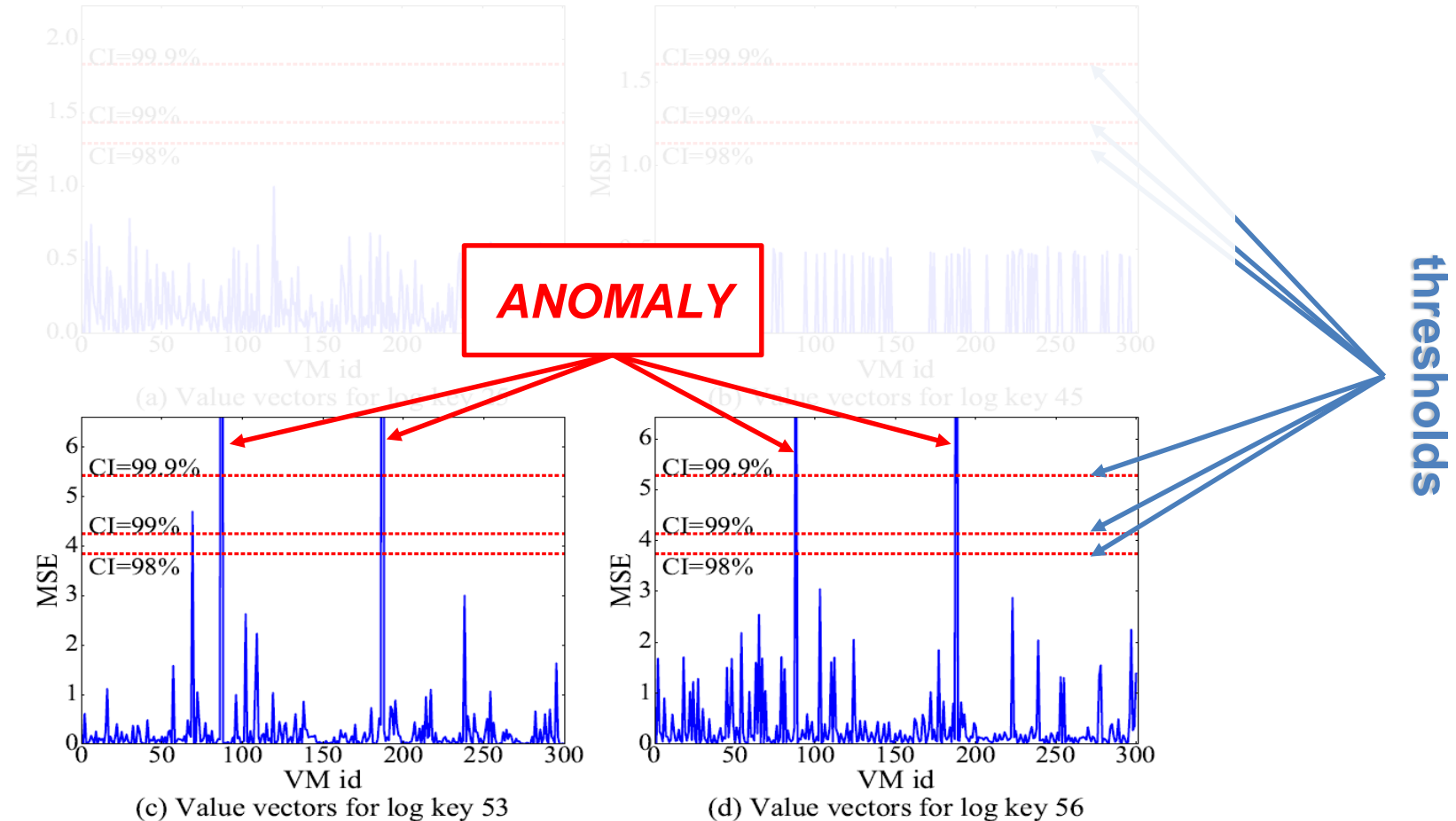
(d) Value vectors for log key 56

thresholds

**Evaluation results on OpenStack cloud log
with different confidence intervals (CIs)**

Evaluation – parameter value anomaly detection

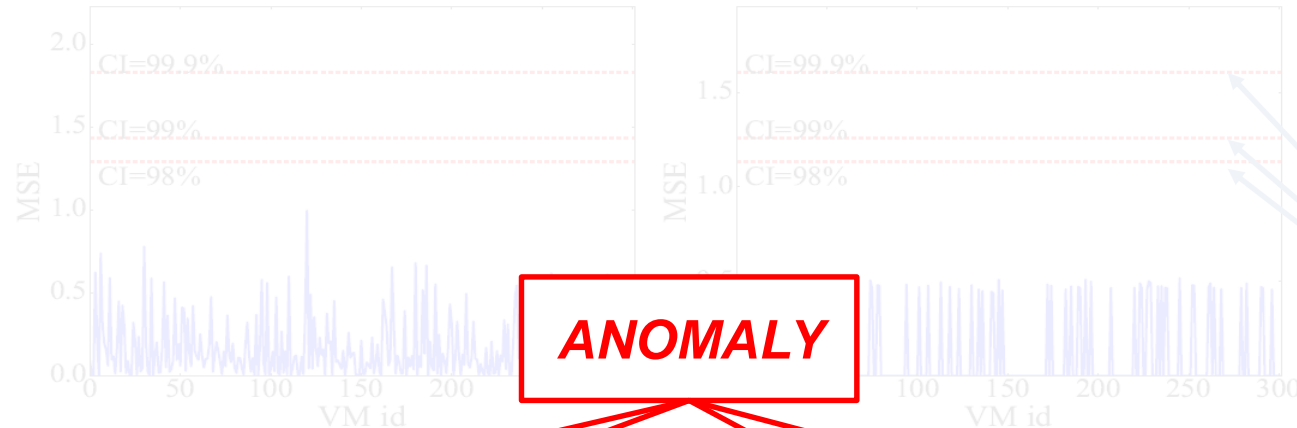
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**Evaluation results on OpenStack cloud log
with different confidence intervals (CIs)**

Evaluation – parameter value anomaly detection

MSE:
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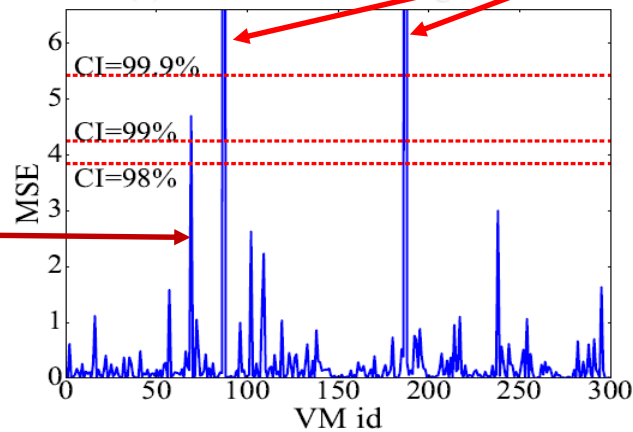


(a) Value vectors for log key 45

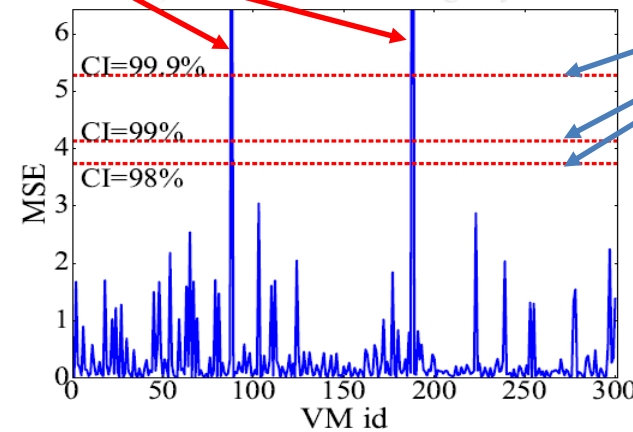
(b) Value vectors for log key 45

thresholds

False Positive



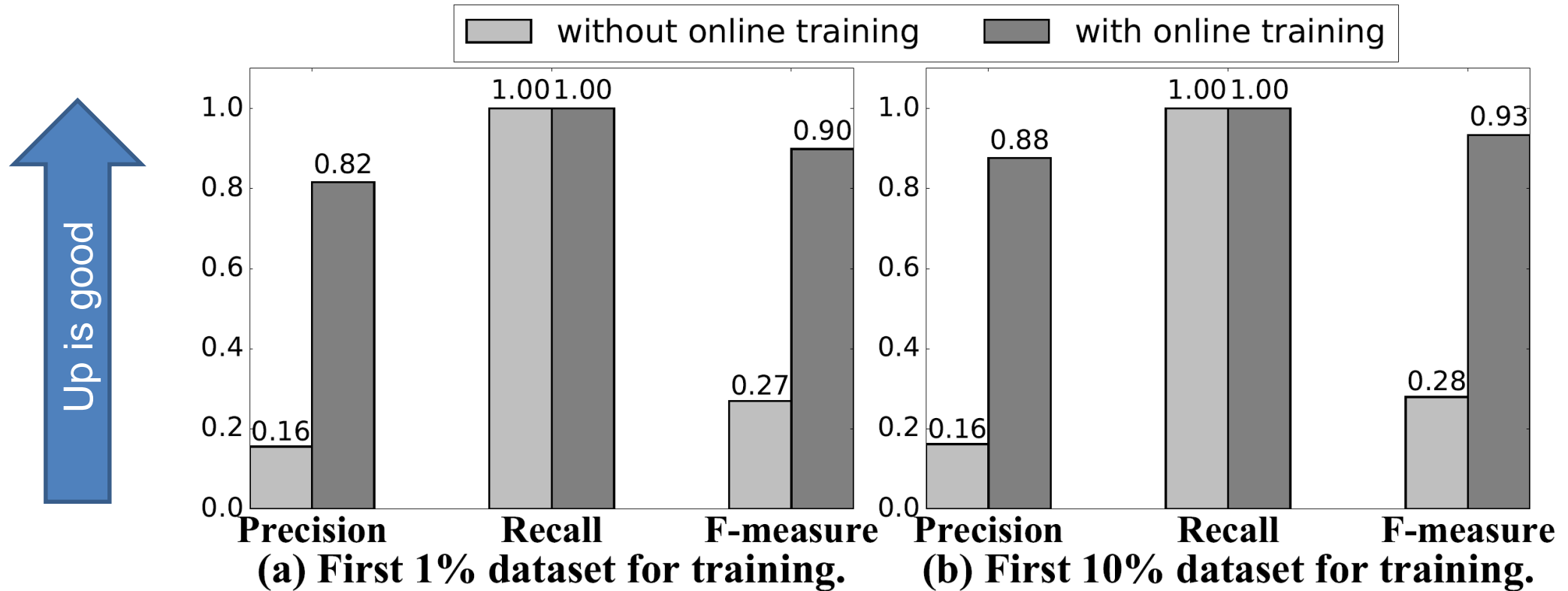
(c) Value vectors for log key 53



(d) Value vectors for log key 56

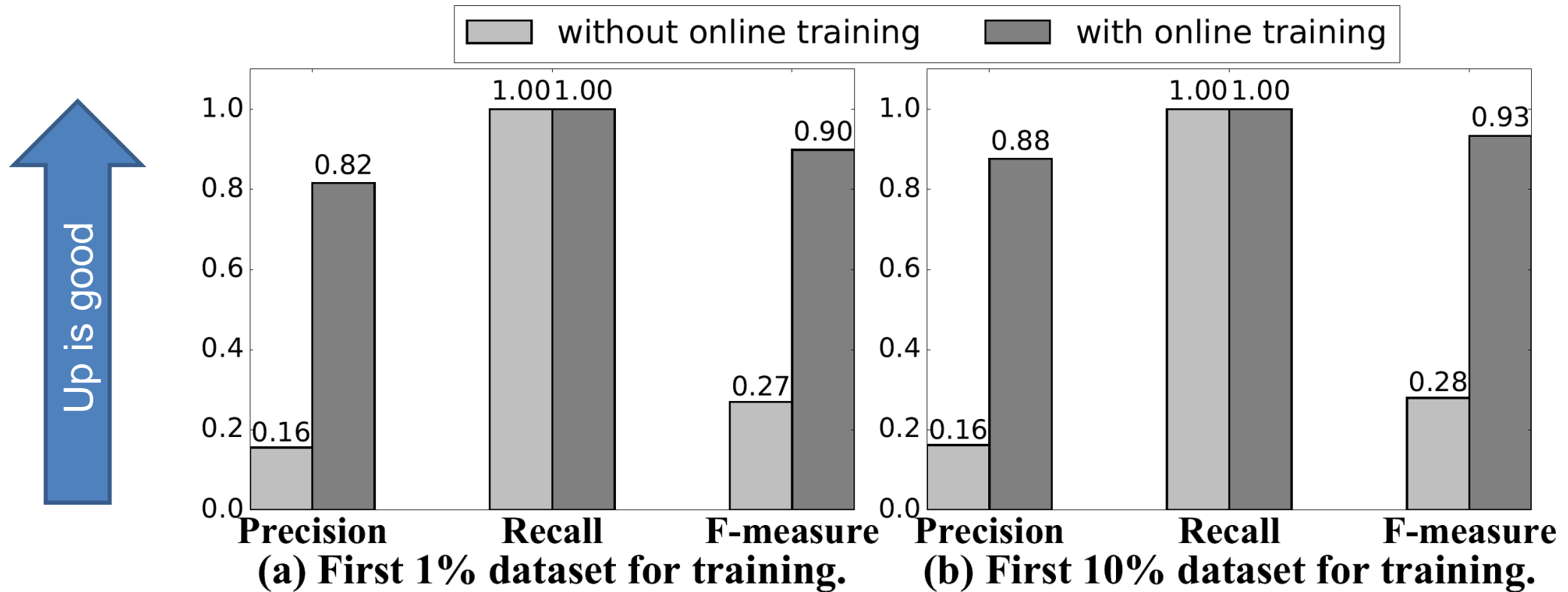
**Evaluation results on OpenStack cloud log
with different confidence intervals (CIs)**

Evaluation – LSTM model online update



**Evaluation on Blue Gene/L log,
with and without online model update.**

Evaluation – LSTM model online update



Evaluation on Blue Gene/L log,
with and without online model update.



*HPC log with labeled anomalies;
Available at*

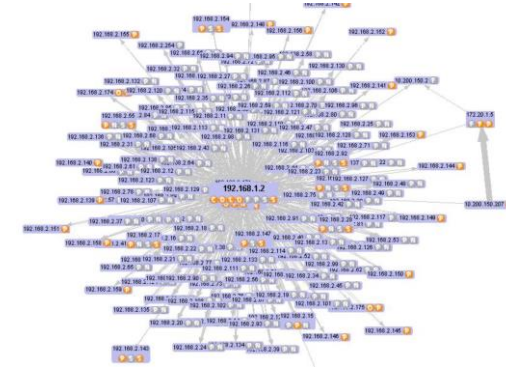
<https://www.usenix.org/cfdr-data>

Evaluation – case study: network security log

Dataset: IEEE VAST Challenge 2011

(Mini Challenge 2 – Computer Networking Operations)

The dataset contains firewall log, IDS log, etc.

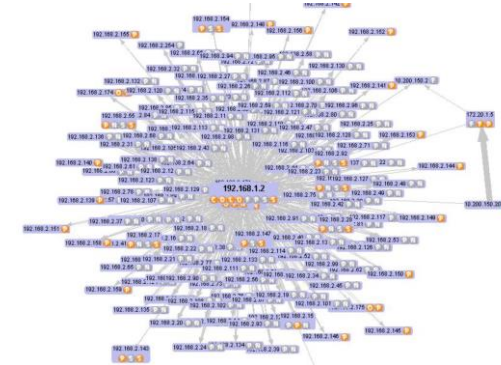


Evaluation – case study: network security log

Dataset: IEEE VAST Challenge 2011

(Mini Challenge 2 – Computer Networking Operations)

The dataset contains firewall log, IDS log, etc.



suspicious activity	detected?
Day 1: Denial of Service attack	Yes, log key anomaly in IDS log
Day 1: port scan	Yes, log key anomaly in IDS log
Day 2: port scan 1	Yes, log key anomaly in IDS log
Day 2: port scan 2	Yes, log key anomaly in IDS log
Day 2: socially engineered attack	Yes, log key anomaly in firewall log
Day 3: undocumented IP address	No

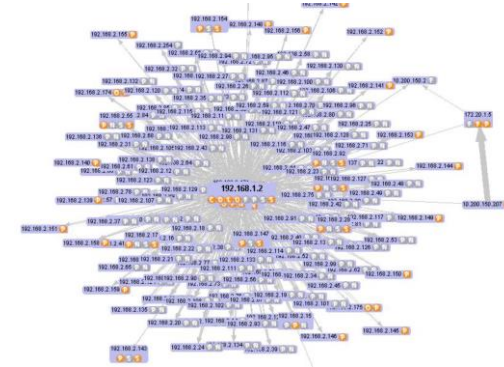
Detection results.

Evaluation – case study: network security log

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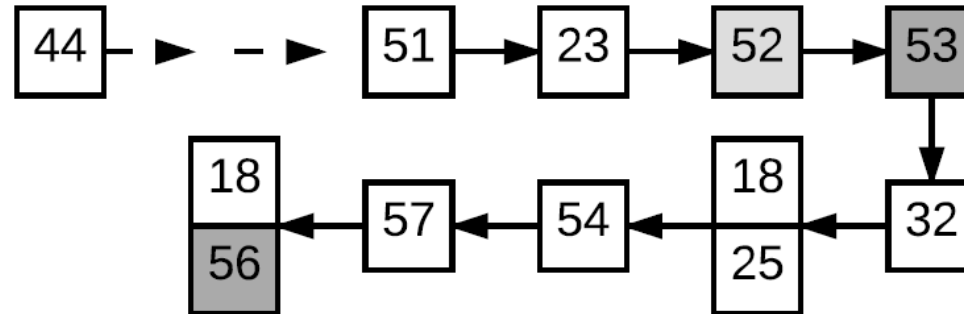


suspicious activity	detected?
Day 1: Denial of Service attack	Yes, log key anomaly in IDS log
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Day 2: port scan 2	Yes, log key anomaly in IDS log
Day 2: socially engineered attack	Yes, log key anomaly in firewall log
Day 3: undocumented IP address	No

Detection results.

Could be fixed with prior knowledge of “documented IP”

Evaluation – workflow construction



44: instance: * Attempting claim: memory * disk * vcpus * CPU

51: instance: * Claim successful

23: instance: * GET * HTTPV1.1" status: * len: * time: *

52: instance: * Creating image

53: instance: * VM Started (Lifecycle Event)

32: instance: * VM Paused (Lifecycle Event)

18: instance: * VM Resumed (Lifecycle Event)

.....

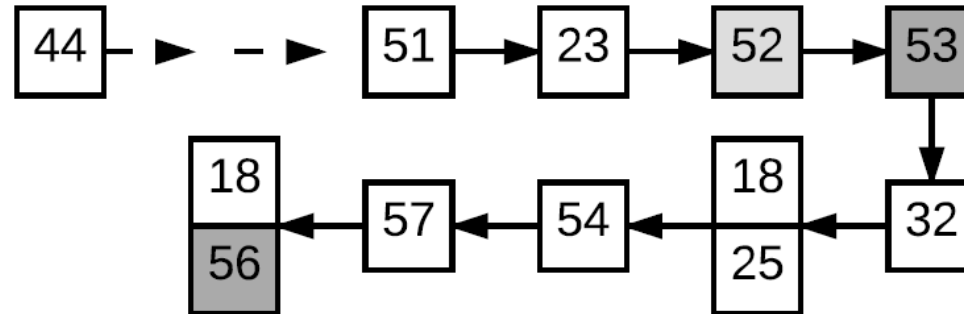
56: instance: * Took * seconds to build instance

Constructed workflow of VM Creation.

(previously generated [OpenStack cloud log](#))

Evaluation – workflow construction

How does it help to diagnose anomalies?



44: instance: * Attempting claim: memory * disk * vcpus * CPU

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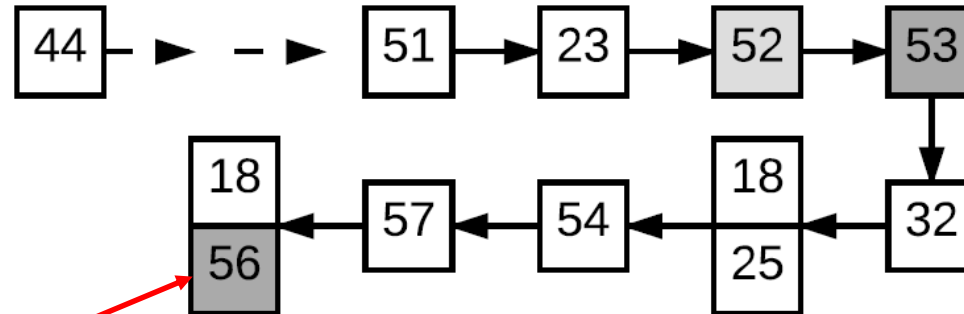
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Evaluation – workflow construction

How does it help to diagnose anomalies?



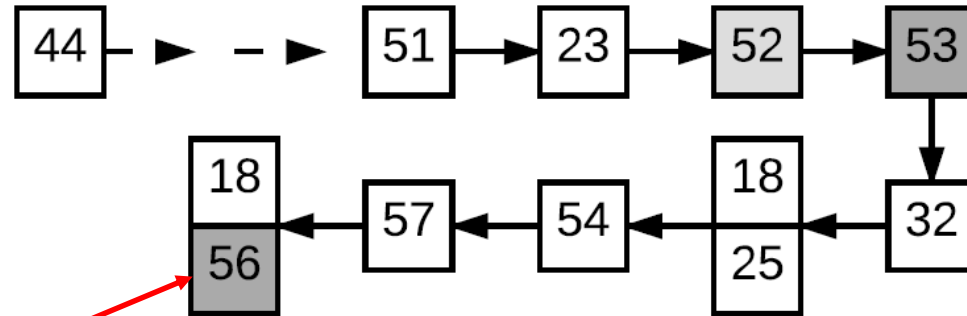
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52: instance: * Creating image
53: instance: * VM Started (Lifecycle Event)
32: instance: * VM Paused (Lifecycle Event)
18: instance: * VM Resumed (Lifecycle Event)
.....
56: instance: * Took * seconds to build instance

Parameter value anomaly

Constructed workflow of VM Creation.
(previously generated OpenStack cloud log)

Evaluation – workflow construction

How does it help to diagnose anomalies?



44: instance: * Attempting claim: memory * disk * vcpus * CPU
51: instance: * Claim successful
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.....
56: instance: * Took * seconds to build instance

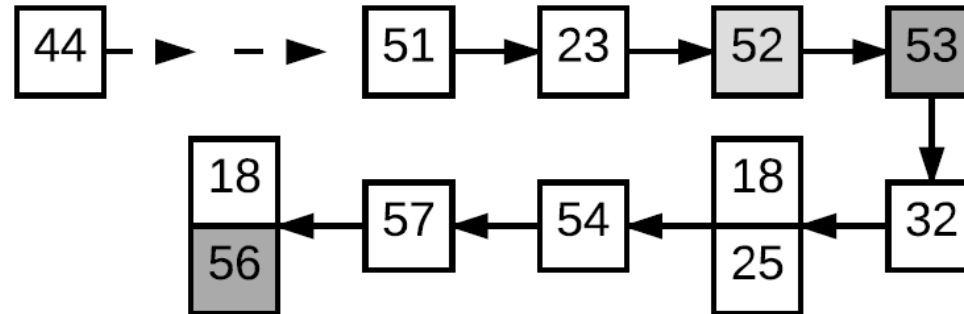
Parameter value anomaly

Time difference (performance) anomaly

Constructed workflow of VM Creation.
(previously generated OpenStack cloud log)

Evaluation – workflow construction

How does it help to diagnose anomalies?



44: instance: * Attempting claim: memory * disk * vcpus * CPU

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23: instance: * GET * HTTPV1.1" status: * len: * time: *

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32: instance: * VM Paused (Lifecycle Event)

18: instance: * VM Resumed (Lifecycle Event)

.....

56: instance: * Took * seconds to build instance

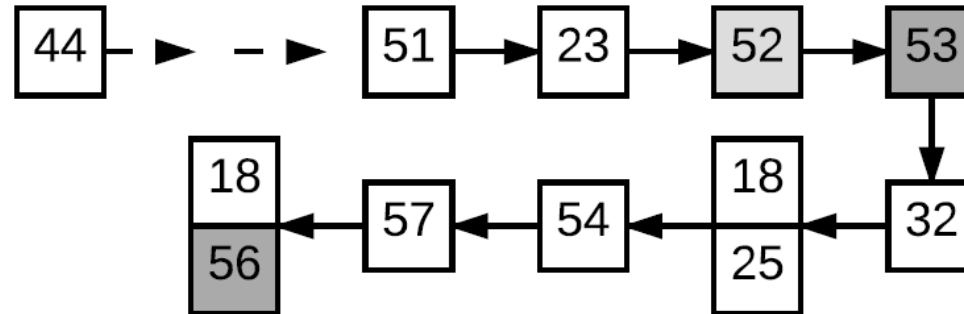
*Identified anomaly:
Instance took too long to build
because of the transition
from 52 -> 53*

Constructed workflow of VM Creation.

(previously generated OpenStack cloud log)

Evaluation – workflow construction

How does it help to diagnose anomalies?



44: instance: * Attempting claim: memory * disk * vcpus * CPU

51: instance: * Claim successful

23: instance: * GET * HTTPV1.1" status: * len: * time: *

52: instance: * Creating image

53: instance: * VM Started (Lifecycle Event)

32: instance: * VM Paused (Lifecycle Event)

18: instance: * VM Resumed (Lifecycle Event)

.....

56: instance: * Took * seconds to build instance

*Identified anomaly:
Instance took too long to build
because of the transition
from 52 -> 53*

*Injected anomaly:
During VM creation,
network speed from controller
to compute node is throttled.*

Constructed workflow of VM Creation.

(previously generated OpenStack cloud log)

Summary

DeepLog

- A realtime system log anomaly detection framework.
- LSTM is used to model system execution paths and log parameter values.
- Workflow models are built to help anomaly diagnosis.
- It supports online model update.



Thank you!

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lifeifei@cs.utah.edu